

ClearView WealthSolutions Superannuation and Retirement Income

Investment Booklet as at 1-Dec-2021

This Investment Booklet for ClearView WealthSolutions Superannuation and Retirement Income (Investment Booklet) has been prepared and issued by ClearView Life Nominees Pty Limited (ABN 37 003 682 175, AFSL 227683, RSE Licence No. L000802) (Trustee, we, us) as Trustee of the ClearView Retirement Plan (ABN 45 828 721 007, RSE R1001624) (Fund).

The information contained in this Investment Booklet (Booklet) is incorporated by reference into the Product Disclosure Statement (PDS) for the Fund and should be read in conjunction with the PDS, Additional Information Booklet, and the relevant underlying disclosure documents (if applicable) for each underlying investment option. These documents are available free of charge from clearviewwealthsolutions.com.au, by contacting your financial adviser or by calling the Administrator on 1300854994.

The information contained in this Investment Booklet is general information only and doesn't take into account your personal objectives, financial situation, needs or circumstances. Before acting on this information, you should consider its appropriateness, having regard to your personal objectives, financial situation, needs and circumstances.

Before making a decision about any investment shown in this document (including about whether to acquire or continue to hold the product or an investment available in the Fund), you should consider (as applicable):

- the PDS;
- the Additional Information Booklet;

These documents are available free of charge from clearviewwealthsolutions.com.au, by contacting your financial adviser or by calling the Administrator on 1300854994.

You should also consider the product disclosure document (or other disclosure document) for any investment options before making any investment decision. Upon request, your financial adviser must give you (free of charge) a copy of the documentation.

The fees and costs disclosed in this Investment Booklet are provided by external sources, including investment research providers, or directly by the investment managers. Neither the Trustee nor the Administrator verifies, guarantees or takes responsibility for the fees and costs disclosed in this Investment Booklet. You should not rely solely on this Investment Booklet when making an investment decision. The method of calculation and disclosure of the fees and costs is not uniform and does vary between financial products. This should be considered when comparing

financial products.

You can only invest in the Fund if you are advised by a financial adviser (adviser) so you can receive financial advice for each investment you are considering.

All dollar amounts are in Australian dollars unless otherwise indicated. All fees include GST and where eligible net of any Reduced Input Tax Credits (RITC), unless otherwise specified. Information in this document is subject to change from time to time. The latest version is available from clearviewwealthsolutions.com.au.

Other fees and costs apply. To understand all the fees payable when you select a particular investment option, you must refer to the PDS (including the information incorporated into the PDS) and the product disclosure statement (or other disclosure document) for the underlying investment option(s). Refer to the PDS and, if applicable, the disclosure document for the particular investment option(s) for details.

Any statement made by a third party or based on a statement made by a third party in this Investment Booklet has been included in the form and context in which it appears with the consent of the third party, which has not been withdrawn as at the date of this Investment Booklet.

All references to the Administrator in this document are references to HUB24 Custodial Services Limited (ABN 94 073 633 664, AFSL 239122) (HUB24, Administrator). If you'd like to request a free printed copy of this document or have any questions or would like any more information about the Fund, please contact your financial adviser or the Administrator.

Administrator

HUB24 Custodial Services Limited

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Trustee

ClearView Life Nominees Pty Limited

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Phone: 132977

InvestorHUB

InvestorHUB, your online access to your super and pension account, is available via the product website above.

Important Information

Your investment in the Fund is not guaranteed. The value of your investment can rise and fall depending on the investment returns achieved by the investment option(s) you select. In participating in the Fund, you and your adviser, are solely responsible for selecting the investment options in which you invest. The Trustee and the Administrator are not responsible for the choice of investment options you make and are not liable for any loss or damage you may incur as a result of you deciding to invest in, or withdraw from, a particular investment option.

Note: investment returns can be volatile and past performance is not a reliable indicator of future performance.

About this Investment Booklet

This Investment Booklet contains information on the investment options available on **ClearView WealthSolutions Superannuation and Retirement Income** within the Fund to assist you and your adviser to build an investment portfolio to meet your specific investment needs.

This Investment Booklet includes:

- general information about each available investment strategy that you and your adviser may wish to consider; and
- the list of the investment options on the **ClearView WealthSolutions Superannuation and Retirement Income** from which you may choose.

For more information about investing in the Fund and the associated risks, refer to the Additional Information Booklet available on the product website. The information in the Additional Information Booklet may be updated from time to time.

Understanding how different strategies work

Investing in super is generally a long term commitment, you should take a long term view of which investments are right for you.

All investments come with some level of risk, although the degree of risk may vary depending on the asset class or nature of an investment. Generally, low levels of uncertainty (low risk) are associated with low potential returns, and high levels of uncertainty (high risk) are associated with high potential returns.

Before choosing your investments, it is important you understand your personal investment strategy including your investment objective, the timeframe you wish to invest and the level of risk you are comfortable with. The different types of investment options can generally be grouped into the following investment strategies:

- **Single-sector investment strategies:** investments in a single asset class (for example, a managed fund which invests in Australian equities) and are generally designed without taking into account the particular risk profile of individual investors. These investment strategies are offered in the form of managed portfolios, managed funds, ETFs, ETPs, LICs.
- **Multi-sector investment strategies:** Investments in multiple asset classes (for example, a 'conservative' managed portfolio) which are designed to meet the objectives associated with a particular risk profile. These investment strategies are offered in the form of managed portfolios, managed funds, ETFs and ETPs which target specific risk profiles.
- **Direct investment strategies:** generally designed by an investor's adviser and implemented via direct investment in listed securities, which take into account the specific needs of investors, including their particular risk profiles. They can be used on their own to build a complete portfolio tailored to a specific client, or they can be used to complement the above strategies. These include investments in shares in Australian and international companies.

To help you and your adviser tailor your investment strategy, a range of investment strategies are available to you and you may utilise one or more investment strategies for your account.

Examples of the different investment strategies and their characteristics¹

Type of investment strategy	Characteristics
Single-sector investment strategy	
Cash	Cash is usually the least volatile type of investment when it comes to risk. It generally provides high liquidity and defensive characteristics.
Fixed interest	When investing in fixed interest (debt securities), you are effectively lending money to businesses or governments. Returns typically consist of the interest paid and any changes in the value of the security. Debt securities are usually included in a portfolio for their defensive characteristics, however, different types of debt securities have different returns and volatility. The market value of a debt security may fall due to factors such as an increase in interest rates or concern about defaults on loans. Debt securities denominated in foreign currencies will be exposed to exchange rate changes.

¹ The Trustee and the Administrator do not guarantee the performance of any investment option, the asset class(es) or investment products. This information is general information only and you should refer to the underlying disclosure documents for the actual details of each available investment option including investment objectives, investment strategy, asset allocation ranges, risk ratings, suggested minimum investment timeframes and costs.

Property and infrastructure	Investing in property provides exposure to listed property securities and/or direct property in Australia and around the world. This could include commercial, retail or industrial property. Investing outside Australia may mean it's exposed to exchange rate movements. Investing in infrastructure provides exposure to global listed and/or direct infrastructure assets such as transport-related assets (toll roads, railways, ports and airports) and utilities (electricity, water and gas). Property and infrastructure securities may be volatile and are usually included in a portfolio for their income and growth characteristics.
Australian equity	Australian equities are investments in companies listed on the ASX. Australian equities are usually included in a portfolio for their growth and/or income characteristics.
International equity	International equities are investments in companies listed on securities exchanges around the world. Investing globally provides diversification across multiple economies, but can mean it's exposed to exchange rate fluctuations. International equities can be volatile and are usually included in a portfolio for their growth characteristics.
Alternative investments	Alternative investments provide access to investments such as hedge funds, private equity, gold or commodities. When investing in alternatives, you are investing in assets that typically don't behave like traditional investments. They can also be less liquid than other investments, which could make them difficult to buy or sell.
Multi-sector investment Strategy	
Conservative	Conservative strategies, also known as capital stable strategies, are generally defined by the lower weighting they have to growth assets (Australian and international shares, property and infrastructure). This is usually in the range of 0% to 40% to growth assets.
Moderately conservative	Moderately conservative strategies are generally defined by the weighting they have to growth assets (Australian and international shares, property and infrastructure). This is usually in the range of 10% to 50% to growth assets.
Balanced	Balanced strategies are generally defined by the weighting they have to growth assets (Australian and international shares and property). This is usually in the range of 50% to 75% to growth assets.
Growth	Growth strategies are generally defined by the weighting they have to growth assets (Australian and international shares, property and infrastructure). This is usually in the range of 60% to 90%.
High growth	High growth strategies are generally defined by the weighting they have to growth assets (Australian and international shares, property and infrastructure). This is usually in the range of 80% to 100%.
Direct investment strategy	
Australian direct shares	Australian direct shares are investments in companies listed on the Australian Securities Exchange (ASX). Australian equities can be volatile and are usually included in a portfolio for their growth characteristics. Australian equities may provide tax advantages through dividend imputation (franking) credits. Australian listed securities also include securities such as hybrids which are a way for ASX-listed companies to meet their capital requirements through debt-raising. Generally, interest rate securities are less volatile than equity securities and are included in portfolios for their income characteristics.
International direct shares	International direct shares are investments in companies listed on various regulated exchanges around the world. International equities can be volatile and are usually included in a portfolio for their growth characteristics.

Investment holding limits

The Trustee has set limits on how much you can invest in certain investment options. These limits take into account liquidity, diversity and risk and are expressed as 'Single security limits' and, if applicable, 'Aggregate holding limits'. If the value you hold in a particular investment option (per account) exceeds the investment holding limit, you will not be able to make further investments into that particular investment option until the value of your holdings moves below the investment holding limit.

Investments in managed portfolios are generally excluded from your portfolio when determining whether your investments (per account) are within any applicable diversification limits.

Aggregate holding limits and single security limits are summarised in the table below. The current Single security limits applicable to each listed security is included in the tables of this Booklet.

Investment options	Aggregate holding limits	Single security limits ¹
Managed funds (excluding alternative managed funds)	Up to 100% of your account	Up to 100% of your account
Australian securities listed in S&P / ASX All Ordinaries ² (excluding ETFs, ETPs and LICs)	Up to 100% of your account	Up to 20% of your account
Australian securities listed outside S&P / ASX All Ordinaries (excluding ETFs, ETPs and LICs)	Up to 40% of your account	Up to 10% of your account
Managed portfolios	Up to 100% of your account	Up to 100% of your account
International securities listed or represented in MSCI World Index and NYSE listed ETFs	Up to 100% of your account	Up to 20% of your account
International securities listed outside MSCI World Index	Up to 40% of your account	Up to 10% of your account
Listed investment companies	Up to 100% of your account	Up to 100% of your account
ETFs and ETPs (excluding any alternative ETFs and ETPs)	Up to 100% of your account	Up to 100% of your account
Alternatives (including alternative managed funds, ETFs and ETPs ³)	Up to 40% of your account	Up to 25% of your account

¹ These are the maximum single security limits allowed per investment option. The Trustee has the discretion to set lower single security limits which may change from time to time. Where applicable, the single security limits are set out in the 'Investment Options tables' section of this Booklet.

² Includes Australian direct interest rate securities issued by a company listed on the S&P/ASX All Ordinaries Index. An Australian direct interest rate security (for example a hybrid security, and/or corporate bond) issued by a company listed on the S&P/ASX All Ordinaries Index will have the same diversification limit applied as if it were an ordinary security, if the security ranks ahead of the ordinary shareholders in the event of an insolvency.

³ Alternative managed funds, ETFs and ETPs include commodity-based investments (for example, gold) and hedge fund strategies including long/short and absolute return type strategies.

Standard Risk Measure

You should also take into account the risk level rating for each investment option. The risk level rating is known as a 'Standard Risk Measure'.

The Standard Risk Measure is based on industry guidance to allow members to compare investment options that are expected to deliver a similar number of negative annual returns over any 20 year period. The Standard Risk Measure is not a complete assessment of all forms of investment risk, for instance it does not detail what the size of a negative return could be or the potential for a positive return to be less than a member may require to meet their objectives. Further, it doesn't take into account the impact of administration fees and tax on the likelihood of a negative return.

Members should still ensure they are comfortable with the risks and potential losses associated with their chosen investment option/s.

You should refer to the underlying disclosure documents for the relevant Standard Risk Measure for your chosen investment options (if applicable) which are available from your adviser or InvestorHUB.

Risk band	Risk label	Estimated number of negative annual returns over any 20 year period
1	Very low	Less than 0.5
2	Low	0.5 to less than 1
3	Low to medium	1 to less than 2
4	Medium	2 to less than 3
5	Medium to high	3 to less than 4
6	High	4 to less than 6
7	Very high	6 or greater

For more information on risks associated with joining the Fund, please see Section 5 Risks of super in the Additional Information Booklet. You can also find information on the different types of risks that may be relevant to the investment option in their product disclosure statements or other disclosure documents (as applicable). You should consider the product disclosure document or other disclosure document for any investment options before making any investment decision.

Important information for potential investors in international listed securities

- If you trade in a security that is listed on its home market and in that of another market (cross listed security) you will attract the fees and cost associated with the security's home market.
- Minimum trade amounts apply for trades in international listed securities.

International listed securities transaction costs

Brokerage will be incurred on buying and selling international listed securities as outlined in the PDS – information on fees and other costs and other incorporated materials. In addition, trading on certain international exchanges may incur additional costs (for example local stamp duties, taxes, fees or commissions) which are generally deducted from your account at the time they arise.

You are responsible for all transaction costs associated with trading.

Known additional costs incurred when trading certain securities on certain exchanges are included in the table below (the following additional costs are accurate as at the date of this guide and are subject to change from time to time without notice):

Stock Exchange	Location	Additional Costs
Singapore Exchange (SGX-ST)	Singapore	Clearing Fee 0.0325% Trading Fee 0.0075%
Hong Kong Exchange (SEHK)	Hong Kong	Stamp Duty of 0.10% rounded up to the nearest HKD 1.00 Trading Fee 0.005% Transaction levy 0.0027%
Euronext Paris	France	French Financial Transaction (FFT) 0.20% (buy only)
London Stock Exchange (LSE)	United Kingdom	Stamp Duty of 0.5% (buy only) Panel of takeover & mergers levy (PTM) 1 pound over 10,000 pounds (buy and sell)
New York Stock Exchange (NYSE)	USA	Securities & Exchange Commission (SEC) fee (sell only) 0.00184% x Principal
NASDAQ	USA	Securities & Exchange Commission (SEC) fee (sell only) 0.00184% x Principal
Borsa Italiana	Italy	Italian Financial Transaction Tax 0.10% (buy only)

Exchange rates

Generally, transactions in international listed securities including buy, sell, corporate action and income transactions will be converted into Australian dollars using the foreign exchange rates advised by the sub-custodian. These rates include a foreign exchange margin charged by the sub-custodian. Some corporate actions and income transactions will have a relevant market exchange rate applied to the transaction at the applicable time; for example, an income transaction that may not involve an actual cash payment, but the transaction needs to be converted to Australian dollars for tax purposes.

International listed securities will be valued in Australian dollars based on foreign exchange rates obtained from our sub-custodian.

Changes to the investment options

Changes occur to the investment options from time to time. The table below outlines some issues that may occur, and how the Trustee manages these issues.

Closure to new monies/ and new investors	The Trustee may close an investment option to new monies. This means your money currently invested in this option remains unchanged. However, any subsequent investment that would have been directed to this investment option will go to your cash account until alternative investment instructions are received from your adviser.
Termination	The Trustee, the Administrator and/or an investment manager may terminate the availability of an investment option to all new and existing members. This will require your investment to be sold down to cash. The proceeds of sale will remain in your cash account until alternative investment instructions are received from your adviser.

Investment option tables

The investment options in which you can invest in on **ClearView WealthSolutions Superannuation and Retirement Income** through the Fund are listed below:

Managed Portfolios

The specific information on each available managed portfolio investment option includes the:

- Code
- Portfolio Name
- Category

Managed Funds

The specific information on each available managed fund investment option includes the:

- APIR Code
- Fund Name
- Single Security Limit
- Management Cost
- Transactional & Operational Cost
- Buy Spread
- Sell Spread

Australian Listed Securities

The specific information on each available Australian listed security investment option includes the:

- Security Code
- Security Name
- Security Type
- Single Security Limit
- Management Cost
- Transactional & Operational Cost
- Buy Spread
- Sell Spread

International Listed Securities

The specific information on each available international listed security investment option includes the:

- Security Code
- Security Name
- Exchange
- Security Type
- Single Security Limit

Term Deposits

The specific information on each available term deposit investment option includes the:

- Code
- Term Deposit Name

Managed Portfolios

Each of the managed portfolios listed in the table below are available through the Fund via one or more non-unitised and registered managed investment schemes (Scheme), which are operated and offered by a responsible entity (RE) that is approved by the Trustee.

For details of which Scheme a particular managed portfolio is offered through, refer to the product disclosure statement on InvestorHUB under Investments > Managed Portfolios or speak to your adviser.

Code	Portfolio Name	Category	Management Cost ^{#†}	Transactional & Operational Cost
CMP001 [^]	ClearView Dynamic 30 Portfolio	Multi Sector	Tiered	0.070%
CMP002 [^]	ClearView Dynamic 50 Portfolio	Multi Sector	Tiered	0.100%
CMP003 [^]	ClearView Dynamic 70 Portfolio	Multi Sector	Tiered	0.120%
CMP004 [^]	ClearView Dynamic 85 Portfolio	Multi Sector	Tiered	0.150%
CMP014 [^]	ClearView Enhanced Index 100 Portfolio	Multi Sector	Tiered	-
CMP010 [^]	ClearView Enhanced Index 30 Portfolio	Multi Sector	Tiered	0.040%
CMP011 [^]	ClearView Enhanced Index 50 Portfolio	Multi Sector	Tiered	0.020%
CMP012 [^]	ClearView Enhanced Index 70 Portfolio	Multi Sector	Tiered	0.010%
CMP013 [^]	ClearView Enhanced Index 85 Portfolio	Multi Sector	Tiered	-
CMP009 [^]	ClearView International Growth Portfolio	Multi Sector	Tiered	0.200%
CMP008 [^]	ClearView Strategic 100 Portfolio	Multi Sector	Tiered	0.150%
CMP005 [^]	ClearView Strategic 50 Portfolio	Multi Sector	Tiered	0.110%
CMP006 [^]	ClearView Strategic 70 Portfolio	Multi Sector	Tiered	0.130%
CMP007 [^]	ClearView Strategic 85 Portfolio	Multi Sector	Tiered	0.150%

[#] Where the management cost is displayed as 'Tiered', the Managed Portfolio has a tiered investment management fee. Refer to the relevant product disclosure statement for more information.

[†] Management costs for managed portfolios in the table above are shown inclusive of GST and where eligible net of any Reduced Input Tax Credits (RITC). Refer to the relevant product disclosure statement for the managed portfolio for more information.

- this means that the fee or cost is not applicable for this investment.

[^] This investment may only be available for wholesale investors, for more information refer to the relevant disclosure document(s).

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
BFL7394AU	4D Emerging Markets Infrastructure Fund	100%	1.20 %	0.31 %	0.30 %	0.30 %
BFL0019AU	4D Global Infrastructure Fund	15%	1.25 %	0.30 %	0.30 %	0.30 %
EQI0028AU	Aberdeen Standard Asian Opportunities Fund	100%	1.19 %	*	0.28 %	0.28 %
EQI0699AU	Aberdeen Standard Emerging Mkt Local Currency Debt	10%	1.02 %	*	0.11 %	0.11 %
ETL0032AU	Aberdeen Standard Emerging Opportunities Fund	100%	1.51 %	*	0.22 %	0.22 %
CSA0135AU	Aberdeen Standard Fully Hedged International Equities	100%	0.99 %	0.05 %	0.15 %	0.15 %
EQI7232AU	Aberdeen Standard Global Smaller Companies Fund	100%	1.12 %	*	0.20 %	0.14 %
EQI0015AU	Aberdeen Standard International Equity Fund	100%	0.98 %	*	0.15 %	0.15 %
CRS0001AU	Aberdeen Standard Multi-Asset Income Fund	100%	0.97 %	*	0.35 %	0.30 %
FSF0973AU	Acadian Defensive Income Fund - Class A	25%	0.46 %	0.27 %	0.10 %	0.10 %
FSF0453AU	Acadian Geared Australian Equity Fund	30%	2.15 %	0.47 %	0.40 %	0.40 %
ACM0009AU	AllianceBernstein Global Equities Fund	100%	0.86 %	*	0.25 %	0.25 %
ACM0006AU	AllianceBernstein Managed Volatility Equities Fund	50%	0.55 %	0.09 %	0.25 %	0.25 %
HOW0121AU	Alphinity Sustainable Share Fund	50%	0.95 %	*	0.20 %	0.20 %
AMP1179AU	AMP Capital Core Infrastructure Fund - On-platform Class A	25%	1.38 %	0.07 %	0.05 %	0.05 %
AMP1015AU	AMP Capital Core Property Fund - On-platform Class A	100%	1.35 %	0.07 %	0.13 %	0.13 %
AMP0557AU	AMP Capital Corporate Bond Fund - On-platform Class A	100%	0.59 %	0.09 %	0.20 %	0.20 %
AMP0455AU	AMP Capital Ethical Leaders International Share - Class A	100%	1.16 %	0.54 %	0.25 %	0.25 %
AMP0974AU	AMP Capital Global Property Sec Fund - On-platform Class A	100%	1.00 %	0.21 %	0.25 %	0.25 %
PPL0110AU	Antares Australian Equities Fund	100%	0.60 %	0.04 %	0.15 %	0.15 %
PPL0115AU	Antares Elite Opportunities Fund	100%	0.70 %	0.19 %	0.15 %	0.15 %
PPL0106AU	Antares High Growth Shares Fund	25%	1.05 %	0.39 %	0.15 %	0.15 %
IOF0203AU	Antipodes Asia Fund - Class P	10%	1.20 %	0.61 %	0.30 %	0.30 %
IOF0045AU	Antipodes Global Fund - Class P	25%	1.21 %	0.21 %	0.30 %	0.30 %
APN0008AU	APN AREIT Fund	100%	0.85 %	*	0.25 %	0.25 %
PER0554AU	AQR Wholesale DELTA Fund - Class 1F	25%	1.41 %	0.54 %	*	0.20 %
HOW0098AU	Ardea Real Outcome Fund	50%	0.50 %	0.01 %	0.05 %	0.05 %
MAQ0464AU	Arrowstreet Global Equity Fund	100%	1.28 %	0.29 %	0.07 %	0.10 %
MAQ0079AU	Arrowstreet Global Equity Fund (Hedged)	100%	1.28 %	0.36 %	0.12 %	0.15 %
AAP0008AU	Ausbil 130/30 Focus Fund - Wholesale Class	25%	1.03 %	1.73 %	0.30 %	0.30 %
AAP0103AU	Ausbil Australian Active Equity Fund	100%	0.90 %	*	0.20 %	0.20 %
AAP0104AU	Ausbil Australian Emerging Leaders Fund	100%	0.85 %	0.10 %	0.25 %	0.25 %
AAP0002AU	Ausbil Australian Geared Equity Fund	100%	2.43 %	*	0.38 %	0.38 %
AUG0019AU	Australian Ethical Diversified Shares Fund - Class B	100%	0.95 %	*	0.05 %	0.05 %
YOC0018AU	Australian Unity Diversified Property Fund - Ordinary Class	100%	2.92 %	0.78 %	0.50 %	0.50 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
YOC0100AU	Australian Unity W/sale Property Income Fund	20%	0.93 %	3.27 %	0.80 %	0.20 %
FSF5774AU	Baillie Gifford Long Term Global Growth - Class A	50%	1.11 %	0.05 %	0.05 %	0.05 %
BFL0001AU	Bennelong Australian Equities Fund	100%	1.00 %	0.28 %	0.25 %	0.25 %
BFL0002AU	Bennelong Concentrated Australian Equities Fund	100%	0.90 %	0.32 %	0.25 %	0.25 %
BFL0004AU	Bennelong Ex-20 Australian Equities Fund	100%	0.98 %	0.24 %	0.25 %	0.25 %
BFL0010AU	Bennelong Kardinia Absolute Return Fund - Daily Class	25%	1.41 %	0.80 %	0.20 %	0.20 %
CSA0038AU	Bentham Global Income Fund	100%	0.77 %	*	0.26 %	0.26 %
CSA0102AU	Bentham High Yield Fund	100%	0.71 %	0.01 %	0.30 %	0.30 %
BSC0004AU	BetaShares CPS - Global Growth Fund	100%	1.82 %	0.04 %	0.05 %	0.05 %
BAR0814AU	Blackrock Advantage Australian Equity Fund	100%	0.45 %	0.01 %	0.15 %	0.15 %
BGL0109AU	Blackrock Advantage Hedged International Equity Fund	50%	0.53 %	0.20 %	0.18 %	0.18 %
BAR0817AU	Blackrock Advantage International Equity Fund	40%	0.50 %	0.11 %	0.17 %	0.17 %
MAL0018AU	BlackRock Global Allocation (Aust) - Class D	25%	0.20 %	*	0.30 %	0.30 %
PER0728AU	BMO Pyrford Global Absolute Return Fund	50%	0.90 %	*	0.20 %	0.20 %
SSB0014AU	Brandywine Global Opportunistic Fixed Income Fund	50%	0.70 %	*	0.09 %	0.09 %
ARO0006AU	C WorldWide Equity Trust	50%	0.99 %	*	0.15 %	0.15 %
PAT0001AU	CAI Australian Share Fund	100%	0.78 %	*	0.20 %	0.20 %
CIM0006AU	Capital Group New Perspective Fund (AU)	25%	0.95 %	0.14 %	*	*
CIM8680AU	Capital Group New World (AU)	100%	1.18 %	0.30 %	*	*
FAM0101AU	Celeste Australian Small Companies Fund	100%	1.10 %	0.96 %	0.30 %	0.30 %
CVW1890AU	CFML Antipodes Global Fund	20%	1.22 %	0.45 %	0.30 %	0.30 %
NRM0036AU	CFML First Sentier Inv Infra Fund	25%	1.24 %	0.13 %	0.10 %	0.10 %
NRM0030AU	CFML Fixed Interest Fund	100%	0.75 %	0.06 %	0.08 %	0.13 %
NRM0026AU	CFML Money Market Fund	100%	0.40 %	*	*	*
NRM0038AU	CFML RARE Emerging Markets Fund	25%	1.24 %	0.10 %	0.14 %	0.17 %
NRM0028AU	CFML Schroder Equity Opportunities Fund	100%	0.92 %	0.03 %	0.30 %	0.30 %
NRM0032AU	CFML Stewart Investor Worldwide Sustainability Fund	100%	1.23 %	*	0.15 %	0.15 %
FSF0787AU	CFS FC WS Acadian Australian Equity Fund	50%	1.02 %	0.17 %	0.10 %	0.10 %
FSF0891AU	CFS FC WS Acadian Geared Global Equity Fund	25%	2.70 %	0.47 %	0.15 %	0.15 %
FSF0889AU	CFS FC WS Asian Share Fund	50%	1.51 %	0.41 %	0.20 %	0.20 %
FSF0485AU	CFS FC WS Australian Share Fund	100%	1.01 %	0.27 %	0.15 %	0.15 %
FSF0502AU	CFS FC WS Australian Small Companies Fund	100%	1.31 %	0.65 %	0.20 %	0.20 %
FSF0039AU	CFS FC WS Diversified Fixed Interest Fund	100%	0.56 %	0.07 %	0.20 %	0.20 %
FSF0488AU	CFS FC WS Fixed Interest Fund	100%	0.76 %	0.23 %	0.20 %	0.20 %
FSF0170AU	CFS FC WS Geared Global Share Fund	25%	1.78 %	0.28 %	0.16 %	0.16 %

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
FSF0908AU	CFS FC WS Generation Global Share Fund	100%	2.62 %	0.27 %	0.10 %	0.10 %
FSF0489AU	CFS FC WS Global Share Fund	100%	1.22 %	0.38 %	0.10 %	0.10 %
FSF0652AU	CFS FC WS Index Australian Share Fund	100%	0.31 %	*	0.05 %	0.05 %
FSF0707AU	CFS FC WS Index Global Share - Hedged Fund	100%	0.32 %	0.04 %	0.05 %	0.05 %
FSF0654AU	CFS FC WS Index Global Share Fund	100%	0.32 %	*	0.05 %	0.05 %
FSF0655AU	CFS FC WS Index Property Securities Fund	100%	0.31 %	*	0.05 %	0.05 %
FSF0694AU	CFS FC WS Target Return Income	100%	*	0.22 %	0.15 %	0.15 %
COL0001AU	Charter Hall Maxim Property Securities Fund	25%	0.85 %	0.25 %	0.25 %	0.25 %
TGP0015AU	Clearbridge RARE Emerging Markets Fund	25%	1.23 %	0.26 %	0.12 %	0.05 %
TGP0016AU	Clearbridge RARE Infrastructure Income - Hedged A	25%	1.03 %	0.29 %	0.14 %	0.17 %
TGP0008AU	Clearbridge RARE Infrastructure Value - Hedged A	100%	1.03 %	0.05 %	0.12 %	0.05 %
TGP0034AU	Clearbridge RARE Infrastructure Value - Unhedged A	50%	0.97 %	0.17 %	0.12 %	0.05 %
CLA0002AU	Clime Australian Income Fund - Wsale	25%	1.24 %	*	0.25 %	0.25 %
ETL5525AU	Colchester Global Government Bond Fund - Class I	50%	0.62 %	0.08 %	*	*
CRM0008AU	Cromwell Phoenix Property Securities Fund	25%	0.95 %	*	0.20 %	0.20 %
WMF0001AU	Dalton Street Market Neutral Trust - Class A	10%	1.50 %	*	0.30 %	0.30 %
DFA0108AU	Dimensional 5 Year Diversified Fixed Interest - AUD	100%	0.28 %	0.01 %	0.05 %	0.05 %
DFA0101AU	Dimensional Australian Value Trust	100%	0.34 %	0.01 %	0.08 %	0.08 %
DFA0028AU	Dimensional Global Bond Trust	100%	0.35 %	*	0.10 %	0.10 %
DFA0106AU	Dimensional Global Small Company Trust	25%	0.65 %	*	0.12 %	0.12 %
DFA8313AU	Dimensional World Allocation 30/70 Trust	100%	0.37 %	*	0.08 %	0.08 %
DFA0033AU	Dimensional World Allocation 50/50 Trust	100%	0.40 %	*	0.08 %	0.08 %
DFA0029AU	Dimensional World Allocation 70/30	100%	0.41 %	*	0.10 %	0.10 %
EGG0001AU	Eley Griffiths Group Small Companies Fund	25%	1.25 %	*	0.24 %	0.24 %
GSF0001AU	Epoch Global Equity Shareholder Yield (Hdgd) - A Class	100%	1.30 %	*	0.20 %	0.20 %
GSF0002AU	Epoch Global Equity Shareholder Yield (Unhdgd) - A Class	100%	1.25 %	*	0.20 %	0.20 %
PIM7802AU	Fairlight Global Small and Mid Cap (SMID) Fund - A Class	100%	1.25 %	*	0.25 %	0.25 %
ANT0002AU	Fairview Equity Partners Emerging Companies Fund	25%	1.20 %	0.48 %	0.30 %	0.30 %
FID0010AU	Fidelity Asia Fund	100%	1.15 %	0.06 %	0.40 %	0.40 %
FID0008AU	Fidelity Australian Equities Fund	100%	0.85 %	*	0.20 %	0.20 %
FID0021AU	Fidelity Australian Opportunities Fund	50%	0.85 %	0.07 %	0.20 %	0.20 %
FID0011AU	Fidelity China Fund	100%	1.20 %	0.01 %	0.40 %	0.40 %
FID0026AU	Fidelity Future Leaders Fund	25%	1.20 %	0.45 %	0.25 %	0.25 %
FID0031AU	Fidelity Global Emerging Markets Fund	20%	1.00 %	*	0.40 %	0.40 %
FID0007AU	Fidelity Global Equities Fund	100%	0.99 %	0.08 %	0.30 %	0.30 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
FID0015AU	Fidelity India Fund	100%	1.20 %	0.03 %	0.40 %	0.40 %
WHT5134AU	Firetrail Absolute Return Fund - A Class	25%	1.50 %	1.22 %	0.50 %	0.50 %
WHT3810AU	Firetrail Australian High Conviction Fund - A Class	50%	0.95 %	0.14 %	0.25 %	0.25 %
WHT3093AU	Firetrail Australian Small Companies Fund - A Class	20%	0.85 %	*	*	*
FSF0027AU	First Sentier Wholesale Australian Bond	50%	*	0.10 %	0.10 %	0.10 %
CMI0111AU	First sentier Wholesale Australian Small Companies Fund	100%	1.12 %	0.60 %	0.15 %	0.15 %
FSF0468AU	First Sentier Wholesale Developing Companies Fund	0%	1.31 %	0.28 %	0.20 %	0.20 %
FSF0469AU	First Sentier Wholesale Future Leaders Fund	50%	*	0.40 %	0.20 %	0.20 %
FSF0043AU	First Sentier Wholesale Geared Share Fund	25%	2.23 %	0.31 %	0.50 %	0.50 %
FSF0084AU	First Sentier Wholesale Global Credit Income Fund	100%	0.62 %	0.21 %	0.15 %	0.15 %
FSF0905AU	First Sentier Wholesale Global Listed Infrastructure Fund	25%	1.22 %	0.49 %	0.10 %	0.10 %
FSF0454AU	First Sentier Wholesale Global Property Securities Fund	25%	1.03 %	0.54 %	0.10 %	0.10 %
FSF0004AU	First Sentier Wholesale Property Securities Fund	25%	0.82 %	0.03 %	0.10 %	0.10 %
FSF0075AU	First Sentier Wholesale Strategic Cash Fund	100%	0.41 %	*	*	*
FRT0027AU	Franklin Australian Absolute Return Bond Fund - Class W	50%	0.50 %	0.09 %	*	*
FRT0028AU	Franklin Australian Absolute Return Bond Fund CL I	50%	0.40 %	0.09 %	*	*
FRT0009AU	Franklin Global Growth Fund-Class W	50%	0.90 %	0.05 %	0.20 %	0.20 %
FRT0011AU	Franklin Templeton Multisector Bond Fund	20%	0.85 %	0.26 %	*	*
HOW0035AU	Greencape High Conviction Fund	25%	1.52 %	0.05 %	0.20 %	0.20 %
HOW0034AU	Greencape Wholesale Broadcap Fund	50%	1.89 %	0.01 %	0.20 %	0.20 %
BNT0003AU	Hyperion Australian Growth Companies Fund	100%	0.95 %	0.07 %	0.30 %	0.30 %
WHT8435AU	Hyperion Global Growth Companies Fund - Class B	50%	0.70 %	0.19 %	0.30 %	0.30 %
BNT0101AU	Hyperion Small Growth Companies Fund	100%	1.25 %	0.21 %	0.30 %	0.30 %
MAQ0404AU	IFP Global Franchise Fund	100%	1.37 %	0.02 %	0.20 %	0.14 %
ETL8171AU	Impax Sustainable Leaders Fund	50%	1.10 %	*	0.30 %	0.30 %
ETL6826AU	Inspire Australian Equities Fund	25%	*	*	*	*
CNA0812AU	INVECO W/Sale Australian Smaller Companies Fund - Class A	50%	0.55 %	0.12 %	0.25 %	0.25 %
IML0002AU	Investors Mutual Australian Share Fund	100%	0.99 %	0.04 %	*	*
IML0001AU	Investors Mutual Australian Smaller Companies Fund	25%	0.99 %	0.35 %	*	*
IML0010AU	Investors Mutual Concentrated Australian Share Fund	25%	0.99 %	*	*	*
IML0005AU	Investors Mutual Equity Income Fund	30%	0.99 %	0.07 %	*	*
IML0003AU	Investors Mutual Future Leaders Fund	50%	0.99 %	0.22 %	*	*
IML0004AU	Investors Mutual Industrial Share Fund	50%	0.99 %	0.11 %	*	*
MGL0010AU	Ironbark Global (Ex-Australia) Property Securities Fund	100%	1.23 %	0.27 %	0.30 %	0.30 %
MGL0011AU	Ironbark Global Property Securities Fund	100%	1.23 %	0.25 %	0.30 %	0.30 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
PAT0002AU	Ironbark Karara Australian Small Companies Fund	25%	1.20 %	0.24 %	0.20 %	0.20 %
PAL0002AU	Ironbark Paladin Property Securities Fund	25%	0.86 %	*	0.25 %	0.25 %
BGL0105AU	iShares Australian Bond Index Fund	100%	0.21 %	*	0.05 %	0.07 %
BGL0108AU	iShares Australian Listed Property Index Fund	100%	0.20 %	*	0.08 %	0.08 %
BGL0044AU	iShares Hedged International Equity Index Fund - D Class	100%	0.20 %	0.03 %	0.10 %	0.10 %
BGL0106AU	iShares International Equity Index Fund	100%	0.20 %	*	0.08 %	0.08 %
IOF0046AU	Janus Henderson Australian Fixed Interest Fund	100%	0.45 %	0.01 %	*	0.08 %
ETL0331AU	Janus Henderson Global Natural Resources Fund	20%	1.10 %	0.32 %	0.25 %	0.25 %
IOF0145AU	Janus Henderson Tactical Income Fund	100%	0.45 %	0.03 %	*	0.04 %
HOW0052AU	Kapstream Absolute Return Income Fund	50%	0.55 %	*	*	0.07 %
LTC0002AU	La Trobe Aust Credit Fund - 12 Mth Term Acc - Platform Class	25%	1.60 %	*	*	*
LAZ0003AU	Lazard Emerging Markets Equity Fund - I class	100%	1.15 %	*	0.35 %	0.35 %
LAZ0025AU	Lazard Global Equity Franchise Fund	20%	1.25 %	0.11 %	0.20 %	0.20 %
LAZ0014AU	Lazard Global Listed Infrastructure Fund	100%	0.98 %	0.14 %	0.25 %	0.25 %
LAZ0012AU	Lazard Global Small Caps Fund (W class)	100%	1.12 %	0.17 %	0.30 %	0.30 %
MMC0110AU	Loftus Peak Global Disruption Fund	10%	2.58 %	0.02 %	0.25 %	0.25 %
MAQ0640AU	Macquarie Asia New Stars No.1 Fund	10%	1.23 %	0.38 %	0.50 %	0.50 %
MAQ0274AU	Macquarie Dynamic Bond Fund	100%	0.63 %	0.22 %	0.12 %	0.17 %
MAQ0277AU	Macquarie Income Opportunities Fund	100%	0.51 %	0.07 %	0.15 %	0.18 %
MAQ0432AU	Macquarie Int'national Infrastructure Securities Fund Hedged	100%	1.02 %	0.29 %	0.33 %	0.33 %
MAQ0211AU	Macquarie True Index Australian Fixed Interest Fund	100%	*	*	0.04 %	0.07 %
MGE0001AU	Magellan Global Fund - Open Class Units	100%	1.35 %	*	0.07 %	0.07 %
MGE0007AU	Magellan Global Fund (Hedged)	100%	1.35 %	0.02 %	0.07 %	0.07 %
MGE0005AU	Magellan High Conviction Fund	25%	1.50 %	*	0.07 %	0.07 %
MGE0002AU	Magellan Infrastructure Fund	50%	1.05 %	0.01 %	0.15 %	0.15 %
MGE0006AU	Magellan Infrastructure Fund (Unhedged)	50%	1.05 %	*	0.15 %	0.15 %
ADV0046AU	Maple-Brown Abbott Australian Share Fund - Wholesale	25%	0.92 %	0.02 %	0.19 %	0.19 %
MPL0006AU	Maple-Brown Abbott Global Listed Infrastructure Fund	25%	0.98 %	0.05 %	0.15 %	0.15 %
SSB0026AU	Martin Currie Real Income Fund	50%	0.85 %	*	0.25 %	0.25 %
HBC0011AU	Merlon Wholesale Australian Share Income Fund	100%	0.95 %	0.02 %	0.20 %	0.20 %
EVO2608AU	Metrics Direct Income Fund	100%	*	*	*	*
ETL0172AU	MFS Concentrated Global Equity Trust - Wholesale	25%	0.90 %	*	0.15 %	0.15 %
ETL0041AU	MFS Fully Hedged Global Equity Trust	100%	0.80 %	*	0.15 %	0.15 %
MIA0001AU	MFS Global Equity Trust	100%	0.77 %	*	0.15 %	0.15 %
MLC0670AU	MLC WS Horizon 2 Income Portfolio	100%	0.79 %	0.23 %	0.10 %	0.10 %

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MLC0398AU	MLC WS Horizon 3 Conservative Growth Portfolio	100%	0.84 %	0.25 %	0.10 %	0.10 %
MLC0260AU	MLC WS Horizon 4 Balanced Portfolio	100%	0.89 %	0.26 %	0.10 %	0.10 %
MLC0265AU	MLC WS Horizon 5 Growth Portfolio	100%	0.94 %	0.19 %	0.10 %	0.10 %
MLC0397AU	MLC WS Horizon 6 Share Portfolio	100%	0.98 %	0.11 %	0.10 %	0.10 %
MLC0449AU	MLC WS Horizon 7 Accelerated Growth Portfolio	100%	1.13 %	0.13 %	0.15 %	0.15 %
MLC0264AU	MLC WS IncomeBuilder	100%	0.72 %	*	0.25 %	0.25 %
FHT0036AU	Montaka Global Long Only Fund	25%	1.28 %	0.65 %	0.25 %	0.25 %
FHT0030AU	Montgomery Fund	100%	1.52 %	0.08 %	0.25 %	0.25 %
FHT3726AU	Montgomery Small Companies Fund	20%	1.40 %	0.53 %	0.30 %	0.30 %
INT0028AU	Morningstar Balanced Real Return Fund	100%	0.53 %	*	0.10 %	0.06 %
INT0038AU	Morningstar Growth Real Return Fund	100%	0.60 %	*	0.10 %	0.06 %
INT0042AU	Morningstar High Growth Real Return Fund	100%	0.66 %	*	0.13 %	0.07 %
INT0034AU	Morningstar Moderate Real Return	100%	0.45 %	*	0.09 %	0.07 %
INT0040AU	Morningstar Multi-Asset Real Return Fund	100%	0.74 %	*	0.06 %	0.03 %
MUA0002AU	Munro Global Growth Fund - Ordinary Class	25%	1.35 %	0.29 %	0.15 %	0.15 %
ETL1411AU	Neuberger Berman Strategic Income Fund	100%	0.75 %	0.32 %	0.10 %	0.10 %
TYN0038AU	Nikko AM Australian Share Income Fund	50%	0.85 %	0.01 %	0.25 %	0.25 %
TYN0028AU	Nikko AM Australian Share Wholesale Fund	100%	0.80 %	*	0.25 %	0.25 %
HOW0016AU	Novaport Smaller Companies Fund	50%	0.90 %	0.03 %	0.30 %	0.30 %
OPS0001AU	OC Dynamic Equity Fund	25%	1.72 %	0.48 %	0.30 %	0.30 %
OPS0004AU	OC Micro-Cap Fund	25%	1.20 %	0.09 %	0.30 %	0.30 %
OPS0002AU	OC Premium Small Companies Fund	100%	1.20 %	0.16 %	0.30 %	0.30 %
PIM4401AU	Ophir Global High Conviction Fund	15%	0.25 %	0.25 %	0.35 %	0.35 %
ETL0463AU	Orbis Global Equity Fund - Retail Class	50%	0.99 %	0.20 %	0.25 %	0.25 %
ETL0419AU	Pan-Tribal Global Equity Fund	50%	1.20 %	0.11 %	0.15 %	0.15 %
GSF0008AU	Payden Global Income Opportunities Fund	25%	0.70 %	*	0.10 %	0.10 %
BTA0054AU	Pendal Asian Share Fund	100%	1.00 %	0.35 %	0.50 %	*
RFA0818AU	Pendal Australian Share Fund	100%	0.79 %	*	0.50 %	*
RFA0821AU	Pendal Concentrated Global Share No.2	100%	0.90 %	0.07 %	0.40 %	*
RFA0059AU	Pendal Focus Australian Share Fund	100%	0.75 %	0.04 %	0.25 %	0.25 %
RFA0130AU	Pendal Geared Imputation Fund	10%	1.45 %	0.12 %	0.80 %	*
RFA0025AU	Pendal Horizon Fund	100%	0.95 %	*	0.50 %	*
RFA0103AU	Pendal Imputation Fund	100%	0.90 %	0.02 %	0.50 %	*
RFA0061AU	Pendal MicroCap Opportunities Fund	25%	1.20 %	0.42 %	0.60 %	0.60 %
BTA0318AU	Pendal Monthly Income Plus Fund	100%	0.65 %	0.07 %	0.20 %	*

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
RFA0817AU	Pendal Property Investment Fund	100%	0.65 %	0.06 %	0.50 %	*
BTA0061AU	Pendal Property Securities Fund	100%	0.65 %	0.08 %	0.50 %	*
PCL0005AU	Pengana Australian Equities Fund	100%	1.07 %	0.01 %	0.20 %	0.20 %
HOW0002AU	Pengana Axiom International Ethical Fund	50%	1.33 %	0.20 %	0.30 %	0.30 %
PER0270AU	Pengana Emerging Companies Fund	100%	1.33 %	0.06 %	0.30 %	0.30 %
PCL0022AU	Pengana Global Small Companies Fund	25%	1.31 %	0.37 %	0.30 %	0.30 %
PCL0026AU	Pengana Harding Loevner International Fund Class B	100%	0.97 %	*	0.30 %	0.30 %
IOF0200AU	Perennial Value Australian Shares Trust	100%	0.92 %	0.20 %	0.30 %	0.30 %
IOF0206AU	Perennial Value Shares Wholesale Trust	100%	0.92 %	0.20 %	0.30 %	0.30 %
PER6115AU	Perpetual Diversified Real Return - Class Z	40%	0.41 %	0.09 %	0.11 %	0.11 %
PER0258AU	Perpetual Exact Market Cash Fund	100%	*	*	*	*
PER0049AU	Perpetual Wholesale Australian Share Fund	100%	0.99 %	0.11 %	0.30 %	*
PER0063AU	Perpetual Wholesale Balanced Growth Fund	100%	1.08 %	0.17 %	0.30 %	*
PER0102AU	Perpetual Wholesale Concentrated Equity Fund	100%	1.10 %	0.05 %	0.15 %	0.15 %
PER0260AU	Perpetual Wholesale Diversified Income Fund	100%	0.70 %	0.23 %	0.10 %	0.10 %
PER0116AU	Perpetual Wholesale Ethical SRI Fund	100%	1.18 %	0.05 %	0.15 %	0.15 %
PER0071AU	Perpetual Wholesale Geared Australian Share Fund	100%	1.17 %	0.21 %	0.38 %	0.38 %
PER0046AU	Perpetual Wholesale Industrial Share Fund	100%	0.99 %	0.12 %	0.30 %	*
PER0050AU	Perpetual Wholesale International Share Fund	100%	1.25 %	0.79 %	0.30 %	*
PER0072AU	Perpetual Wholesale SHARE-PLUS Long-Short Fund	25%	1.39 %	0.29 %	0.20 %	0.20 %
PER0048AU	Perpetual Wholesale Smaller Companies Fund	100%	1.25 %	0.14 %	0.15 %	0.15 %
ETL0015AU	PIMCO Australian Bond Fund - Wholesale Class	100%	0.53 %	0.04 %	*	0.25 %
ETL0182AU	PIMCO Australian Short-Term Bond Fund - Wholesale Class	100%	0.57 %	0.01 %	*	0.10 %
ETL0016AU	PIMCO Diversified Fixed Interest Fund - Wholesale Class	100%	0.56 %	0.05 %	*	0.10 %
ETL0018AU	PIMCO Global Bond Fund - Wholesale Class	100%	0.58 %	0.10 %	*	0.10 %
ETL0019AU	PIMCO Global Credit Fund - Wholesale Class	100%	0.66 %	0.02 %	*	0.20 %
ETL0458AU	PIMCO Income Fund - Wholesale	40%	0.90 %	0.09 %	*	*
PLA0004AU	Platinum Asia Fund - C Class	100%	1.37 %	0.27 %	0.15 %	0.15 %
PLA0001AU	Platinum European Fund - C Class	25%	1.45 %	0.01 %	0.20 %	0.20 %
PLA0006AU	Platinum Global Fund (Long Only) - C Class	50%	1.35 %	0.11 %	0.15 %	0.15 %
PLA0100AU	Platinum International Brands Fund - C Class	10%	1.47 %	0.12 %	0.15 %	0.15 %
PLA0002AU	Platinum International Fund - C Class	100%	1.41 %	0.08 %	0.15 %	0.15 %
PLA0101AU	Platinum International Technology Fund - C Class	25%	1.37 %	*	0.15 %	0.15 %
PLA0003AU	Platinum Japan Fund - C Class	100%	1.44 %	0.13 %	0.15 %	0.15 %
WHT0039AU	Plato Australian Shares Income Fund	100%	0.90 %	0.02 %	0.20 %	0.20 %

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
WHT0061AU	Plato Global Shares Income Fund - Class A	50%	0.99 %	0.51 %	0.30 %	0.30 %
PMC0103AU	PM Capital Enhanced Yield Fund	100%	0.55 %	*	0.15 %	0.15 %
PGI0001AU	Principal Global Credit Opportunities Fund	20%	0.80 %	*	0.15 %	0.30 %
BFL0020AU	Quay Global Real Estate Fund - Daily Series	10%	0.92 %	0.21 %	0.30 %	0.25 %
ETL4748AU	Quest Long Short Australian Equities Fund	25%	*	*	*	*
FSF0976AU	Realindex Australian Share Fund - Class A	100%	0.61 %	0.18 %	0.05 %	0.05 %
FSF0978AU	Realindex Australian Small Companies Fund - Class A	25%	0.81 %	0.27 %	0.05 %	0.05 %
FSF0974AU	Realindex Global Share Fund - Class A - W/S	50%	0.72 %	0.33 %	0.10 %	0.10 %
FSF0975AU	Realindex Global Share Hedged Fund - Class A	50%	0.72 %	0.36 %	0.10 %	0.10 %
IOF0081AU	Resolution Capital Global Property Sec Fund - S2	50%	1.05 %	0.31 %	0.20 %	0.20 %
IOF0184AU	Resolution Capital Global Property Sec Unhedged S2	50%	1.05 %	0.32 %	0.20 %	0.20 %
WHT0015AU	Resolution Capital Global Property Securities Fund - A	100%	0.95 %	0.41 %	0.20 %	0.20 %
RIM0001AU	Russell Balanced Fund - A ClassFR031	100%	0.87 %	0.10 %	0.19 %	0.18 %
RIM0002AU	Russell Conservative Fund - A ClassFR029	100%	0.71 %	0.05 %	0.16 %	0.17 %
RIM0003AU	Russell Diversified 50 Fund - A ClassFR028	100%	0.81 %	0.08 %	0.17 %	0.18 %
RIM0004AU	Russell Growth Fund - A Class FR030	100%	0.95 %	0.12 %	0.18 %	0.17 %
RIM0034AU	Russell High Growth Fund - A ClassFR117	100%	1.04 %	0.17 %	0.22 %	0.20 %
RIM0086AU	Russell Inv Multi-Asset Growth Strategy - Retail	100%	0.95 %	0.11 %	0.23 %	0.24 %
RIM0087AU	Russell Inv Multi-Asset Growth Strategy Plus A FR292	100%	1.07 %	0.24 %	0.29 %	0.29 %
RIM0089AU	Russell Inv Multi-Asset Income Strategy A FR294	50%	0.84 %	*	0.21 %	0.23 %
SCH0103AU	Schroder Absolute Return Income - W/sale	100%	0.54 %	*	0.20 %	0.20 %
SCH0002AU	Schroder Australian Equity Fund - Professional Class	100%	0.62 %	*	0.25 %	0.25 %
SCH0016AU	Schroder Fixed Income Fund - Professional Class	25%	0.28 %	*	0.12 %	0.12 %
SCH0028AU	Schroder Fixed Income Fund - Wholesale Class	100%	0.45 %	*	0.12 %	0.12 %
SCH0034AU	Schroder Global Emerging Markets Fund - Wholesale Class	20%	1.00 %	0.05 %	0.30 %	0.30 %
SCH0030AU	Schroder Global Value Fund - Wholesale Class	100%	0.75 %	0.08 %	0.20 %	0.15 %
SCH0032AU	Schroder Global Value Fund (Hedged) - Wholesale Class	100%	0.75 %	0.06 %	0.23 %	0.18 %
SCH0047AU	Schroder Real Return Fund - Wholesale Class	100%	0.85 %	*	0.20 %	0.20 %
SCH0102AU	Schroder Strategic Growth Fund - Wholesale Class	100%	0.85 %	0.01 %	0.22 %	0.22 %
SCH0101AU	Schroder Wholesale Australian Equity Fund	100%	0.80 %	*	0.25 %	0.25 %
ETL0062AU	SGH ICE Fund	25%	1.19 %	0.21 %	0.35 %	0.35 %
ASC0001AU	Smallco Investment Fund	20%	1.40 %	0.18 %	0.45 %	0.45 %
CRE0014AU	Smarter Money Active Cash Fund - Assisted Investor	100%	0.66 %	0.01 %	*	0.03 %
SLT0052AU	Smarter Money Higher Income Fund - Assisted Investor	25%	0.69 %	0.02 %	*	0.03 %
SLT2562AU	Smarter Money Long Short Credit Fund	25%	1.00 %	0.04 %	*	0.05 %

Managed Funds

APIR Code	Fund Name	Single Security Limit ^	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
WHT0012AU	Solaris Core Australian Equity Fund	100%	0.90 %	0.09 %	0.30 %	0.30 %
SOL0001AU	Solaris Core Australian Equity Fund (Performance Alignment)	50%	*	0.09 %	0.30 %	0.30 %
SST0004AU	State Street Australian Equities Index Trust	50%	0.16 %	*	0.08 %	0.08 %
SST0048AU	State Street Australian Equity Fund	100%	0.70 %	0.03 %	0.25 %	0.25 %
SST0050AU	State Street Global Equity Fund	100%	0.85 %	0.02 %	0.10 %	0.10 %
FSF1085AU	Stewart Investors Wholesale Global Emerging Markets Leaders	20%	*	0.14 %	0.20 %	0.20 %
FSF0047AU	Stewart Investors Worldwide Leaders	20%	1.16 %	0.17 %	0.10 %	0.10 %
FSF1675AU	Stewart Investors Worldwide Sustainability Fund	100%	0.82 %	0.04 %	0.15 %	0.15 %
ETL0328AU	T.Rowe Price Australian Equity Fund	50%	0.60 %	0.16 %	0.10 %	0.10 %
ETL0398AU	T.Rowe Price Dynamic Global Bond Fund	25%	0.37 %	0.71 %	0.25 %	0.50 %
ETL0071AU	T.Rowe Price Global Equity Fund	100%	1.11 %	0.11 %	0.25 %	0.25 %
ETL0069AU	Tribeca Alpha Plus Fund	25%	0.97 %	0.98 %	0.30 %	0.30 %
SBC0813AU	UBS Australian Bond Fund	100%	0.45 %	0.03 %	0.03 %	0.08 %
SBC0817AU	UBS Australian Share Fund	100%	0.90 %	0.08 %	0.25 %	0.25 %
UBS0004AU	UBS Australian Small Companies Fund	100%	0.85 %	0.32 %	0.45 %	0.45 %
SBC0811AU	UBS Cash Fund	100%	0.10 %	*	*	*
HML0016AU	UBS Clarion Global Property Securities Fund	50%	0.90 %	0.10 %	0.25 %	0.25 %
SBC0007AU	UBS Diversified Fixed Income Fund	100%	0.55 %	0.05 %	0.05 %	0.15 %
UBS0003AU	UBS Income Solution Fund	100%	0.60 %	0.09 %	0.10 %	0.20 %
SBC0816AU	UBS Property Securities Fund	30%	0.85 %	0.17 %	0.25 %	0.25 %
SBC0812AU	UBS Short Term Fixed Income Fund	50%	0.30 %	0.03 %	*	0.05 %
VAN0722AU	Vanguard Active Global Growth Fund	50%	0.52 %	0.21 %	0.12 %	0.12 %
VAN0065AU	Vanguard Australian Corporate Fixed Interest Index Fund	50%	0.29 %	0.13 %	0.15 %	0.15 %
VAN0001AU	Vanguard Australian Fixed Interest Index Fund	100%	0.24 %	0.03 %	0.08 %	0.08 %
VAN0025AU	Vanguard Australian Government Bond Index Fund - W/S	100%	0.29 %	*	0.06 %	0.06 %
VAN0064AU	Vanguard Australian Inflation Linked Bond Fund	50%	0.29 %	0.01 %	0.10 %	0.10 %
VAN0004AU	Vanguard Australian Property Securities Index Fund	100%	0.23 %	*	0.06 %	0.06 %
VAN0104AU	Vanguard Australian Shares High Yield Fund	100%	0.38 %	0.01 %	0.05 %	0.05 %
VAN0002AU	Vanguard Australian Shares Index Fund	100%	0.18 %	*	0.05 %	0.05 %
VAN0108AU	Vanguard Balanced Index fund w/s	100%	0.29 %	0.15 %	0.10 %	0.10 %
VAN0020AU	Vanguard Cash Reserve Fund	100%	0.15 %	*	*	*
VAN0109AU	Vanguard Conservative Index Fund w/s	100%	0.29 %	0.15 %	0.10 %	0.10 %
VAN0042AU	Vanguard Diversified Bond Index Fund	100%	0.29 %	0.16 %	0.12 %	0.12 %
VAN0005AU	Vanguard Emerging Markets Share Index Fund	100%	0.56 %	*	0.22 %	0.22 %
VAN0023AU	Vanguard Global Infrastructure Index Fund	50%	0.49 %	*	0.07 %	0.07 %

Managed Funds

APIR Code	Fund Name	Single Security Limit [^]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
VAN0024AU	Vanguard Global Infrastructure Index Fund (Hedged)	50%	0.52 %	*	0.12 %	0.12 %
VAN0110AU	Vanguard Growth Index Fund w/s	100%	0.29 %	0.11 %	0.09 %	0.09 %
VAN0111AU	Vanguard High Growth Index Fund w/s	100%	0.29 %	0.07 %	0.08 %	0.08 %
VAN0106AU	Vanguard Int Credit Sec Index Fund (hedged)	100%	0.32 %	0.22 %	0.20 %	0.20 %
VAN0103AU	Vanguard Int Fixed Interest Index (Hedged)	100%	0.26 %	0.09 %	0.08 %	0.08 %
VAN0018AU	Vanguard International Property Securities Index Fund	100%	0.40 %	*	0.07 %	0.07 %
VAN0019AU	Vanguard International Property Securities Index Fund Hedged	100%	0.43 %	*	0.09 %	0.09 %
VAN0003AU	Vanguard International Shares Index Fund	100%	0.18 %	*	0.06 %	0.06 %
VAN0105AU	Vanguard International Shares Index Fund (Hedged)	100%	0.21 %	0.07 %	0.08 %	0.08 %
VAN0021AU	Vanguard International Small Companies Index Fund	25%	0.40 %	0.02 %	0.12 %	0.12 %
VAN0022AU	Vanguard International Small Companies Index Fund (Hedged)	25%	0.43 %	*	0.17 %	0.17 %
MAQ0410AU	Walter Scott Global Equity Fund	100%	1.28 %	*	0.12 %	0.08 %
MAQ0557AU	Walter Scott Global Equity Fund (Hedged)	100%	1.28 %	0.12 %	0.17 %	0.13 %
ETL8732AU	Watermark Absolute Return Fund	20%	*	*	*	*
SSB0122AU	Western Asset Australian Bond Fund	100%	0.42 %	*	0.08 %	0.08 %
BFL3446AU	Wheelhouse Global Equity Income Fund	100%	0.89 %	*	0.30 %	0.30 %
TYN0104AU	Yarra Australian Bond Fund	100%	0.30 %	*	0.05 %	0.05 %
JBW0009AU	Yarra Australian Equities Fund	100%	0.93 %	0.11 %	0.15 %	0.15 %
JBW0018AU	Yarra Enhanced Income Fund	100%	0.78 %	0.06 %	0.10 %	0.10 %
JBW0103AU	Yarra Global Small Companies Fund	20%	1.25 %	0.05 %	0.10 %	0.10 %
JBW0016AU	Yarra Income Plus Fund	25%	0.68 %	0.04 %	0.10 %	0.10 %
ZUR0064AU	Zurich Investments Australian Property Securities Fund	30%	0.81 %	*	0.30 %	0.30 %
ZUR0617AU	Zurich Investments Concentrated Global Growth Fund	25%	1.11 %	*	0.06 %	0.06 %
ZUR0061AU	Zurich Investments Global Thematic Share Fund	25%	1.03 %	*	0.06 %	0.06 %

* this means that the fee or cost was not available as at the date of producing the Investment Booklet. You should refer to underlying product disclosure statement for the investment option for full details about fees and costs.

- this means that the fee or cost is not applicable for this investment.

** Aggregated holding limits apply. Please refer to 'Investment Holding Limits' in this Booklet for the aggregated holding limits that apply to Managed Funds.

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ONT	1300 SMILES LIMITED ORDINARY	Share	10%	-	-	-	-
14D	1414 DEGREES LIMITED ORDINARY	Share	10%	-	-	-	-
1PG	1-PAGE LTD ORDINARY	Share	10%	-	-	-	-
1ST	1ST GROUP LTD ORDINARY	Share	10%	-	-	-	-
29M	29METALS LIMITED	Share	10%	-	-	-	-
T3D	333D LIMITED ORDINARY	Share	10%	-	-	-	-
TCF	360 CAPITAL EI FUND UNITS	Exchange Traded Product	10%	*	*	*	*
TGP	360 CAPITAL GRP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
TOT	360 CAPITAL REIT UNITS STAPLED	Stapled Security	10%	-	-	-	-
3MF	3D METALFORGE ORDINARY	Share	10%	-	-	-	-
DDD	3D RESOURCES LIMITED ORDINARY	Share	10%	-	-	-	-
3PL	3P LEARNING LTD ORDINARY	Share	10%	-	-	-	-
4DX	4DMEDICAL LIMITED ORDINARY	Share	20%	-	-	-	-
4DS	4DS MEMORY LIMITED ORDINARY	Share	10%	-	-	-	-
5GN	5G NETWORKS LIMITED ORDINARY	Share	10%	-	-	-	-
88E	88 ENERGY LTD ORDINARY	Share	10%	-	-	-	-
8CO	8COMMON LIMITED ORDINARY	Share	10%	-	-	-	-
9SP	9 SPOKES INT LIMITED ORDINARY	Share	10%	-	-	-	-
92E	92ENERGY ORDINARY	Share	10%	-	-	-	-
99L	99 LOYALTY LTD. CDI 1:1	Share	10%	-	-	-	-
A2B	A2B AUSTRALIA LTD ORDINARY	Share	10%	-	-	-	-
ABP	ABACUS PROPERTY GRP. UNITS/ORD STAPLED	Stapled Security	20%	-	-	-	-
ABL	ABILENE OIL & GAS ORDINARY	Share	10%	-	-	-	-
AEQ	ABSOLUTE EQUITY PERF ORDINARY	LIC - Australian	20%	*	*	*	*
AX8	ACCELERATE RESOURCES ORDINARY	Share	10%	-	-	-	-
AX1	ACCENT GROUP LTD ORDINARY	Share	20%	-	-	-	-
ACQ	ACORN CAP INV FUND ORDINARY	LIC - Australian	20%	*	*	*	*
ACF	ACROW FORMWORK ORDINARY	Share	10%	-	-	-	-
ACR	ACRUX LIMITED ORDINARY	PDF	10%	-	-	-	-
ACW	ACTINOGEN MEDICAL ORDINARY	Share	10%	-	-	-	-
XARO	ACTIVEXARDEAREALBND TMF UNITS	ETF - Domestic	50%	*	*	*	*
AD1	AD1 HOLDINGS LIMITED ORDINARY	Share	10%	-	-	-	-
ADA	ADACEL TECHNOLOGIES ORDINARY	Share	10%	-	-	-	-
ADH	ADAIRS LIMITED ORDINARY	Share	20%	-	-	-	-
1AD	ADALTA LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ABC	ADELAIDE BRIGHTON ORDINARY	Share	20%	-	-	-	-
ADR	ADHERIUM LTD ORDINARY	Share	10%	-	-	-	-
ADY	ADMIRALTY RESOURCES. ORDINARY	Share	10%	-	-	-	-
ABY	ADORE BEAUTY ORDINARY	Share	20%	-	-	-	-
ADT	ADRIATIC METALS CDI 1:1	Share	20%	-	-	-	-
ADS	ADSLOT LTD. ORDINARY	Share	10%	-	-	-	-
ABV	ADV BRAKING TECH LTD ORDINARY	Share	10%	-	-	-	-
AHI	ADV HUMAN IMAG LTD ORDINARY	Share	10%	-	-	-	-
ANO	ADVANCE ZINCTEK LTD ORDINARY	Share	20%	-	-	-	-
AV1	ADVERITAS LTD ORDINARY	Share	10%	-	-	-	-
ADX	ADX ENERGY LTD ORDINARY	Share	10%	-	-	-	-
AER	AEERIS LTD ORDINARY	Share	10%	-	-	-	-
AML	AEON METALS LTD. ORDINARY	Share	10%	-	-	-	-
AEI	AERIS ENVIRONMENTAL ORDINARY	Share	10%	-	-	-	-
AIS	AERIS RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
AMX	AEROMETREX LIMITED ORDINARY	Share	10%	-	-	-	-
AFL	AF LEGAL GROUP LTD ORDINARY	Share	10%	-	-	-	-
AFR	AFRICAN ENERGY RES CHESS DEPOSITARY INT	Share	10%	-	-	-	-
A1G	AFRICAN GOLD LTD. ORDINARY	Share	20%	-	-	-	-
APT	AFTERPAY LIMITED ORDINARY	Share	20%	-	-	-	-
AGC	AGC LTD ORDINARY	Share	10%	-	-	-	-
AGL	AGL ENERGY LIMITED. ORDINARY	Share	20%	-	-	-	-
AGJ	AGRICULTURAL LAND ORDINARY UNITS	Listed Property Trust	10%	-	-	-	-
AMN	AGRIMIN LTD ORDINARY	Share	10%	-	-	-	-
AGRR	AGUIA RESOURCES LIMITED RENOUNCEABLE RIGHTS EXP 19JUN20	Right	10%	-	-	-	-
A1M	AIC MINES LTD ORDINARY	Share	10%	-	-	-	-
AIM	AI-MEDIA TECHNOLOGIE ORDINARY	Share	10%	-	-	-	-
APW	AIMS PROR SEC FUND UNITS	Listed Property Trust	10%	-	-	-	-
AGI	AINSWORTH GAME TECH. ORDINARY	Share	10%	-	-	-	-
AIZ	AIR NEW ZEALAND ORDINARY	Share	20%	-	-	-	-
AASF	AIRLIE AUS FUND TMF UNITS	ETF - Domestic	25%	*	*	*	*
ART	AIRTASKER LIMITED ORDINARY	Share	10%	-	-	-	-
AJL	AJ LUCAS GROUP ORDINARY	Share	10%	-	-	-	-
AUQ	ALARA RESOURCES LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ALY	ALCHEMY RESOURCE LTD ORDINARY	Share	10%	-	-	-	-
ALC	ALCIDION GROUP LTD ORDINARY	Share	10%	-	-	-	-
ARN	ALDORO RESOURCES ORDINARY	Share	10%	-	-	-	-
LEP	ALE PROPERTY GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
AJX	ALEXIUM INT GROUP ORDINARY	Share	10%	-	-	-	-
AQX	ALICE QUEEN LTD ORDINARY	Share	10%	-	-	-	-
ALK	ALKANE RESOURCES LTD ORDINARY	Share	20%	-	-	-	-
AQZ	ALLIANCE AVIATION ORDINARY	Share	20%	-	-	-	-
AGS	ALLIANCE RESOURCES ORDINARY	Share	10%	-	-	-	-
AGE	ALLIGATOR ENERGY ORDINARY	Share	10%	-	-	-	-
A4N	ALPHA HPA LTD ORDINARY	Share	20%	-	-	-	-
ALQ	ALS LTD ORDINARY	Share	20%	-	-	-	-
AZI	ALTA ZINC LTD ORDINARY	Share	10%	-	-	-	-
AGH	ALTHEA GROUP ORDINARY	Share	10%	-	-	-	-
ALU	ALTIUM LIMITED ORDINARY	Share	20%	-	-	-	-
AME	ALTO METALS LIMITED ORDINARY	Share	10%	-	-	-	-
AJM	ALTURA MINING LTD ORDINARY	Share	20%	-	-	-	-
AWC	ALUMINA LIMITED ORDINARY	Share	20%	-	-	-	-
AMA	AMA GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
3DA	AMAERO INTERNATIONAL ORDINARY	Share	10%	-	-	-	-
ANL	AMANI GOLD LTD ORDINARY	Share	10%	-	-	-	-
AMH	AMCIL LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
AMC	AMCOR PLC CDI1:1FOREXEMPT NYSE	Share	20%	-	-	-	-
ABR	AMERICAN PACIFIC ORDINARY	Share	20%	-	-	-	-
ARR	AMERICAN RARE EARTHS ORDINARY	Share	10%	-	-	-	-
AL3	AML3D ORDINARY	Share	10%	-	-	-	-
AMPPA	AMP LIMITED CN 3M PER Q RD T-21	Hybrid Security (Payable Date)	20%	-	-	-	-
AMP	AMP LIMITED ORDINARY	Share	20%	-	-	-	-
AMPPB	AMP LTD CAPITAL NOTES 2	Hybrid Security (Payable Date)	20%	-	-	-	-
DMKT	AMPCAP DYNAMIC MKTHF TMF UNITS	ETF - Domestic	20%	0.61 %	0.50 %	*	*
GLIN	AMPCAP GLOBAL INFRA TMF UNITS	ETF - Domestic	20%	0.94 %	0.17 %	*	*
RENT	AMPCAP GLOBAL PROP TMF UNITS	ETF - Domestic	20%	0.99 %	0.06 %	*	*
ATX	AMPLIA THERAPEUTICS ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ALD	AMPOL LIMITED ORDINARY	Share	20%	-	-	-	-
ANR	ANATARA LS LTD ORDINARY	Share	10%	-	-	-	-
ADN	ANDROMEDA METALS LTD ORDINARY	Share	20%	-	-	-	-
AS1	ANGEL SEAFOOD ORDINARY	Share	10%	-	-	-	-
AAR	ANGLO AUSTRALIAN ORDINARY	Share	10%	-	-	-	-
AGG	ANGLOGOLD ASHANTI CDI 5:1	Share	20%	-	-	-	-
AWV	ANOVA METALS LTD ORDINARY	Share	10%	-	-	-	-
AND	ANSARADA GROUP LTD ORDINARY	Share	10%	-	-	-	-
ANN	ANSELL LIMITED ORDINARY	Share	20%	-	-	-	-
ASN	ANSON RESOURCES LIMITED	Share	10%	-	-	-	-
ADO	ANTEOTECH LTD ORDINARY	Share	20%	-	-	-	-
AVR	ANTERIS TECHNOLOGIES ORDINARY	Share	10%	-	-	-	-
AZY	ANTIPA MINERALS LTD ORDINARY	Share	10%	-	-	-	-
APL	ANTIPODES GLOBAL INV ORDINARY	LIC - International	20%	*	*	*	*
ANP	ANTISENSE THERAPEUT. ORDINARY	Share	10%	-	-	-	-
AGX1	ANPDS GLOBL SHRS TMF UNITS	ETF - Domestic	25%	1.10 %	0.31 %	0.30 %	0.30 %
ANZPE	ANZ BANKING GRP LTD CAP NOTE 2	Hybrid Security (Payable Date)	20%	-	-	-	-
ANZPF	ANZ BANKING GRP LTD CAP NOTE 3	Hybrid Security (Payable Date)	20%	-	-	-	-
ANZPG	ANZ BANKING GRP LTD CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
ANZPH	ANZ BANKING GRP LTD CN 3M PER Q RD T-25	Hybrid Security (Payable Date)	20%	-	-	-	-
ANZPI	ANZ BANKING GRP LTD CN 3M PER Q RD T-28	Hybrid Security (Payable Date)	20%	-	-	-	-
ANZ	ANZ BANKING GRP LTD ORDINARY	Share	20%	-	-	-	-
APA	APA GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
AHX	APIAM ANIMAL HEALTH ORDINARY	Share	10%	-	-	-	-
AOP	APOLLO CONSOLIDATED ORDINARY	Share	10%	-	-	-	-
AON	APOLLO MINERALS LTD ORDINARY	Share	10%	-	-	-	-
ATL	APOLLO TOURISM ORDINARY	Share	10%	-	-	-	-
APX	APPEN LIMITED ORDINARY	Share	20%	-	-	-	-
AFW	APPLYFLOW LIMITED ORDINARY	Share	10%	-	-	-	-
ARU	ARAFURA RESOURCE LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ARB	ARB CORPORATION. ORDINARY	Share	20%	-	-	-	-
ARC	ARC FUNDS LIMITED ORDINARY	Share	10%	-	-	-	-
AXE	ARCHER MATERIALS ORDINARY	Share	10%	-	-	-	-
AR9	ARCHTIS LIMITED ORDINARY	Share	10%	-	-	-	-
ARLXE	ARDEA RESOURCES LTD ENT GODOLPHIN RESLTD	Share	10%	-	-	-	-
ARL	ARDEA RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
ALG	ARDENT LEISURE GROUP LTD	Share	20%	-	-	-	-
ADV	ARDIDEN LTD ORDINARY	Share	10%	-	-	-	-
ARF	ARENA REIT. ORDINARY UNITS	Stapled Security	20%	-	-	-	-
ALI	ARGO GLOBAL LTD ORDINARY	LIC - Specialist	20%	*	*	*	*
ARG	ARGO INVESTMENTS ORDINARY	LIC - Australian	20%	*	*	*	*
AGY	ARGOSY MINERALS LTD ORDINARY	Share	10%	-	-	-	-
ARA	ARIADNE AUSTRALIA ORDINARY	Share	10%	-	-	-	-
ALL	ARISTOCRAT LEISURE ORDINARY	Share	20%	-	-	-	-
ALLR	ARISTOCRAT LEISURE RTS01NOV21 FORUSDEF	Right	10%	-	-	-	-
AZL	ARIZONA LITHIUM LTD ORDINARY	Share	10%	-	-	-	-
AJQ	ARMOUR ENERGY LTD ORDINARY	Share	10%	-	-	-	-
ARX	AROA BIOSURGERY ORDINARY	Share	20%	-	-	-	-
ALA	AROVELLA THERAPEUTIC ORDINARY	Share	10%	-	-	-	-
ARV	ARTEMIS RESOURCES ORDINARY	Share	10%	-	-	-	-
AFA	ASF GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
ASH	ASHLEY SERVICES GRP ORDINARY	Share	10%	-	-	-	-
APZ	ASPEN GROUP ORD/UNITS STAPLED	Stapled Security	10%	-	-	-	-
AKM	ASPIRE MINING LTD ORDINARY	Share	10%	-	-	-	-
AO1	ASSETOWL LIMITED ORDINARY	Share	10%	-	-	-	-
ASO	ASTON MINERALS LTD ORDINARY	Share	10%	-	-	-	-
ARO	ASTRO RESOURCES NL ORDINARY	Share	10%	-	-	-	-
ATR	ASTRON CORP LTD CDI 1:1	Share	10%	-	-	-	-
ASX	ASX LIMITED ORDINARY	Share	20%	-	-	-	-
ALX	ATLAS ARTERIA ORDINARY STAPLED	Stapled Security	20%	-	-	-	-
ATP	ATLAS PEARLS LTD ORDINARY	Share	10%	-	-	-	-
AT1	ATOMO DIAGNOSTICS LIMITED	Share	10%	-	-	-	-
AMS	ATOMOS ORDINARY	Share	10%	-	-	-	-
ATU	ATRUM COAL LTD ORDINARY	Share	10%	-	-	-	-
AUB	AUB GROUP LTD ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
AIA	AUCKLAND INTERNATION ORDINARY	Share	20%	-	-	-	-
AKL	AUCKLAND REAL ESTATE UNIT	Listed Property Trust	10%	-	-	-	-
AVC	AUCTUS INVEST GRP ORDINARY	Share	10%	-	-	-	-
AD8	AUDINATEGROUPLTD ORDINARY	Share	20%	-	-	-	-
AKP	AUDIO PIXELS LTD ORDINARY	Share	20%	-	-	-	-
AUK	AUMAKE LIMITED. ORDINARY	Share	10%	-	-	-	-
AEE	AURA ENERGY ORDINARY	Share	10%	-	-	-	-
AMI	AURELIA METALS LTD ORDINARY	Share	20%	-	-	-	-
AUR	AURIS MINERALS LTD ORDINARY	Share	10%	-	-	-	-
AZJ	AURIZON HOLDINGS LIMITED ORDINARY	Share	20%	-	-	-	-
AOU	AUROCH MINERALS LTD ORDINARY	Share	10%	-	-	-	-
A3D	AURORA LABS LIMITED ORDINARY	Share	10%	-	-	-	-
AUN	AURUMIN ORDINARY	Share	10%	-	-	-	-
ASM	AUS STRATEGIC MATERIALS LTD ORDINARY	Share	20%	-	-	-	-
ANW	AUS TIN MINING ORDINARY	Share	10%	-	-	-	-
AC8	AUSCANN GRP HLGS LTD ORDINARY	Share	10%	-	-	-	-
GSBK51	AUSGOV TREASURY BOND TB 1.75% 06-51 6M	Bond	20%	-	-	-	-
GSBI30	AUSGOV TREASURY BOND TB 2.50% 05-30 6M	Bond	20%	-	-	-	-
GSBU28	AUSGOV TREASURY BOND TB 2.75% 11-28 6M	Bond	20%	-	-	-	-
GSBG29	AUSGOV TREASURY BOND TB 3.25% 04-29 6M	Bond	20%	-	-	-	-
GSBG37	AUSGOV TREASURY BOND TB 3.75% 04-37 6M	Bond	20%	-	-	-	-
GSBG33	AUSGOV TREASURY BOND TB 4.50% 04-33 6M	Bond	20%	-	-	-	-
GSBG27	AUSGOV TREASURY BOND TB 4.75% 04-27 6M	Bond	20%	-	-	-	-
GSIC50	AUSGOV TRES.IND BOND TIB +1.00% 02-50 3M	Bond	20%	-	-	-	-
AST	AUSNET SERVICES LTD ORDINARY	Share	20%	-	-	-	-
AQD	AUSQUEST LIMITED ORDINARY	Share	10%	-	-	-	-
ABB	AUSSIE BROADBAND ORDINARY	Share	20%	-	-	-	-
ABX	AUST BAUXITE LTD. ORDINARY	Share	10%	-	-	-	-
ACO	AUST CAREERS NETWORK ORDINARY	Share	10%	-	-	-	-
AHF	AUST DAIRY GROUP STAPLED	Share	10%	-	-	-	-
AFG	AUST FINANCE GRP ORDINARY	Share	20%	-	-	-	-
APC	AUST POTASH LTD ORDINARY	Share	10%	-	-	-	-
ARCXE	AUST RURAL CAP LTD ENT TO SHARES IN NAM	Share	10%	-	-	-	-
AVL	AUST VANADIUM LTD ORDINARY	Share	10%	-	-	-	-
AVG	AUST VINTAGE LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ASB	AUSTAL LIMITED ORDINARY	Share	20%	-	-	-	-
AHC	AUSTCO HEALTHCARE ORDINARY	Share	10%	-	-	-	-
ANG	AUSTIN ENGINEERING ORDINARY	Share	10%	-	-	-	-
APG	AUSTPAC RESOURCES NL ORDINARY	Share	10%	-	-	-	-
AGD	AUSTRAL GOLD ORDINARY	Share	10%	-	-	-	-
AAP	AUSTRALIAN AGRI LTD ORDINARY	Share	10%	-	-	-	-
AAC	AUSTRALIAN AGRICULT. ORDINARY	Share	20%	-	-	-	-
ACL	AUSTRALIAN CLINICAL LABS LTD	Share	10%	-	-	-	-
AEF	AUSTRALIAN ETHICAL ORDINARY	Share	20%	-	-	-	-
AFI	AUSTRALIAN FOUNDAT. ORDINARY	LIC - Australian	20%	*	*	*	*
AUZ	AUSTRALIAN MINES LTD ORDINARY	Share	10%	-	-	-	-
API	AUSTRALIAN PHARM. ORDINARY	Share	20%	-	-	-	-
APCRA	AUSTRALIAN POTASH LTD RENOUNCEABLE RIGHTS EXP 19MAY20	Right	10%	-	-	-	-
AUI	AUSTRALIAN UNITED IN ORDINARY	LIC - Australian	20%	*	*	*	*
AYUPA	AUSTRALIAN UNITY LTD MCI CN 5.00% PER 6M	Hybrid Security (Payable Date)	20%	-	-	-	-
AYUHC	AUSTRALIAN UNITY LTD S BND 3M 12-24	Bond	10%	-	-	-	-
AYUHD	AUSTRALIAN UNITY LTD S BND 3M 12-26	Bond	10%	-	-	-	-
AOF	AUSTRALIAN UNITY OFF ORDINARY	Listed Property Trust	20%	-	-	-	-
ATS	AUSTRALIS OIL & GAS ORDINARY	Share	10%	-	-	-	-
ABA	AUSWIDE BANK LTD ORDINARY	Share	20%	-	-	-	-
AUT	AUTECO MINERALS ORDINARY	Share	20%	-	-	-	-
ASG	AUTOSPORTS GRP LTD. ORDINARY	Share	20%	-	-	-	-
AVA	AVA RISK GROUP LTD ORDINARY	Share	10%	-	-	-	-
AVE	AVECHO BIOTECH LTD ORDINARY	Share	10%	-	-	-	-
AEV	AVENIRA LIMITED ORDINARY	Share	10%	-	-	-	-
AVN	AVENTUS GROUP STAPLED	Stapled Security	20%	-	-	-	-
AVH	AVITA THERAPEUTICS CDI 5:1	Share	10%	-	-	-	-
AVJ	AVJENNINGS LIMITED ORDINARY	Share	20%	-	-	-	-
AVZ	AVZ MINERALS LTD ORDINARY	Share	20%	-	-	-	-
AWN	AWN HOLDINGS LIMITED ORDINARY	Share	10%	-	-	-	-
AVQ	AXIOM MINING LIMITED CHESS DEPOSITARY INT	Share	10%	-	-	-	-
AZT	AZURE HEALTH TECH ORDINARY	Share	10%	-	-	-	-
AZS	AZURE MINERALS ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
BBN	BABY BUNTING GRP LTD ORDINARY	Share	20%	-	-	-	-
BPP	BABYLON PUMP & POWER ORDINARY	Share	10%	-	-	-	-
BTI	BAILADOR TECH INV ORDINARY	LIC - Australian	20%	*	*	*	*
BMR	BALLYMORE RESOURCES ORDINARY	Share	10%	-	-	-	-
BOQPE	BANK OF QUEENSLAND. CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
BOQPF	BANK OF QUEENSLAND. CN 3M PER Q RD T-27	Hybrid Security (Payable Date)	20%	-	-	-	-
BOQPD	BANK OF QUEENSLAND. CONV PREF SHARE	Hybrid Security (Payable Date)	20%	-	-	-	-
BOQ	BANK OF QUEENSLAND. ORDINARY	Share	20%	-	-	-	-
BMN	BANNERMAN ENERGY LTD ORDINARY	Share	10%	-	-	-	-
BAP	BAPCOR LIMITED ORDINARY	Share	20%	-	-	-	-
BD1	BARD1 LIFE SCIENCES ORDINARY	Share	10%	-	-	-	-
BDC	BARDOC GOLD LTD ORDINARY	Share	10%	-	-	-	-
BSE	BASE RES LIMITED ORDINARY	Share	20%	-	-	-	-
BMO	BASTION MINERALS ORDINARY	Share	10%	-	-	-	-
BRL	BATHURST RES LTD. ORDINARY	Share	10%	-	-	-	-
BAT	BATTERY MINERALS LTD ORDINARY	Share	10%	-	-	-	-
BBX	BBX MINERALS LTD ORDINARY	Share	10%	-	-	-	-
BCI	BCI MINERALS LTD ORDINARY	Share	10%	-	-	-	-
BPT	BEACH ENERGY LIMITED ORDINARY	Share	20%	-	-	-	-
BLX	BEACON LIGHTING GRP ORDINARY	Share	20%	-	-	-	-
BCN	BEACON MINERALS ORDINARY	Share	10%	-	-	-	-
BMT	BEAMTREE HOLDINGS ORDINARY	Share	10%	-	-	-	-
BEC	BECTON PROPERTY GRP. STAPLED SECURITIES	Stapled Security	10%	-	-	-	-
BGA	BEGA CHEESE LTD ORDINARY	Share	20%	-	-	-	-
BFG	BELL FINANCIAL GROUP ORDINARY	Share	20%	-	-	-	-
BGL	BELLEVUE GOLD LTD ORDINARY	Share	20%	-	-	-	-
BENPH	BENDIGO AND ADELAIDE CN 3M PER RD T-27	Hybrid Security (Payable Date)	20%	-	-	-	-
BENPD	BENDIGO AND ADELAIDE CONV PREF SHARE	Hybrid Security (Payable Date)	20%	-	-	-	-
BENPE	BENDIGO AND ADELAIDE CONV PREF SHARE 2	Hybrid Security (Payable Date)	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
BENPG	BENDIGO AND ADELAIDE CTG PRE 3M PER RD T-	Hybrid Security (Payable Date)	20%	-	-	-	-
BEN	BENDIGO AND ADELAIDE ORDINARY	Share	20%	-	-	-	-
BHD	BENJAMIN HORNGLD LTD ORDINARY	LIC - Australian	20%	*	*	*	*
BKY	BERKELEY ENERGIA LTD ORDINARY	Share	10%	-	-	-	-
BFC	BESTON GLOBAL LTD ORDINARY	Share	10%	-	-	-	-
HBRD	BETA ACTIVE HYBRIDS TMF UNITS	ETF - Domestic	50%	0.55 %	*	*	*
ASIA	BETA ASIATECH TIGERS ETF UNITS	ETF - Domestic	20%	0.67 %	*	*	*
BBOZ	BETA AUST STR BEAR TMF UNITS	ETF - Domestic	10%	1.19 %	0.26 %	*	*
DBBF	BETA BALANCED ETF ETF UNITS	ETF - Domestic	100%	*	*	*	*
BHYB	BETA BANK HYBRID ETF ETF UNITS	ETF - Domestic	25%	*	*	*	*
HVST	BETA DIVHARVESTER ETF UNITS	ETF - Domestic	25%	0.65 %	0.18 %	*	*
DZZF	BETA ETH DIV ETF UNITS	ETF - Domestic	100%	*	*	*	*
GGUS	BETA GEARED US EQ TMF UNITS	ETF - Domestic	20%	0.74 %	4.98 %	*	*
FOOD	BETA GLOBAL AGRI ETF UNITS	ETF - Domestic	10%	0.57 %	0.26 %	*	*
BNKS	BETA GLOBAL BANKS ETF UNITS	ETF - Domestic	20%	0.57 %	0.22 %	*	*
HACK	BETA GLOBAL CYBER ETF UNITS	ETF - Domestic	10%	0.57 %	0.05 %	*	*
FUEL	BETA GLOBAL ENERGY ETF UNITS	ETF - Domestic	25%	0.57 %	0.23 %	*	*
MNRS	BETA GLOBAL GOLD ETF UNITS	ETF - Domestic	25%	0.57 %	0.26 %	*	*
DRUG	BETA GLOBAL HEALTH ETF UNITS	ETF - Domestic	10%	0.57 %	0.19 %	*	*
DGGF	BETA GROWTH ETF ETF UNITS	ETF - Domestic	100%	*	*	*	*
DHHF	BETA HIGH GROWTH ETF ETF UNITS	ETF - Domestic	100%	*	*	*	*
INCM	BETA INCOME LEADERS ETF UNITS	ETF - Domestic	25%	*	0.17 %	*	*
BNDS	BETA LM AUS BOND ETF UNITS	ETF - Domestic	100%	0.42 %	*	*	*
EMMG	BETA LM EM FUND TMF UNITS	ETF - Domestic	20%	*	*	*	*
RINC	BETA LM REAL INCOME TMF UNITS	ETF - Domestic	50%	0.85 %	0.03 %	*	*
AUST	BETA MANAGED RISK AU TMF UNITS	ETF - Domestic	25%	0.39 %	0.09 %	*	*
WRLD	BETA MANAGED RISK GL TMF UNITS	ETF - Domestic	25%	0.39 %	0.10 %	*	*
QLTY	BETA QUALITY LEADERS ETF UNITS	ETF - Domestic	25%	*	0.03 %	*	*
RBTZ	BETA ROBOTICS & AI ETF UNITS	ETF - Domestic	20%	*	0.04 %	*	*
UMAX	BETA S&P500 YIELDMAX ETF UNITS	ETF - Domestic	25%	0.59 %	0.08 %	*	*
QUS	BETA SP500 EQUAL ETF ETF UNITS	ETF - Domestic	50%	0.30 %	0.02 %	*	*
BBUS	BETA US STRONG BEAR TMF UNITS	ETF - International	10%	1.19 %	0.68 %	*	*
QAG	BETAAGRICULTURE ETF UNITS	ETF - Domestic	10%	1.44 %	0.02 %	*	*
QPON	BETAUSBANKSNRFRBETF ETF UNITS	ETF - Domestic	20%	0.19 %	0.02 %	0.05 %	0.05 %

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CRED	BETAAUSCORPBONDETF ETF UNITS	ETF - Domestic	30%	0.22 %	*	*	*
EX20	BETAAUSEX20 ETF UNITS	ETF - Domestic	25%	0.20 %	0.02 %	*	*
AGVT	BETAAUSGOVBONDETF ETF UNITS	ETF - Domestic	50%	0.22 %	*	*	*
A TEC	BETAAUSTECHNOLOGYETF ETF UNITS	ETF - Domestic	10%	*	*	*	*
A200	BETAAUSTRALIA200ETF ETF UNITS	ETF - Domestic	100%	0.07 %	*	*	*
FAIR	BETAAUSUSTAINABILITY ETF UNITS	ETF - Domestic	25%	0.39 %	*	*	*
QCB	BETACOMMODITIES ETF UNITS	ETF - Domestic	10%	1.29 %	*	*	*
ERTH	BETAERTHOPPETF ETF UNITS	ETF - Domestic	50%	*	*	*	*
EINC	BETALM EQUITY INCOME TMF UNITS	ETF - Domestic	50%	0.85 %	0.11 %	*	*
HNDQ	BETANASDAQ100CH ETF UNITS	ETF - Domestic	40%	*	*	*	*
HQLT	BETAQUALITYLEADERSCH ETF UNITS	ETF - Domestic	25%	*	*	*	*
QFN	BETASHARES ASX FIN ETF UNITS	ETF - Domestic	25%	0.34 %	0.02 %	*	*
QRE	BETASHARES ASX RES ETF UNITS	ETF - Domestic	25%	0.34 %	0.01 %	0.30 %	0.30 %
BEAR	BETASHARES AUST BEAR ETF UNITS	ETF - Domestic	25%	1.19 %	0.11 %	*	*
EEU	BETASHARES EURO ETF ETF UNITS	ETF - International	10%	0.45 %	*	*	*
F100	BETASHARES FTSE 100 ETF UNITS	ETF - Domestic	10%	*	0.14 %	*	*
QAU	BETASHARES GOLD ETF ETF UNITS	ETF - Domestic	20%	0.49 %	0.29 %	*	*
IIND	BETASHARES INDIA. ETF UNITS	ETF - Domestic	10%	*	*	*	*
POU	BETASHARES POUND ETF ETF UNITS	ETF - Domestic	10%	0.45 %	*	*	*
USD	BETASHARES US DOLLAR ETF UNITS	ETF - Domestic	25%	0.45 %	*	*	*
HEUR	BETASHARES WT EUROPE ETF UNITS	ETF - Domestic	20%	0.51 %	0.32 %	*	*
HJPN	BETASHARES WT JAPAN ETF UNITS	ETF - Domestic	20%	0.51 %	0.14 %	*	*
AAA	BETASHARESCASHETF ETF UNITS	ETF - Domestic	100%	0.18 %	*	*	*
OOO	BETASHARESCRUDEOIL ETF UNITS	ETF - Domestic	10%	0.69 %	*	*	*
NDQ	BETASHARESNASDAQ100 ETF UNITS	ETF - Domestic	40%	0.38 %	*	*	*
QOZ	BETASHARESRAFIAUS ETF UNITS	ETF - Domestic	100%	0.30 %	0.01 %	*	*
YMAX	BETASHARESYIELDMAX TMF UNITS	ETF - Domestic	25%	0.59 %	0.44 %	*	*
SMLL	BETASMALLCOMPANIES TMF UNITS	ETF - Domestic	10%	0.30 %	*	*	*
ETHI	BETASUSTAINABILITY ETF UNITS	ETF - Domestic	50%	0.49 %	0.04 %	*	*
HETH	BETASUSTAINABILITYCH ETF UNITS	ETF - Domestic	50%	*	*	*	*
GBND	BETASUSTAINABLEBOND ETF UNITS	ETF - Domestic	40%	*	*	*	*
BET	BETMAKERS TECH GROUP ORDINARY	Share	20%	-	-	-	-
GEAR	BETSHARES GEAR FUND ETF UNITS	ETF - Domestic	25%	0.74 %	1.94 %	*	*
BHP	BHP GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
BID	BIDENERGY LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
BRV	BIG RIVER GOLD LTD ORDINARY	Share	10%	-	-	-	-
BTH	BIGTINCAN HLDGS LTD ORDINARY	Share	20%	-	-	-	-
BGT	BIO-GENE TECHNOLOGY ORDINARY	Share	10%	-	-	-	-
BNO	BIONOMICS LIMITED ORDINARY	Share	10%	-	-	-	-
BIT	BIOTRON LIMITED ORDINARY	Share	10%	-	-	-	-
BXN	BIOXYNE LTD ORDINARY	Share	10%	-	-	-	-
BIS	BISALLOY STEEL ORDINARY	Share	10%	-	-	-	-
BKI	BKI INVESTMENT LTD ORDINARY	LIC - Australian	20%	*	*	*	*
BC8	BLACK CAT SYNDICATE ORDINARY	Share	10%	-	-	-	-
BKT	BLACK ROCK MINING ORDINARY	Share	10%	-	-	-	-
BKL	BLACKMORES LIMITED ORDINARY	Share	20%	-	-	-	-
BSX	BLACKSTONE LTD ORDINARY	Share	10%	-	-	-	-
BLZ	BLAZE INTERNATIONAL ORDINARY	Share	10%	-	-	-	-
BLU	BLUE ENERGY LIMITED. ORDINARY	Share	10%	-	-	-	-
BNL	BLUE STAR HELIUM LIMITED	Share	10%	-	-	-	-
BBT	BLUEBET HOLDINGS LTD ORDINARY	Share	10%	-	-	-	-
BCT	BLUECHIIP LIMITED ORDINARY	Share	10%	-	-	-	-
BSL	BLUESCOPE STEEL LTD ORDINARY	Share	20%	-	-	-	-
BLG	BLUGLASS LIMITED ORDINARY	Share	10%	-	-	-	-
BBC	BNK BANK CORP LTD ORDINARY	Share	10%	-	-	-	-
BOA	BOADICEA RESOURCES ORDINARY	Share	10%	-	-	-	-
BLYDC	BOART LONGYEAR LIMITED ORDINARY FULLY PAID	Share	10%	-	-	-	-
BOD	BOD AUSTRALIA. ORDINARY	Share	10%	-	-	-	-
BKG	BOOKTOPIA GROUP ORDINARY	Share	20%	-	-	-	-
BOL	BOOM LOGISTICS ORDINARY	Share	10%	-	-	-	-
BLD	BORAL LIMITED. ORDINARY	Share	20%	-	-	-	-
BOEDA	BOSS ENERGY LTD DEFERRED SETTLEMENT	Share	10%	-	-	-	-
BOT	BOTANIX PHARMACEUTICALS LTD	Share	10%	-	-	-	-
BOC	BOUGAINVILLE COPPER ORDINARY	Share	10%	-	-	-	-
BCB	BOWEN COAL LIMITED ORDINARY	Share	10%	-	-	-	-
BPH	BPH ENERGY LTD ORDINARY	Share	10%	-	-	-	-
BPM	BPM MINERALS ORDINARY	Share	10%	-	-	-	-
BRN	BRAINCHIP LTD ORDINARY	Share	20%	-	-	-	-
BXB	BRAMBLES LIMITED ORDINARY	Share	20%	-	-	-	-
BVS	BRAVURA SOLUTION LTD ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
BRB	BREAKER RES NL ORDINARY	Share	10%	-	-	-	-
BRG	BREVILLE GROUP LTD ORDINARY	Share	20%	-	-	-	-
BKW	BRICKWORKS LIMITED ORDINARY	Share	20%	-	-	-	-
BTR	BRIGHTSTAR RESOURCES ORDINARY	Share	10%	-	-	-	-
BGP	BRISCOE GROUP ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
BCK	BROCKMAN MINING LTD ORDINARY	Share	10%	-	-	-	-
BRK	BROOKSIDE ENERGY LTD ORDINARY	Share	10%	-	-	-	-
BSA	BSA LIMITED ORDINARY	Share	10%	-	-	-	-
BFL	BSP FINANCIAL GROUP ORDINARY	Share	10%	-	-	-	-
BTC	BTC HEALTH LTD ORDINARY	PDF	10%	-	-	-	-
BUB	BUBS AUST LTD ORDINARY	Share	20%	-	-	-	-
BUD	BUDDY TECH ORDINARY	Share	10%	-	-	-	-
BIQ	BUILDINGIQ, INC CDI 1:1	Share	10%	-	-	-	-
BRU	BURU ENERGY ORDINARY	Share	10%	-	-	-	-
BUX	BUXTON RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
BWP	BWP TRUST ORDINARY UNITS	Listed Property Trust	20%	-	-	-	-
BWX	BWX LIMITED ORDINARY	Share	20%	-	-	-	-
BYE	BYRON ENERGY LTD ORDINARY	Share	10%	-	-	-	-
CDM	CADENCE CAPITAL ORDINARY	LIC - Australian	20%	*	*	*	*
CAD	CAENEUS MINERALS ORDINARY	Share	10%	-	-	-	-
CAI	CALIDUS RESOURCES ORDINARY	Share	20%	-	-	-	-
CE1	CALIMA ENERGY ORDINARY	Share	10%	-	-	-	-
CXL	CALIX LIMITED ORDINARY	Share	10%	-	-	-	-
CLB	CANDY CLUB ORDINARY	Share	10%	-	-	-	-
CGB	CANN GLOBAL LIMITED ORDINARY	Share	10%	-	-	-	-
CAN	CANN GROUP LTD ORDINARY	Share	10%	-	-	-	-
CAE	CANNINDAH RESOURCES ORDINARY	Share	10%	-	-	-	-
CBY	CANTERBURY RESOURCES ORDINARY	Share	10%	-	-	-	-
CAY	CANYON RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
CAJ	CAPITOL HEALTH ORDINARY	Share	20%	-	-	-	-
CRS	CAPRICE RESOURCES ORDINARY	Share	10%	-	-	-	-
CMM	CAPRICORN METALS ORDINARY	Share	20%	-	-	-	-
CVV	CARAVEL MINERALS LTD ORDINARY	Share	10%	-	-	-	-
CWX	CARAWINE RESOURCES ORDINARY	Share	10%	-	-	-	-
CRB	CARBINE RESOURCES ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CBR	CARBON REVOLUTION	Share	20%	-	-	-	-
CDX	CARDIEX LIMITED ORDINARY	Share	10%	-	-	-	-
CDD	CARDNO LIMITED ORDINARY	Share	10%	-	-	-	-
CDP	CARINDALE PROPERTY UNIT	Listed Property Trust	20%	-	-	-	-
CIN	CARLTON INVESTMENTS ORDINARY	LIC - Australian	20%	*	*	*	*
CL8R	CARLY HOLDINGS LIMITED RENOUNCEABLE RIGHTS	Right	10%	-	-	-	-
CNB	CARNABY RESOURCE LTD ORDINARY	Share	10%	-	-	-	-
CVN	CARNARVON ENERGY LTD ORDINARY	Share	20%	-	-	-	-
CAV	CARNAVALE RESOURCES ORDINARY	Share	10%	-	-	-	-
CCE	CARNEGIE CLN ENERGY ORDINARY	Share	10%	-	-	-	-
CAR	CARSALES.COM LTD. ORDINARY	Share	20%	-	-	-	-
CCV	CASH CONVERTERS ORDINARY	Share	10%	-	-	-	-
CRW	CASHREWARDS ORDINARY	Share	10%	-	-	-	-
CPN	CASPIN RESOURCES ORDINARY	Share	10%	-	-	-	-
CMD	CASSIUS MINING LTD ORDINARY	Share	10%	-	-	-	-
CST	CASTILE LTD	Share	10%	-	-	-	-
CCZ	CASTILLO COPPER LTD ORDINARY	Share	10%	-	-	-	-
CDT	CASTLE MINERALS ORDINARY	Share	10%	-	-	-	-
CYL	CATALYST METALS ORDINARY	Share	20%	-	-	-	-
CAT	CATAPULT GRP INT LTD ORDINARY	Share	20%	-	-	-	-
CXD	CATHRX LTD ORDINARY	Share	10%	-	-	-	-
CWP	CEDAR WOODS PROP. ORDINARY	Share	20%	-	-	-	-
CDY	CELLMID LIMITED ORDINARY	Share	10%	-	-	-	-
CTM	CENTAURUS METALS LTD ORDINARY	Share	20%	-	-	-	-
CTP	CENTRAL PETROLEUM ORDINARY	Share	10%	-	-	-	-
CAF	CENTREPOINT ALLIANCE ORDINARY	Share	10%	-	-	-	-
CXM	CENTREX METALS ORDINARY	Share	10%	-	-	-	-
CNI	CENTURIA CAPITAL STAPLED	Stapled Security	20%	-	-	-	-
C2FHA	CENTURIA FUNDS BND 3M 04-26 E RD	FRN	10%	-	-	-	-
CIP	CENTURIA I REIT ORDINARY UNITS	Listed Property Trust	20%	-	-	-	-
COF	CENTURIA OFFICE REIT ORDINARY UNITS	Listed Property Trust	20%	-	-	-	-
CTT	CETTIRE ORDINARY	Share	20%	-	-	-	-
CFO	CFOAM LIMITED ORDINARY	Share	10%	-	-	-	-
CFOR	CFOAM LIMITED RENOUNCEABLE RIGHTS EXP 04NOV20	Right	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CHN	CHALICE GOLD MINES ORDINARY	Share	20%	-	-	-	-
CEL	CHALLENGER EXP LTD ORDINARY	Share	10%	-	-	-	-
CGFPB	CHALLENGER LIMITED CN 3M PER Q RD T-23	Hybrid Security (Payable Date)	20%	-	-	-	-
CGFPC	CHALLENGER LIMITED CN 3M PER Q RD T-26	Hybrid Security (Payable Date)	20%	-	-	-	-
CGFPA	CHALLENGER LIMITED CN CAP NOTE	Hybrid Security (Payable Date)	20%	-	-	-	-
CGF	CHALLENGER LIMITED ORDINARY	Share	20%	-	-	-	-
CIA	CHAMPION IRON LTD ORDINARY	Share	20%	-	-	-	-
CCA	CHANGE FINANCIAL LTD ORDINARY	Share	10%	-	-	-	-
CHC	CHARTER HALL GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
CQR	CHARTER HALL RETAIL UNITS	Listed Property Trust	20%	-	-	-	-
CQE	CHARTER HALL SOC IN UNITS	Listed Property Trust	20%	-	-	-	-
CML	CHASE MINING LIMITED ORDINARY	Share	10%	-	-	-	-
CHZ	CHESSER RESOURCES ORDINARY	Share	10%	-	-	-	-
CHM	CHIMERIC THERAPEUTIC ORDINARY	Share	10%	-	-	-	-
CNU	CHORUS LIMITED ORDINARY	Share	20%	-	-	-	-
CLW	CHTR H LWR STAPLED	Stapled Security	20%	-	-	-	-
CII	CI RESOURCES LIMITED ORDINARY	Share	10%	-	-	-	-
CIM	CIMIC GROUP LTD ORDINARY	Share	20%	-	-	-	-
CPT	CIPHERPOINT LIMITED ORDINARY	Share	10%	-	-	-	-
CRO	CIRRALTO LTD ORDINARY	Share	10%	-	-	-	-
CNW	CIRRUS NET HOLD LTD ORDINARY	Share	10%	-	-	-	-
CTO	CITIGOLD CORP LTD ORDINARY	Share	10%	-	-	-	-
CCX	CITY CHIC COLLECTIVE ORDINARY	Share	20%	-	-	-	-
CL1	CLASS LIMITED ORDINARY	Share	20%	-	-	-	-
CLZ	CLASSIC MIN LTD ORDINARY	Share	10%	-	-	-	-
CSS	CLEAN SEAS LTD	Share	10%	-	-	-	-
CNQ	CLEAN TEQ WATER ORDINARY	Share	10%	-	-	-	-
CWY	CLEANAWAY WASTE LTD ORDINARY	Share	20%	-	-	-	-
CSX	CLEANSPEACE HOLDINGS ORDINARY	Share	20%	-	-	-	-
CVW	CLEARVIEW WEALTH LTD ORDINARY	Share	20%	-	-	-	-
CPV	CLEARVUE TECHNOLOGIE ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CAMPA	CLIME CAPITAL 7.5% NON-CUM. PREF	Hybrid Security (Payable Date)	10%	-	-	-	-
CAMG	CLIME CAPITAL C BND 6.25% 11-21 3M	Convertible Note (Ex Date)	10%	-	-	-	-
CAMGA	CLIME CAPITAL C BND6.25%11-21 3MRD	Convertible Note (Ex Date)	10%	-	-	-	-
CAM	CLIME CAPITAL ORDINARY	LIC - Australian	20%	*	*	*	*
CIW	CLIME INVESTMENT ORDINARY	Share	10%	-	-	-	-
CUV	CLINUVEL PHARMACEUT. ORDINARY	Share	20%	-	-	-	-
CLV	CLOVER CORPORATION ORDINARY	Share	20%	-	-	-	-
CLU	CLUEY LTD ORDINARY	Share	10%	-	-	-	-
COB	COBALT BLUE LTD ORDINARY	Share	10%	-	-	-	-
COH	COCHLEAR LIMITED ORDINARY	Share	20%	-	-	-	-
CDA	CODAN LIMITED ORDINARY	Share	20%	-	-	-	-
CGS	COGSTATE LTD ORDINARY	Share	10%	-	-	-	-
CHK	COHIBA MIN LTD ORDINARY	Share	10%	-	-	-	-
CKA	COKAL LTD ORDINARY	Share	10%	-	-	-	-
COL	COLES GROUP ORDINARY	Share	20%	-	-	-	-
CLH	COLLECTION HOUSE ORDINARY	Share	10%	-	-	-	-
CKF	COLLINS FOODS LTD ORDINARY	Share	20%	-	-	-	-
CRL	COMET RESOURCES ORDINARY	Share	10%	-	-	-	-
COI	COMET RIDGE LIMITED ORDINARY	Share	10%	-	-	-	-
CBAPF	COMMONWEALTH BANK. CN 3M PER Q RD T-22	Hybrid Security (Payable Date)	20%	-	-	-	-
CBAPG	COMMONWEALTH BANK. CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
CBAPH	COMMONWEALTH BANK. CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
CBAPJ	COMMONWEALTH BANK. CN 3M PER Q RD T-26	Hybrid Security (Payable Date)	20%	-	-	-	-
CBAPI	COMMONWEALTH BANK. CN 3M PER Q RD T-27	Hybrid Security (Payable Date)	20%	-	-	-	-
CBA	COMMONWEALTH BANK. ORDINARY	Share	20%	-	-	-	-
CBAPD	COMMONWEALTH BANK. PERP.EXC.PERLS VII	Hybrid Security (Payable Date)	20%	-	-	-	-
CCG	COMMSCHOICE ORDINARY	Share	10%	-	-	-	-
CF1	COMPLII FINTECH LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CMP	COMPUMEDICS LIMITED ORDINARY	Share	10%	-	-	-	-
CPU	COMPUTERSHARE LTD ORDINARY	Share	20%	-	-	-	-
CXZ	CONNEXION TELEMATICS ORDINARY	Share	10%	-	-	-	-
CZL	CONS ZINC LTD ORDINARY	Share	10%	-	-	-	-
CWL	CONSOL FINANCIAL HLD ORDINARY	Share	10%	-	-	-	-
COG	CONSOLIDATED OPS GP ORDINARY	Share	10%	-	-	-	-
CR1	CONSTELLATION RES ORDINARY	Share	10%	-	-	-	-
CT1	CONSTELLATION TECH ORDINARY	Share	10%	-	-	-	-
CEN	CONTACT ENERGY LTD ORD FOR. EXEMPT NZX	Share	20%	-	-	-	-
CGA	CONTANGO ASSET ORDINARY	Share	10%	-	-	-	-
CBL	CONTROL BIONICS ORDINARY	Share	10%	-	-	-	-
COE	COOPER ENERGY LTD ORDINARY	Share	20%	-	-	-	-
C6C	COPPER MOUNTAIN CDI 1:1	Share	10%	-	-	-	-
CUS	COPPERSEARCHLIMITED ORDINARY	Share	10%	-	-	-	-
CZN	CORAZON LTD ORDINARY	Share	10%	-	-	-	-
CXO	CORE LITHIUM ORDINARY	Share	10%	-	-	-	-
CR9	CORELLARES ORDINARY	Share	10%	-	-	-	-
CRN	CORONADO GLOBAL RES CDI10:1USPROHEXCLQIB	Share	20%	-	-	-	-
CTD	CORP TRAVEL LIMITED ORDINARY	Share	20%	-	-	-	-
COO	CORUM GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
COS	COSOL LIMITED ORDINARY	Share	10%	-	-	-	-
CGC	COSTA GROUP HOLDINGS ORDINARY	Share	20%	-	-	-	-
CUP	COUNTPLUS LIMITED ORDINARY	Share	10%	-	-	-	-
CYG	COVENTRY GROUP ORDINARY	Share	10%	-	-	-	-
CXX	CRADLE RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
CGN	CRATER GOLD MIN LTD ORDINARY	Share	10%	-	-	-	-
CCR	CREDIT CLEAR ORDINARY	Share	10%	-	-	-	-
CCP	CREDIT CORP GROUP ORDINARY	Share	20%	-	-	-	-
CI1	CREDIT INTELLIGENCE ORDINARY	Share	10%	-	-	-	-
CPH	CRESO PHARMA LTD ORDINARY	Share	10%	-	-	-	-
CRR	CRITICAL RESOURCES ORDINARY	Share	10%	-	-	-	-
CMW	CROMWELL PROP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
CLI	CROPLOGIC ORDINARY	Share	10%	-	-	-	-
CM8	CROWD MEDIA LIMITED ORDINARY	Share	10%	-	-	-	-
CWNHB	CROWN RESORTS LTD HY 04-75 3M T	FRN	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
CWN	CROWN RESORTS LTD ORDINARY	Share	20%	-	-	-	-
CSL	CSL LIMITED ORDINARY	Share	20%	-	-	-	-
CSR	CSR LIMITED ORDINARY	Share	20%	-	-	-	-
CUE	CUE ENERGY RESOURCE ORDINARY	Share	10%	-	-	-	-
CV1	CV CHECK LTD ORDINARY	Share	10%	-	-	-	-
CVCG	CVC LIMITED C BND 3M 06-23 RD	Convertible Note (Ex Date)	10%	-	-	-	-
CVC	CVC LIMITED ORDINARY	LIC - Private Equity	20%	*	*	*	*
CYQ	CYCLIQ GROUP LTD ORDINARY	Share	10%	-	-	-	-
CYQR	CYCLIQ GROUP LTD RIGHTS 03-MAY-21 DEF	Right	10%	-	-	-	-
CLE	CYCLONE METALS ORDINARY	Share	10%	-	-	-	-
CYC	CYCLOPHARM LIMITED ORDINARY	Share	20%	-	-	-	-
CY5	CYGNUS GOLD LIMITED ORDINARY	Share	10%	-	-	-	-
CYM	CYPRIUM METALS LTD ORDINARY	Share	10%	-	-	-	-
DCN	DACIAN GOLD LTD ORDINARY	Share	20%	-	-	-	-
DBI	DALRYMPLE BAY FPO/NOTES STAPLED	Share	20%	-	-	-	-
DTC	DAMSTRA HOLDINGS ORDINARY	Share	20%	-	-	-	-
DNK	DANAKALI LIMITED ORDINARY	Share	10%	-	-	-	-
DTL	DATA#3 LIMITED ORDINARY	Share	20%	-	-	-	-
DDT	DATADOT TECHNOLOGY ORDINARY	Share	10%	-	-	-	-
DEG	DE GREY MINING ORDINARY	Share	20%	-	-	-	-
DEM	DE.MEM LTD ORDINARY	Share	10%	-	-	-	-
DCG	DECMIL GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
DYL	DEEP YELLOW LIMITED ORDINARY	Share	10%	-	-	-	-
DLC	DELECTA LIMITED ORDINARY	Share	10%	-	-	-	-
DGH	DESANE GROUP HLDINGS ORDINARY	Share	10%	-	-	-	-
DM1	DESERT METALS ORDINARY	Share	10%	-	-	-	-
DMC	DESIGN MILK CO LTD ORDINARY	Share	10%	-	-	-	-
DRR	DETERRA	Share	20%	-	-	-	-
DVPDB	DEVELOP GLOBAL LTD DEFERRED SETTLEMENT	Share	10%	-	-	-	-
DEV	DEVEX RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
DXC	DEXUS CONV RET REIT UNITS STAPLED	Stapled Security	20%	-	-	-	-
DXI	DEXUS INDUSTRIA REIT FPO/UNITS STAPLED	Stapled Security	20%	-	-	-	-
DXS	DEXUS UNITS STAPLED	Stapled Security	20%	-	-	-	-
DGO	DGO GOLD LIMITED ORDINARY	Share	10%	-	-	-	-
DGR	DGR GLOBAL LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
DDR	DICKER DATA LIMITED ORDINARY	Share	20%	-	-	-	-
DW8	DIGITAL WINE VENTURE ORDINARY	Share	10%	-	-	-	-
DCC	DIGITALX LIMITED ORDINARY	Share	10%	-	-	-	-
DXB	DIMERIX LTD ORDINARY	Share	10%	-	-	-	-
DAF	DISCOVERY AFRICA LTD ORDINARY	Share	10%	-	-	-	-
DCX	DISCOVEX RES LTD ORDINARY	Share	10%	-	-	-	-
DVR	DIVERGER LIMITED ORDINARY	Share	10%	-	-	-	-
DUI	DIVERSIFIED UNITED ORDINARY	LIC - Australian	20%	*	*	*	*
DJWN	DJERRIWARRH NEW	LIC - Australian	20%	*	*	*	*
DJW	DJERRIWARRH ORDINARY	LIC - Australian	20%	*	*	*	*
DOC	DOCTOR CARE ANYWHERE CDI 1:1	Share	20%	-	-	-	-
DCL	DOMACOM LIMITED ORDINARY	Share	10%	-	-	-	-
DHG	DOMAIN HOLDINGS AUS ORDINARY	Share	20%	-	-	-	-
DLM	DOMINION MINERALS ORDINARY	Share	10%	-	-	-	-
DMP	DOMINO PIZZA ENTERPR ORDINARY	Share	20%	-	-	-	-
DNA	DONACO INTERNATIONAL ORDINARY	Share	10%	-	-	-	-
DVL	DORSAVI LTD ORDINARY	Share	10%	-	-	-	-
DTZ	DOTZ NANO LTD ORDINARY	Share	10%	-	-	-	-
DOU	DOUGH LIMITED ORDINARY	Share	10%	-	-	-	-
DOW	DOWNER EDI LIMITED ORDINARY	Share	20%	-	-	-	-
DMG	DRAGON MOUNTAIN GOLD ORDINARY	Share	10%	-	-	-	-
DRE	DREDNOUGHT RESOURCES ORDINARY	Share	10%	-	-	-	-
DRO	DRONESHIELD LIMITED ORDINARY	Share	10%	-	-	-	-
DSE	DROPSUITE LTD ORDINARY	Share	10%	-	-	-	-
DTI	DTI GROUP LTD ORDINARY	Share	10%	-	-	-	-
DUB	DUBBER CORP LTD ORDINARY	Share	20%	-	-	-	-
DUE	DUET GROUP STAPLED US PROHIBIT.	Stapled Security	10%	-	-	-	-
DUG	DUG TECH ORDINARY	Share	10%	-	-	-	-
DKM	DUKETON MINING ORDINARY	Share	10%	-	-	-	-
DUR	DURATEC ORDINARY	Share	10%	-	-	-	-
DSK	DUSK GROUP ORDINARY	Share	10%	-	-	-	-
DBF	DUXTON BROADACRE ORDINARY	Share	10%	-	-	-	-
D2O	DUXTON WATER LTD ORDINARY	Share	10%	-	-	-	-
DXN	DXN LIMITED ORDINARY	Share	10%	-	-	-	-
DDB	DYNAMIC DRILL ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
EP1	E&P FINANCIAL GROUP ORDINARY	Share	10%	-	-	-	-
E2M	E2 METALS ORDINARY	Share	10%	-	-	-	-
APE	EAGERS AUTOMOTIVE ORDINARY	Share	20%	-	-	-	-
EM2	EAGLE MOUNTAIN ORDINARY	Share	10%	-	-	-	-
EPY	EARLYPAY LTD ORDINARY	Share	10%	-	-	-	-
E33	EAST 33 LIMITED.. ORDINARY	Share	10%	-	-	-	-
EFE	EASTERN IRON ORDINARY	Share	10%	-	-	-	-
ECO	ECHOICE LIMITED ORDINARY	Share	10%	-	-	-	-
EPM	ECLIPSE METALS ORDINARY	Share	10%	-	-	-	-
ECX	ECLIPX GROUP LTD ORDINARY	Share	20%	-	-	-	-
EOF	ECOFIBRE LIMITED ORDINARY	Share	20%	-	-	-	-
EGR	ECOGRAF LIMITED ORDINARY	Share	10%	-	-	-	-
ECP	ECP EMERGING GROWTH ORDINARY	LIC - Australian	20%	*	*	*	*
ECS	ECS BOTANICS HOLDING ORDINARY	Share	10%	-	-	-	-
EDE	EDEN INV LTD ORDINARY	Share	10%	-	-	-	-
EDC	EILDON CAPITAL GROUP FPO/UNITS STAPLED	Stapled Security	10%	-	-	-	-
IMPQ	EINVEST FISCF TMF UNITS	ETF - Domestic	10%	*	*	*	*
EIGA	EINVEST INCOME TMF UNITS	ETF - Domestic	50%	*	*	*	*
ECF	ELANOR COMM PROP UNITS STAPLED	Stapled Security	20%	-	-	-	-
ENN	ELANOR INVESTORS GRP STAPLED	Stapled Security	10%	-	-	-	-
ERF	ELANORETL PROPERTY STAPLED	Stapled Security	10%	-	-	-	-
ELD	ELDERS LIMITED ORDINARY	Share	20%	-	-	-	-
EOS	ELECTRO OPTIC SYS. ORDINARY	Share	20%	-	-	-	-
E25	ELEMENT 25 LTD ORDINARY	Share	10%	-	-	-	-
ELTDA	ELEMENTOS LIMITED DEFERRED SETTLEMENT	Share	10%	-	-	-	-
EXL	ELIXINOL WELLNESS ORDINARY	Share	10%	-	-	-	-
EXR	ELIXIR ENERGY LTD ORDINARY	Share	10%	-	-	-	-
EAI	ELLERSTON ASIAN ORDINARY	LIC - International	20%	*	*	*	*
ELO	ELMO SOFTWARE ORDINARY	Share	20%	-	-	-	-
ELE	ELMORE LTD ORDINARY	Share	10%	-	-	-	-
ELSR	ELSIGHT LIMITED RIGHTS - RENOUNCEABLE RIGHTS EXP 03DEC20	Right	10%	-	-	-	-
ELS	ELSIGHT LTD ORDINARY	Share	10%	-	-	-	-
EHL	EMECO HOLDINGS ORDINARY	Share	20%	-	-	-	-
EMR	EMERALD RES NL ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
EM1	EMERGE GAMING LTD ORDINARY	Share	10%	-	-	-	-
EML	EML PAYMENTS LTD ORDINARY	Share	20%	-	-	-	-
EMP	EMPEROR ENERGY LTD ORDINARY	Share	10%	-	-	-	-
EEG	EMPIRE ENERGY LTD ORDINARY	Share	10%	-	-	-	-
EMU	EMU NL ORDINARY	Share	10%	-	-	-	-
EMV	EMVISION MEDICAL ORDINARY	Share	20%	-	-	-	-
ENR	ENCOUNTER RESOURCES ORDINARY	Share	10%	-	-	-	-
EDV	ENDEAVOUR ORDINARY	Share	10%	-	-	-	-
ENX	ENEGEX LIMITED ORDINARY	Share	10%	-	-	-	-
EAX	ENERGY ACTION LTD ORDINARY	Share	10%	-	-	-	-
EOL	ENERGY ONE LIMITED ORDINARY	Share	10%	-	-	-	-
ERAR	ENERGY RESOURCES OF AUSTRALIA LIMITED RENOUNCEABLE RIGHTS EXP 06DEC19	Right	10%	-	-	-	-
ERA	ENERGY RESOURCES ORDINARY 'A'	Share	20%	-	-	-	-
EWC	ENERGY WORLD CORPOR. ORDINARY	Share	10%	-	-	-	-
EGG	ENERO GROUP LTD ORDINARY	Share	10%	-	-	-	-
EN1	ENGAGE:BDR LIMITED ORDINARY	Share	10%	-	-	-	-
EGN	ENGENCO LIMITED ORDINARY	Share	10%	-	-	-	-
EXO	ENNOX GROUP LTD ORDINARY	Share	10%	-	-	-	-
ENV	ENOVA MINING LIMITED ORDINARY	Share	10%	-	-	-	-
ENA	ENSURANCE LTD ORDINARY	Share	10%	-	-	-	-
ECT	ENV CLEAN TECH LTD. ORDINARY	Share	10%	-	-	-	-
EGL	ENVIRONMENTAL GROUP ORDINARY	Share	10%	-	-	-	-
EVS	ENVIROSUITE LTD ORDINARY	Share	20%	-	-	-	-
EPN	EPSILON HEALTHCARE ORDINARY	Share	10%	-	-	-	-
EQX	EQUATORIAL RES LTD ORDINARY	Share	10%	-	-	-	-
EQT	EQUITY HL ORDINARY	Share	20%	-	-	-	-
YTMALD	EQUITY TRUSTEES XTB ALD 4.00% APR-25	ETF - Domestic	10%	*	*	*	*
YTMDO2	EQUITY TRUSTEES XTB DO2 3.70% APR-26	ETF - Domestic	10%	*	*	*	*
YTMDX3	EQUITY TRUSTEES XTB DX3 2.50% OCT-29	ETF - Domestic	20%	*	*	*	*
YTMIP1	EQUITY TRUSTEES XTB IP1 4.30% MAR-26	ETF - Domestic	10%	*	*	*	*
YTM MQ1	EQUITY TRUSTEES XTB MQ1 4.15% DEC-27	ETF - Domestic	20%	*	*	*	*
YTMORG	EQUITY TRUSTEES XTB ORG 2.65% NOV-27	ETF - Domestic	10%	*	*	*	*
YTMQF4	EQUITY TRUSTEES XTB QF4 NOV-29	Other	20%	-	-	-	-
YTMSCP	EQUITY TRUSTEES XTB SCP 3.90% JUN-24	ETF - Domestic	10%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
YTMSG3	EQUITY TRUSTEES XTB SG3 3.30% MAR-24	ETF - Domestic	20%	*	*	*	*
EQE	EQUUS MINING LTD ORDINARY	Share	10%	-	-	-	-
ERD	EROAD LIMITED ORD FOR.EXEMPT NZX	Share	10%	-	-	-	-
ERW	ERRAWARRA RESOURCES ORDINARY	Share	10%	-	-	-	-
ESH	ESPORTS MOGUL LTD ORDINARY	Share	10%	-	-	-	-
EHE	ESTIA HEALTH LTD ORDINARY	Share	20%	-	-	-	-
ESR	ESTRELLA RES LTD ORDINARY	Share	10%	-	-	-	-
ZYAU	ETFS ASX300 YIELD ETF UNITS	ETF - Domestic	25%	0.35 %	0.06 %	0.13 %	0.13 %
ACDC	ETFS BATT TECH LITH ETF UNITS	ETF - Domestic	20%	0.82 %	0.02 %	0.13 %	0.13 %
FANG	ETFS FANG+ ETF UNITS	ETF - Domestic	20%	0.35 %	*	*	*
ROBO	ETFS GLB ROBO ETF UNITS	ETF - Domestic	20%	0.82 %	0.02 %	0.13 %	0.13 %
NDIA	ETFS INDIA NIFTY 50 ETF UNITS	ETF - Domestic	10%	0.69 %	*	0.13 %	0.13 %
LNAS	ETFS LNAS TMF UNITS	ETF - Domestic	5%	1.00 %	*	*	*
GOLD	ETFS METALS. ETFS GOLD	Exchange Traded Product	20%	*	*	*	*
ETPMAG	ETFS PHYSICAL SILVER	ETC	10%	*	*	*	*
CURE	ETFS S&P BIOTECH ETF UNITS	ETF - Domestic	10%	0.45 %	0.04 %	0.13 %	0.13 %
ZYUS	ETFS S&P500 YIELD ETF UNITS	ETF - Domestic	25%	0.35 %	0.04 %	0.13 %	0.13 %
SNAS	ETFS SNAS TMF UNITS	ETF - Domestic	5%	1.00 %	*	*	*
ESTX	ETFSEUROSTOXX50 ETF UNITS	ETF - Domestic	20%	0.35 %	0.02 %	0.13 %	0.13 %
TECH	ETFSGLOBALTECH ETF UNITS	ETF - Domestic	25%	0.45 %	0.06 %	0.13 %	0.13 %
ESK	ETHERSTACK PLC CDI 1:1	Share	10%	-	-	-	-
EGH	EUREKA GROUP LTD ORDINARY	Share	10%	-	-	-	-
EMN	EUROMANGANESE CDI 1:1	Share	10%	-	-	-	-
EUR	EUROPEAN LITHIUM LTD ORDINARY	Share	10%	-	-	-	-
EMH	EUROPEAN METALS HLDG CDI 1:1	Share	10%	-	-	-	-
EZL	EUROZ HARTLEYS GROUP ORDINARY	Share	20%	-	-	-	-
EVE	EVE HEALTH GROUP LTD ORDINARY	Share	10%	-	-	-	-
EVT	EVENT HOSPITALITY ORDINARY	Share	20%	-	-	-	-
EVN	EVOLUTION MINING LTD ORDINARY	Share	20%	-	-	-	-
EVO	EVOLVE EDUCATION GRP ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
EX1	EXOPHARM LIMITED ORDINARY	Share	10%	-	-	-	-
EXP	EXPERIENCE CO LTD ORDINARY	Share	10%	-	-	-	-
FZO	FAMILY ZONE CYBER ORDINARY	Share	10%	-	-	-	-
FAR	FAR LTD ORDINARY	Share	10%	-	-	-	-
FRM	FARM PRIDE FOODS ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
FFC	FARMAFORCE LTD ORDINARY	Share	10%	-	-	-	-
FPC	FAT PROPHETS GBL LTD ORDINARY	LIC - International	20%	*	*	*	*
FFG	FATFISH GROUP ORDINARY	Share	10%	-	-	-	-
FBR	FBR LTD ORDINARY	Share	10%	-	-	-	-
FEL	FE LIMITED ORDINARY	Share	10%	-	-	-	-
FLX	FELIX GROUP ORDINARY	Share	10%	-	-	-	-
FEX	FENIX RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
FEMX	FIDELITY GEM TMF UNITS	ETF - Domestic	25%	*	*	*	*
FID	FIDUCIAN GROUP LTD ORDINARY FULLY PAID	Share	20%	-	-	-	-
FIN	FIN RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
FRI	FINBAR GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
FND	FINDERS RESOURCES ORDINARY	Share	10%	-	-	-	-
FCL	FINEOS CORP HOLD PLC CDI 1:1	Share	20%	-	-	-	-
FTC	FINTECH CHAIN LTD CDI 1:1	Share	10%	-	-	-	-
FRB	FIREBIRD METALS ORDINARY	Share	10%	-	-	-	-
FFX	FIREFINCH LTD ORDINARY	Share	10%	-	-	-	-
FGR	FIRST GRAPHENE LTD ORDINARY	Share	10%	-	-	-	-
FCT	FIRSTWAVE CLOUD TECH ORDINARY	Share	10%	-	-	-	-
FPH	FISHER & PAYKEL H. ORDINARY	Share	20%	-	-	-	-
FWD	FLEETWOOD CORP ORDINARY	Share	20%	-	-	-	-
FBU	FLETCHER BUILDING ORDINARY	Share	20%	-	-	-	-
FRX	FLEXIROAM LIMITED ORDINARY	Share	10%	-	-	-	-
FLT	FLIGHT CENTRE TRAVEL ORDINARY	Share	20%	-	-	-	-
FMS	FLINDERS MINES LTD ORDINARY	Share	20%	-	-	-	-
FLC	FLUENCE CORPORATION ORDINARY	Share	10%	-	-	-	-
FML	FOCUS MINERALS LTD ORDINARY	Share	10%	-	-	-	-
FSF	FONTERRA SHARE FUND ORDINARY UNITS	Share	10%	-	-	-	-
FMG	FORTESCUE METALS GRP ORDINARY	Share	20%	-	-	-	-
FHS	FREEHILL MINING LTD. ORDINARY	Share	10%	-	-	-	-
FLN	FREELANCER LTD ORDINARY	Share	20%	-	-	-	-
FDV	FRONTIER DIGITAL LTD ORDINARY	Share	20%	-	-	-	-
FNT	FRONTIER RESOURCES ORDINARY	Share	10%	-	-	-	-
FGL	FRUGL GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
FSA	FSA GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
FFT	FUTURE FIRST TECH ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
FGX	FUTURE GEN LTD ORDINARY	LIC - Australian	20%	*	*	*	*
FGG	FUTURE GLB INVEST CO ORDINARY	LIC - International	20%	*	*	*	*
FYI	FYI RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
GUD	G.U.D. HOLDINGS ORDINARY	Share	20%	-	-	-	-
GEM	G8 EDUCATION LIMITED ORDINARY	Share	20%	-	-	-	-
GLN	GALAN LITHIUM LTD ORDINARY	Share	10%	-	-	-	-
GAP	GALE PACIFIC LIMITED ORDINARY	Share	10%	-	-	-	-
G1A	GALENA MINING ORDINARY	Share	10%	-	-	-	-
GAL	GALILEO MINING LTD ORDINARY	Share	10%	-	-	-	-
GDF	GARDA PRPTY GROUP FPO/UNITS STAPLED	Stapled Security	10%	-	-	-	-
GGX	GAS2GRID LIMITED ORDINARY	Share	10%	-	-	-	-
GCY	GASCOYNE RES LTD ORDINARY	Share	10%	-	-	-	-
GML	GATEWAY MINING ORDINARY	Share	10%	-	-	-	-
GBZ	GBM RSOURCES LTD ORDINARY	Share	10%	-	-	-	-
GDI	GDI PROPERTY GRP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
GDG	GENERATION DEV GROUP ORDINARY	PDF	10%	-	-	-	-
GNE	GENESIS ENERGY LTD ORDINARY	Share	10%	-	-	-	-
GMD	GENESIS MINERALS ORDINARY	Share	10%	-	-	-	-
GSS	GENETIC SIGNATURES ORDINARY	Share	20%	-	-	-	-
GTG	GENETIC TECHNOLOGIES ORDINARY	Share	10%	-	-	-	-
GNX	GENEX POWER LTD ORDINARY	Share	10%	-	-	-	-
GTK	GENTRACK GROUP LTD ORDINARY	Share	10%	-	-	-	-
GNP	GENUSPLUS GROUP LTD ORDINARY	Share	10%	-	-	-	-
GMA	GENWORTH MORTGAGE ORDINARY	Share	20%	-	-	-	-
GPR	GEPACIFIC RESOURCES ORDINARY	Share	20%	-	-	-	-
GC1	GLENNON SML CO LTD ORDINARY	LIC - Australian	20%	*	*	*	*
GDC	GLOBAL DATA GRP UNITS STAPLED	Stapled Security	10%	-	-	-	-
GEV	GLOBAL ENE VEN LTD ORDINARY	Share	10%	-	-	-	-
GLH	GLOBAL HEALTH LTD ORDINARY	Share	10%	-	-	-	-
GVF	GLOBAL VALUE FND LTD ORDINARY	LIC - International	20%	*	*	*	*
GLV	GLOBALVANA ORDINARY	Share	10%	-	-	-	-
GLB	GLOBE INTERNATIONAL ORDINARY	Share	10%	-	-	-	-
GRL	GODOLPHIN RESOURCES ORDINARY	Share	10%	-	-	-	-
GOR	GOLD ROAD RES LTD ORDINARY	Share	20%	-	-	-	-
G88	GOLDEN MILE RES LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
GMRRRA	GOLDEN RIM RESOURCES LTD RENOUNCEABLE RTS EXP 06SEP19	Right	10%	-	-	-	-
GMR	GOLDEN RIM RESOURCES ORDINARY	Share	10%	-	-	-	-
G79	GOLDOZ LIMITED ORDINARY	Share	10%	-	-	-	-
GMG	GOODMAN GROUP STAPLED US PROHIBIT.	Stapled Security	20%	-	-	-	-
GOW	GOWING BROS. LIMITED ORDINARY	LIC - Australian	10%	*	*	*	*
GPT	GPT GROUP STAPLED SEC.	Stapled Security	20%	-	-	-	-
GNG	GR ENGINEERING LTD ORDINARY	Share	10%	-	-	-	-
GNC	GRAINCORP LIMITED A CLASS ORDINARY	Share	20%	-	-	-	-
GRR	GRANGE RESOURCES. ORDINARY	Share	20%	-	-	-	-
GNM	GREAT NORTHERN ORDINARY	Share	10%	-	-	-	-
GSN	GREAT SOUTHERN ORDINARY	Share	10%	-	-	-	-
GBR	GREATBOULD RESOURCES ORDINARY	Share	10%	-	-	-	-
GGG	GREENLAND MINERALS ORDINARY	Share	20%	-	-	-	-
GSR	GREENSTONE RESOURCES ORDINARY	Share	10%	-	-	-	-
GRV	GREENVALE MINING LTD ORDINARY	Share	10%	-	-	-	-
GW1	GREENWING RESOURCES ORDINARY	Share	10%	-	-	-	-
G6M	GROUP 6 METALS LTD ORDINARY	Share	10%	-	-	-	-
GOZ	GROWTHPOINT PROPERTY ORD/UNIT STAPLED SEC	Stapled Security	20%	-	-	-	-
GCI	GRYPHON CAPITAL ORDINARY UNITS	Exchange Traded Product	10%	*	*	*	*
GTR	GTI RESOURCES ORDINARY	Share	10%	-	-	-	-
GTN	GTN LIMITED ORDINARY	Share	10%	-	-	-	-
GMC	GULF MANGANESE CORP ORDINARY	Share	10%	-	-	-	-
GWA	GWA GROUP LTD ORDINARY	Share	20%	-	-	-	-
GWR	GWR GROUP LTD ORDINARY	Share	10%	-	-	-	-
HLF	HALO FOOD CO. LTD ORDINARY	Share	10%	-	-	-	-
HMX	HAMMER METALS LTD ORDINARY	Share	10%	-	-	-	-
HNR	HANNANS LTD ORDINARY	Share	10%	-	-	-	-
HSN	HANSEN TECHNOLOGIES ORDINARY	Share	20%	-	-	-	-
HMY	HARMONEY CORP LTD ORDINARY	Share	20%	-	-	-	-
HT8	HARRIS TECHNOLOGY GL ORDINARY	Share	10%	-	-	-	-
HTG	HARVEST TECH GRP LTD ORDINARY	Share	10%	-	-	-	-
HVNRB	HARVEY NORMAN HOLDINGS LTD RENOUNCEABLE RTS EXP 08OCT19	Right	20%	-	-	-	-
HVN	HARVEY NORMAN ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
HAS	HASTINGS TECH MET ORDINARY	Share	20%	-	-	-	-
HAV	HAVILAH RESOURCES ORDINARY	Share	10%	-	-	-	-
HIO	HAWSONS IRON LTD ORDINARY	Share	10%	-	-	-	-
HZR	HAZER GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
HLS	HEALIUS LTD ORDINARY	Share	20%	-	-	-	-
HHI	HEALTH HOUSE INT LTD ORDINARY	Share	10%	-	-	-	-
HLA	HEALTHIA LIMITED ORDINARY	Share	10%	-	-	-	-
HM1R	HEARTS AND MINDS INVESTMENTS LIMITED RENOUNCEABLE RIGHTS - EXP 11DEC19	Right	10%	-	-	-	-
HM1	HEARTS AND MINDS ORDINARY	LIC - Australian	20%	*	*	*	*
HE8	HELIOS ENERGY LTD ORDINARY	Share	20%	-	-	-	-
HLX	HELIX RESOURCES ORDINARY	Share	10%	-	-	-	-
HLO	HELLOWORLD TRAVL LTD ORDINARY	Share	20%	-	-	-	-
HMD	HERAMED LIMITED ORDINARY	Share	10%	-	-	-	-
HRR	HERON RESOURCES ORDINARY	Share	10%	-	-	-	-
HXG	HEXAGON ENERGY ORDINARY	Share	10%	-	-	-	-
HPR	HIGH PEAK ROYALTIES ORDINARY	Share	10%	-	-	-	-
HFR	HIGHFIELD RES LTD ORDINARY	Share	20%	-	-	-	-
HGO	HILLGROVE RES LTD ORDINARY	Share	10%	-	-	-	-
HIL	HILLS LTD ORDINARY	Share	10%	-	-	-	-
HPG	HIPAGES GROUP ORDINARY	Share	20%	-	-	-	-
HIQ	HITIQ LIMITED ORDINARY	Share	10%	-	-	-	-
HCW	HLTHCOHCWELLNSREIT ORDINARY UNITS	Other	10%	-	-	-	-
HCT	HOLISTA COLLECH LTD ORDINARY	Share	10%	-	-	-	-
HMC	HOME CONSORTIUM FPO STAPLED	Stapled Security	20%	-	-	-	-
HDN	HOMEKO DAILY NEEDS REIT	Listed Property Trust	20%	-	-	-	-
HZN	HORIZON OIL LIMITED ORDINARY	Share	10%	-	-	-	-
HRZ	HORIZON ORDINARY	Share	10%	-	-	-	-
HCH	HOT CHILI LTD ORDINARY	Share	10%	-	-	-	-
HPI	HOTEL PROPERTY STAPLED	Stapled Security	20%	-	-	-	-
HWH	HOUSTON WE HAVE LTD ORDINARY	Share	10%	-	-	-	-
HRL	HRLHOLDING ORDINARY	Share	10%	-	-	-	-
HSC	HSC TECHNOLOGY GROUP ORDINARY	Share	10%	-	-	-	-
HT1	HT&E LIMITED ORDINARY	Share	20%	-	-	-	-
HUB	HUB24 LTD ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
HFY	HUBIFY LTD ORDINARY	Share	10%	-	-	-	-
HUM	HUMM GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
HUO	HUON AQUACULTURE GRP ORDINARY	Share	20%	-	-	-	-
HTA	HUTCHISON ORDINARY	Share	20%	-	-	-	-
HYD	HYDRIX LIMITED ORDINARY	Share	10%	-	-	-	-
HCD	HYDROCARBON DYNAMIC ORDINARY	Share	10%	-	-	-	-
HYGG	HYPERION GLOBAL TMF UNITS	ETF - Domestic	50%	*	*	*	*
HYM	HYPERION METALS LTD ORDINARY	Share	20%	-	-	-	-
IAP	IAPF GROUP UNITS STAPLED	Listed Property Trust	10%	-	-	-	-
ICI	ICANDY INTERACTIVE ORDINARY	Share	10%	-	-	-	-
ICQ	ICAR ASIA LTD ORDINARY	Share	10%	-	-	-	-
ICL	ICENI GOLD ORDINARY	Share	10%	-	-	-	-
ICT	ICOLLEGE LIMITED ORDINARY	Share	10%	-	-	-	-
ICN	ICON ENERGY LIMITED ORDINARY	Share	10%	-	-	-	-
ICS	ICSGLOBAL LIMITED ORDINARY	Share	10%	-	-	-	-
ID8	IDENTITII LIMITED ORDINARY	Share	10%	-	-	-	-
IEL	IDP EDUCATION LTD ORDINARY	Share	20%	-	-	-	-
IDT	IDT AUSTRALIA LTD ORDINARY	Share	10%	-	-	-	-
IGN	IGNITE LTD ORDINARY	Share	10%	-	-	-	-
IGO	IGO LIMITED ORDINARY	Share	20%	-	-	-	-
ILU	ILUKA RESOURCES ORDINARY	Share	20%	-	-	-	-
IMA	IMAGE RESOURCES NL ORDINARY	Share	10%	-	-	-	-
IBX	IMAGION BIOSYS LTD ORDINARY	Share	10%	-	-	-	-
IBXRA	IMAGION BIOSYSTEMS LIMITED RENOUNCEABLE RIGHTS - EXPIRY 16APR20	Right	0%	-	-	-	-
IBXR	IMAGION BIOSYSTEMS LIMITED RENOUNCEABLE RIGHTS EXP 11NOV19	Right	10%	-	-	-	-
IMD	IMDEX LIMITED ORDINARY	Share	20%	-	-	-	-
IME	IMEXHS LIMITED ORDINARY	Share	10%	-	-	-	-
IMC	IMMURON LIMITED ORDINARY	Share	10%	-	-	-	-
IMM	IMMUTEP LTD ORDINARY	Share	20%	-	-	-	-
IPT	IMPACT MINERALS ORDINARY	Share	10%	-	-	-	-
IPD	IMPEDIMED LIMITED ORDINARY	Share	10%	-	-	-	-
IMS	IMPELUS LTD ORDINARY	Share	10%	-	-	-	-
IMR	IMRICOR MED SYS CDI1:1 USPROHEXCLQIB	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
IMU	IMUGENE LIMITED ORDINARY	Share	20%	-	-	-	-
IHL	INCANNEX HEALTHCARE ORDINARY	Share	10%	-	-	-	-
INP	INCENTIAPAY LTD ORDINARY	Share	10%	-	-	-	-
INPR	INCENTIAPAY LTD RIGHTS 22-NOV-21 DEF	Right	10%	-	-	-	-
IPL	INCITEC PIVOT ORDINARY	Share	20%	-	-	-	-
IDA	INDIANA RESOURCES ORDINARY	Share	10%	-	-	-	-
IDZ	INDOOR SKYDIVE AUST ORDINARY	Share	10%	-	-	-	-
INF	INFINITY LITHIUM ORDINARY	Share	10%	-	-	-	-
IFM	INFOMEDIA LTD ORDINARY	Share	20%	-	-	-	-
IFT	INFRATIL LIMITED. ORDINARY FOR.EXEMPT	Share	10%	-	-	-	-
INA	INGENIA GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
ING	INGHAMS GROUP ORDINARY	Share	20%	-	-	-	-
IRX	INHALERX LIMITED ORDINARY	Share	10%	-	-	-	-
IAGPD	INSURANCE AUSTRALIA CN 3M PER Q RD T-23	Hybrid Security (Payable Date)	20%	-	-	-	-
IAG	INSURANCE AUSTRALIA ORDINARY	Share	20%	-	-	-	-
ITG	INTEGA GROUP LIMITED	Share	10%	-	-	-	-
IDX	INTEGRAL DIAGNOSTICS ORDINARY	Share	20%	-	-	-	-
IRI	INTEGRATED RESEARCH ORDINARY	Share	20%	-	-	-	-
ICR	INTELCARE HOLDINGS ORDINARY	Share	10%	-	-	-	-
IHR	INTELLIHR LIMITED ORDINARY	Share	10%	-	-	-	-
IEC	INTRA ENERGY CORP ORDINARY	Share	10%	-	-	-	-
IVR	INVESTIGATOR RES LTD ORDINARY	Share	10%	-	-	-	-
INV	INVESTSMART GROUP ORDINARY	Share	10%	-	-	-	-
IXC	INVEX THER ORDINARY	Share	10%	-	-	-	-
IVZ	INVICTUS ENERGY LTD ORDINARY	Share	10%	-	-	-	-
IVX	INVION LTD ORDINARY	Share	10%	-	-	-	-
IVC	INVOCARE LIMITED ORDINARY	Share	20%	-	-	-	-
IOD	IODM LIMITED ORDINARY	Share	10%	-	-	-	-
INR	IONEER LTD ORDINARY	Share	20%	-	-	-	-
IXR	IONIC RARE EARTHS ORDINARY	Share	10%	-	-	-	-
IFL	IOOF HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
IOU	IOUPAY LIMITED ORDINARY	Share	10%	-	-	-	-
IPH	IPH LIMITED ORDINARY	Share	20%	-	-	-	-
IRE	IRESS LIMITED ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
IBC	IRONBARK CAPITAL LTD ORDINARY	LIC - Australian	20%	*	*	*	*
IBG	IRONBARK ZINC LTD ORDINARY	Share	10%	-	-	-	-
ISU	ISELECT LTD ORDINARY	Share	10%	-	-	-	-
IAF	ISHARES COMP BND. ETF UNITS	ETF - Domestic	50%	0.15 %	*	*	*
BILL	ISHARES CORE CASH ETF UNITS	ETF - Domestic	100%	0.07 %	*	*	*
IHWL	ISHARES CORE WLD AUD ETF UNITS	ETF - Domestic	50%	0.12 %	0.01 %	*	*
IWLD	ISHARES CORE WLD ETF UNITS	ETF - Domestic	25%	0.09 %	*	*	*
ICOR	ISHARES CORP BOND ETF UNITS	ETF - Domestic	50%	0.15 %	*	*	*
AUMF	ISHARES EDGE AU MF ETF UNITS	ETF - Domestic	50%	0.30 %	0.04 %	*	*
MVOL	ISHARES EDGE AU MVOL ETF UNITS	ETF - Domestic	50%	0.30 %	0.04 %	*	*
WDMF	ISHARES EDGE WLD MF ETF UNITS	ETF - Domestic	50%	0.35 %	0.01 %	*	*
WVOL	ISHARES EDGEWLD MVOL ETF UNITS	ETF - Domestic	50%	0.30 %	*	*	*
ISEC	ISHARES ENHANCD CASH ETF UNITS	ETF - Domestic	100%	0.12 %	*	*	*
IHCB	ISHARES GBL BND AUD. ETF UNITS	ETF - Domestic	25%	0.27 %	0.14 %	*	*
IHHY	ISHARES GBLHGYD AUD ETF UNITS	ETF - Domestic	20%	0.61 %	0.27 %	*	*
IHO0	ISHARES GLOBAL 100 ETF UNITS	ETF - Domestic	50%	0.43 %	*	*	*
ILB	ISHARES GOV INFL ETF UNITS	ETF - Domestic	25%	0.18 %	*	*	*
IJH	ISHARES MID-CAP ETF ETF UNITS	ETF - Domestic	50%	0.09 %	0.01 %	*	*
IKO	ISHARES MSCI SK ETF ETF UNITS	ETF - Domestic	20%	0.59 %	0.04 %	*	*
ITW	ISHARES MSCI TW ETF ETF UNITS	ETF - Domestic	20%	0.64 %	0.03 %	*	*
IHVV	ISHARES S&P 500 AUD ETF UNITS	ETF - Domestic	50%	0.10 %	*	*	*
IVV	ISHARES S&P 500 ETF ETF UNITS	ETF - Domestic	50%	0.04 %	*	*	*
IHD	ISHARES S&P HIGH DIV ISHARES S&P HIGH DIV	ETF - Domestic	50%	0.30 %	0.02 %	*	*
ILC	ISHARES S&P/ASX 20 ISHARES S&P/ASX20	ETF - Domestic	50%	0.24 %	*	*	*
IOZ	ISHARES S&P/ASX 200. ETF UNITS	ETF - Domestic	100%	0.09 %	*	*	*
ISO	ISHARES SMALL ORDS ISHARES SMALL ORDS	ETF - Domestic	50%	0.55 %	0.01 %	*	*
IJR	ISHARES SMALL-CAP ETF UNITS	ETF - Domestic	25%	0.10 %	0.02 %	*	*
IGB	ISHARES TREASURY ETF UNITS	ETF - Domestic	50%	0.18 %	*	*	*
IHEB	ISHARES USDEMBOND AU ETF UNITS	ETF - Domestic	10%	0.52 %	0.02 %	*	*
IAA	ISHS ASIA 50 ETF ETF UNITS	ETF - Domestic	50%	0.51 %	0.02 %	*	*
IZZ	ISHS CHINA ETF ETF UNITS	ETF - Domestic	25%	0.76 %	0.05 %	*	*
IEU	ISHS EUROPE ETF ETF UNITS	ETF - Domestic	50%	0.59 %	*	*	*
IXJ	ISHS GLOB HEALTH ETF ETF UNITS	ETF - Domestic	25%	0.47 %	*	*	*
IOO	ISHS GLOBAL 100 ETF ETF UNITS	ETF - Domestic	50%	0.40 %	*	*	*
IXI	ISHS GLOBAL CONS ETF ETF UNITS	ETF - Domestic	25%	0.46 %	0.01 %	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
IVE	ISHS MSCI EAFE ETF ETF UNITS	ETF - Domestic	50%	0.32 %	0.01 %	*	*
IEM	ISHS MSCI EMG MKTETF ETF UNITS	ETF - Domestic	25%	0.70 %	0.04 %	*	*
IJP	ISHS MSCI JAPAN ETF ETF UNITS	ETF - Domestic	25%	0.49 %	*	*	*
ISX	ISIGNTHIS LTD ORDINARY	Share	10%	-	-	-	-
ITM	ITECH MINERALS LTD ORDINARY	Share	10%	-	-	-	-
IGL	IVEGROUP ORDINARY	Share	10%	-	-	-	-
IXU	IXUP LIMITED ORDINARY	Share	10%	-	-	-	-
JDR	JADAR RESOURCES ORDINARY	Share	10%	-	-	-	-
JGH	JADE GAS HOLDINGS ORDINARY	Share	10%	-	-	-	-
JHX	JAMES HARDIE INDUST PLC CHESS DEPOSITARY INT	Share	20%	-	-	-	-
JAL	JAMESON RESOURCES ORDINARY	Share	10%	-	-	-	-
JAN	JANISON EDU GROUP ORDINARY	Share	10%	-	-	-	-
JHG	JANUS HENDERSON CDI 1:1	Share	20%	-	-	-	-
JAT	JATCORP LIMITED ORDINARY	Share	10%	-	-	-	-
JAY	JAYRIDE GROUP ORDINARY	Share	10%	-	-	-	-
JBH	JB HI-FI LIMITED ORDINARY	Share	20%	-	-	-	-
JCS	JCURVE SOLUTIONS ORDINARY	Share	10%	-	-	-	-
JRV	JERVOIS GLOBAL LTD ORDINARY	Share	20%	-	-	-	-
JRL	JINDALEE RESOURCES ORDINARY	Share	10%	-	-	-	-
JLG	JOHNS LYNG GROUP ORDINARY	Share	20%	-	-	-	-
JYC	JOYCE CORPORATION ORDINARY	Share	10%	-	-	-	-
JIN	JUMBO INTERACTIVE ORDINARY	Share	20%	-	-	-	-
JNO	JUNO ORDINARY	Share	10%	-	-	-	-
JPR	JUPITER ENERGY ORDINARY	Share	10%	-	-	-	-
JMS	JUPITER MINES. ORDINARY	Share	20%	-	-	-	-
KSC	K & S CORPORATION ORDINARY	Share	10%	-	-	-	-
KAM	K2 ASSET MGMT HLDGS ORDINARY	Share	10%	-	-	-	-
K2F	K2FLY LTD ORDINARY	Share	10%	-	-	-	-
KLR	KAILI RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
KAI	KAIROS MINERALS LTD ORDINARY	Share	10%	-	-	-	-
KAU	KAISER REEF ORDINARY	Share	10%	-	-	-	-
KZR	KALAMAZOO RESOURCES ORDINARY	Share	10%	-	-	-	-
KLL	KALIUM LAKES LTD ORDINARY	Share	10%	-	-	-	-
KAR	KAROON ENERGY LTD ORDINARY	Share	20%	-	-	-	-
KAT	KATANA CAPITAL ORDINARY	LIC - Australian	20%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
KMD	KATHMANDU HOLD LTD ORDINARY	Share	20%	-	-	-	-
KZA	KAZIA THERAPEUTICS ORDINARY	Share	10%	-	-	-	-
KPG	KELLY PARTNERS GROUP ORDINARY	Share	10%	-	-	-	-
KLS	KELSIAN GROUP LTD ORDINARY	Share	20%	-	-	-	-
KBC	KEYBRIDGE CAPITAL ORDINARY	Share	10%	-	-	-	-
KED	KEYPATH EDUCATION CDI1:1 USPROHEXCLQIB	Share	10%	-	-	-	-
KGL	KGL RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
KIL	KILAND LTD ORDINARY	Share	10%	-	-	-	-
KIN	KIN MIN NL ORDINARY	Share	10%	-	-	-	-
KSL	KINA SECURITIES LTD ORDINARY	Share	20%	-	-	-	-
KCC	KINCORA COPPER CDI 1:1	Share	10%	-	-	-	-
KRR	KING RIVER RESOURCES ORDINARY	Share	10%	-	-	-	-
KCN	KINGSGATE CONSOLID. ORDINARY	Share	20%	-	-	-	-
KRM	KINGSROSE MINING LTD ORDINARY	Share	10%	-	-	-	-
KSN	KINGSTON RESOURCES ORDINARY	Share	10%	-	-	-	-
KWR	KINGWEST RESOURCES ORDINARY	Share	10%	-	-	-	-
KME	KIP MCGRATH EDU.CNTR ORDINARY	Share	10%	-	-	-	-
KLA	KIRKLAND LAKE GOLD CDI1:1FOREXEMPT XTSE	Share	10%	-	-	-	-
KKC	KKR CREDIT INC FUND ORDINARY UNITS	Exchange Traded Product	10%	*	*	*	*
KNM	KNEOMEDIA LIMITED ORDINARY	Share	10%	-	-	-	-
KNO	KNOSYS LIMITED ORDINARY	Share	10%	-	-	-	-
KGN	KOGAN.COM LTD ORDINARY	Share	20%	-	-	-	-
KFE	KOGI IRON LTD ORDINARY	Share	10%	-	-	-	-
KTA	KRAKATOA RESOURCES ORDINARY	Share	10%	-	-	-	-
KTG	K-TIG LIMITED ORDINARY	Share	10%	-	-	-	-
KGD	KULA GOLD LIMITED ORDINARY	Share	10%	-	-	-	-
KNI	KUNIKOLIMITED ORDINARY	Share	10%	-	-	-	-
KYK	KYCKR LIMITED ORDINARY	Share	10%	-	-	-	-
LSF	L1 LONG SHORT FUND ORDINARY	Share	20%	-	-	-	-
LRL	LABYRINTH RESOURCES ORDINARY	Share	10%	-	-	-	-
LSA	LACHLAN STAR LTD ORDINARY	Share	10%	-	-	-	-
LKE	LAKE RESOURCES ORDINARY	Share	10%	-	-	-	-
LHM	LAND HOMES GRP LTD ORDINARY	Share	10%	-	-	-	-
LNY	LANEWAY RES LTD ORDINARY	Share	10%	-	-	-	-
LAN	LANYON INVESTMENT ORDINARY	LIC - Australian	20%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
LRK	LARK DISTILLING CO. ORDINARY	Share	10%	-	-	-	-
LBL	LASERBOND LIMITED ORDINARY	Share	10%	-	-	-	-
LRS	LATIN RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
LFSPA	LATITUDE GROUP CN 3M PER Q RD T-26	Hybrid Security (Payable Date)	10%	-	-	-	-
LFS	LATITUDE GROUP HOLDINGS LIMITED	Share	20%	-	-	-	-
LMG	LATROBE MAGNESIUM ORDINARY	Share	10%	-	-	-	-
LBY	LAYBUY GROUP HOLDING ORDINARY	Share	20%	-	-	-	-
LBT	LBT INNOVATIONS ORDINARY	Share	10%	-	-	-	-
LER	LEAF RES LTD ORDINARY	Share	10%	-	-	-	-
LEG	LEGEND MINING ORDINARY	Share	20%	-	-	-	-
LCK	LEIGH CRK ENERGY LTD ORDINARY	Share	10%	-	-	-	-
LLC	LENLEASE GROUP UNIT/ORD STAPLED	Stapled Security	20%	-	-	-	-
LPD	LEPIDICO LTD ORDINARY	Share	10%	-	-	-	-
LPDRC	LEPIDICO LTD RENOUNCEABLE RIGHTS EXP 07MAY20	Right	10%	-	-	-	-
LFG	LIBERTY FIN GROUP FPO/UNITS STAPLED	Stapled Security	20%	-	-	-	-
360	LIFE360 INC. CDI3:1 USPROHEXCLQIB	Share	20%	-	-	-	-
LIC	LIFESTYLE COMMUNIT. ORDINARY	Share	20%	-	-	-	-
LME	LIMEADE, INC. CDI 1:1USPROHEXCLQIB	Share	20%	-	-	-	-
LIN	LINDIAN RESOURCES ORDINARY	Share	10%	-	-	-	-
LAU	LINDSAY AUSTRALIA ORDINARY	Share	10%	-	-	-	-
LNU	LINIUS TECH LIMITED ORDINARY	Share	10%	-	-	-	-
LNK	LINK ADMIN HLDG ORDINARY	Share	20%	-	-	-	-
LTR	LIONTOWN RESOURCES ORDINARY	Share	20%	-	-	-	-
LIS-P	LI-S ENERGY LIMITED - UNLISTED	Share	10%	-	-	-	-
LIT	LITHIUM AUSTRALIA NL ORDINARY	Share	10%	-	-	-	-
LPI	LITHIUM PWR INT LTD ORDINARY	Share	10%	-	-	-	-
LGP	LITTLE GREEN PHARMA ORDINARY	Share	10%	-	-	-	-
LVH	LIVEHIRE LIMITED ORDINARY	Share	10%	-	-	-	-
LVT	LIVETILES LIMITED ORDINARY	Share	20%	-	-	-	-
LCT	LIVING CELL TECH. ORDINARY	Share	10%	-	-	-	-
LCTR	LIVING CELL TECH. RIGHTS 07-DEC-21 DEF	Right	10%	-	-	-	-
LPE	LOCALITY PLANNING ORDINARY	Share	10%	-	-	-	-
LPGD	LOFTUS PEAK TMF UNITS	ETF - Domestic	10%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
LCL	LOS CERROS LIMITED ORDINARY	Share	10%	-	-	-	-
LOT	LOTUS RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
LOV	LOVISA HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
LRT	LOWELL RES FUND ORDINARY UNITS	Exchange Traded Product	10%	*	*	*	*
LOM	LUCAPA DIAMOND LTD ORDINARY	Share	10%	-	-	-	-
LM8	LUNNONMETALSLIMITED ORDINARY	Share	10%	-	-	-	-
LYL	LYCOPODIUM LIMITED ORDINARY	Share	20%	-	-	-	-
LYC	LYNAS RARE EARTHS ORDINARY	Share	20%	-	-	-	-
LGL	LYNCH GROUP HOLDINGS ORDINARY	Share	10%	-	-	-	-
M8S	M8 SUSTAINABLE ORDINARY	Share	10%	-	-	-	-
MAF	MA FINANCIAL GROUP ORDINARY	Share	20%	-	-	-	-
MGH	MAAS GROUP HOLDINGS ORDINARY	Share	20%	-	-	-	-
MLD	MACA LIMITED ORDINARY	Share	20%	-	-	-	-
MIO	MACARTHUR MINERALS ORDINARY	Share	10%	-	-	-	-
M7T	MACH7 TECH LIMITED ORDINARY	Share	20%	-	-	-	-
MAH	MACMAHON HOLDINGS ORDINARY	Share	20%	-	-	-	-
MBLPC	MACQUARIE BANK LTD CN 3M PER Q RD T-25	Hybrid Security (Payable Date)	20%	-	-	-	-
MBLPD	MACQUARIE BANK LTD CN 3M PER Q RD T-28	Hybrid Security (Payable Date)	20%	-	-	-	-
MQGPC	MACQUARIE GROUP LTD CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-
MQGPD	MACQUARIE GROUP LTD CN 3M PER Q RD T-26	Hybrid Security (Payable Date)	20%	-	-	-	-
MQGPE	MACQUARIE GROUP LTD CN 3M PER Q RD T-27	Hybrid Security (Payable Date)	20%	-	-	-	-
MQGPB	MACQUARIE GROUP LTD CN 6M PER Q RD T-21	Hybrid Security (Payable Date)	20%	-	-	-	-
MQG	MACQUARIE GROUP LTD ORDINARY	Share	20%	-	-	-	-
MAQ	MACQUARIE TELECOM GP ORDINARY	Share	20%	-	-	-	-
MPA	MAD PAWS ORDINARY	Share	10%	-	-	-	-
MAD	MADER GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
MICH	MAG. INFRA. FUND CH TMF UNITS	ETF - Domestic	20%	1.05 %	0.17 %	0.15 %	0.15 %
MFG	MAGELLAN FIN GRP LTD ORDINARY	Share	20%	-	-	-	-
MHG	MAGELLAN GBL EQ FUND TMF UNITS	ETF - Domestic	100%	1.05 %	0.06 %	0.10 %	0.10 %

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [†]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MGF	MAGELLAN GLOBAL FUND CLOSED CLASS	Exchange Traded Product	100%	*	*	*	*
MHH-P	MAGELLAN HIGH CONVICTION TRUST PRIORITY OFFER	Exchange Traded Product	25%	*	*	*	*
MGOC	MAGELLANGLOOPENCLASS OPEN CLASS	ETF - Domestic	100%	*	*	*	*
MHHT	MAGELLANHIGHCONVICT TMF UNITS	ETF - Domestic	25%	*	*	*	*
MBH	MAGGIE BEER HOLDINGS ORDINARY	Share	10%	-	-	-	-
MAG	MAGMATIC RESRCE LTD ORDINARY	Share	10%	-	-	-	-
MAU	MAGNETIC RESOURCES ORDINARY	Share	20%	-	-	-	-
MGTRD	MAGNETITE MINES LIMITED. REN RIGHTS - TRADING ENDS 22SEP20 EXP 24SEP20	Right	10%	-	-	-	-
MGT	MAGNETITE MINES ORDINARY	Share	10%	-	-	-	-
MNS	MAGNIS ENERGY TECH ORDINARY	Share	10%	-	-	-	-
MGU	MAGNUM MINING & EXP ORDINARY	Share	10%	-	-	-	-
MGL	MAGONTEC LIMITED ORDINARY	Share	10%	-	-	-	-
MKG	MAKO GOLD ORDINARY	Share	10%	-	-	-	-
MYL	MALLEE RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
M24	MAMBA EXPLORATION ORDINARY	Share	10%	-	-	-	-
MAN	MANDRAKE RES LTD ORDINARY	Share	10%	-	-	-	-
MHC	MANHATTAN CORP LTD ORDINARY	Share	10%	-	-	-	-
MKR	MANUKA RESOURCES. ORDINARY	Share	10%	-	-	-	-
MCX	MARINER CORP LTD ORDINARY	Share	10%	-	-	-	-
MMM	MARLEY SPOON CDI 1000:1	Share	20%	-	-	-	-
MQR	MARQUEE RESOURCE LTD ORDINARY	Share	10%	-	-	-	-
MVL	MARVEL GOLD LIMITED ORDINARY	Share	10%	-	-	-	-
MZZ	MATADOR MINING LTD ORDINARY	Share	10%	-	-	-	-
MCE	MATRIX C & E LTD ORDINARY	Share	10%	-	-	-	-
MAT	MATSA RESOURCES ORDINARY	Share	10%	-	-	-	-
MXI	MAXITRANS INDUSTRIES ORDINARY	Share	10%	-	-	-	-
MFD	MAYFIELD CHILDCR LTD ORDINARY	Share	10%	-	-	-	-
MYG	MAYFIELD GROUP LTD ORDINARY	Share	10%	-	-	-	-
MYX	MAYNE PHARMA LTD ORDINARY	Share	20%	-	-	-	-
MRL	MAYUR RESOURCES LTD CDI 1:1	Share	10%	-	-	-	-
MCM	MC MINING LTD ORDINARY	Share	10%	-	-	-	-
MEA	MCGRATH LTD ORDINARY	Share	10%	-	-	-	-
MMS	MCMILLAN SHAKESPEARE ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MCP	MCPHERSON'S LTD ORDINARY	Share	20%	-	-	-	-
MDR	MEDADVISOR LIMITED ORDINARY	Share	10%	-	-	-	-
MPL	MEDIBANK PRIVATE LTD ORDINARY	Share	20%	-	-	-	-
MEB	MEDIBIO LIMITED ORDINARY	Share	10%	-	-	-	-
MVP	MEDICAL DEVELOPMENTS ORDINARY	Share	20%	-	-	-	-
MPH	MEDILAND PHARM ORDINARY	Share	10%	-	-	-	-
MDC	MEDLAB CLINICAL LTD ORDINARY	Share	10%	-	-	-	-
MML	MEDUSA MINING LTD ORDINARY	Share	10%	-	-	-	-
MEK	MEEKA GOLD LIMITED ORDINARY	Share	10%	-	-	-	-
MP1	MEGAPORT LIMITED ORDINARY	Share	20%	-	-	-	-
MAY	MELBANA ENERGY LTD ORDINARY	Share	10%	-	-	-	-
MEM	MEMPHASYS LTD ORDINARY	Share	10%	-	-	-	-
MVT	MERCANTILE INVEST ORDINARY	Share	10%	-	-	-	-
MCY	MERCURY NZ LIMITED ORD FOR.EXEMPT NZX	Share	10%	-	-	-	-
MEZ	MERIDIAN ENERGY ORDINARY	Share	20%	-	-	-	-
MSB	MESOBLAST LIMITED ORDINARY	Share	20%	-	-	-	-
MBK	METAL BANK LTD ORDINARY	Share	10%	-	-	-	-
MBKR	METAL BANK LTD RIGHTS 24-NOV-21 DEF	Right	10%	-	-	-	-
MHK	METALHAWK. ORDINARY	Share	10%	-	-	-	-
MCT	METALICITY LIMITED ORDINARY	Share	10%	-	-	-	-
MLS	METALS AUSTRALIA ORDINARY	Share	10%	-	-	-	-
MLX	METALS X LIMITED ORDINARY	Share	10%	-	-	-	-
MTC	METALSTECH LTD ORDINARY	Share	10%	-	-	-	-
MYE	METAROCK GROUP LTD ORDINARY	Share	10%	-	-	-	-
MTS	METCASH LIMITED ORDINARY	Share	20%	-	-	-	-
MEI	METEORIC RESOURCES ORDINARY	Share	10%	-	-	-	-
MEL	METGASCO LIMITED ORDINARY	Share	10%	-	-	-	-
MOT	METRICS INCOME ORDINARY UNITS	Exchange Traded Product	25%	*	*	*	*
MXT	METRICS MASTER ORDINARY UNITS	Exchange Traded Product	25%	*	*	*	*
MMI	METRO MINING LTD ORDINARY	Share	10%	-	-	-	-
MFF	MFF CAPITAL INV LTD ORDINARY	LIC - International	20%	*	*	*	*
MXC	MGC PHARMACEUTICALS ORDINARY	Share	10%	-	-	-	-
MHJ	MICHAEL HILL INT ORDINARY	Share	20%	-	-	-	-
MAM	MICROEQUITIES ORDINARY	Share	10%	-	-	-	-
MX1	MICRO-X LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MDI	MIDDLE ISLAND RES ORDINARY	Share	10%	-	-	-	-
MWY	MIDWAY LTD ORDINARY	Share	10%	-	-	-	-
MCL	MIGHTY CRAFT LTD ORDINARY	Share	10%	-	-	-	-
MIL	MILLENNIUM GRP LTD ORDINARY	Share	10%	-	-	-	-
MNB	MINBOS RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
MCR	MINCOR RESOURCES NL ORDINARY	Share	20%	-	-	-	-
MRC	MINERAL COMMODITIES ORDINARY	Share	10%	-	-	-	-
MIN	MINERAL RESOURCES. ORDINARY	Share	20%	-	-	-	-
MI6	MINERALS260LIMITED ORDINARY	Share	10%	-	-	-	-
MEP	MINOTAUR EXPLORATION ORDINARY	Share	10%	-	-	-	-
MIRNA	MIRRABOOKA INVEST. NEW	Share	10%	-	-	-	-
MIR	MIRRABOOKA INVEST. ORDINARY	LIC - Australian	20%	*	*	*	*
MGR	MIRVAC GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
MSV	MITCHELL SERVICES ORDINARY	Share	10%	-	-	-	-
MTH	MITHRIL RESOURCES ORDINARY	Share	10%	-	-	-	-
MLG	MLGOZLTD ORDINARY	Share	10%	-	-	-	-
MRM	MMAOFFSHOR ORDINARY	Share	10%	-	-	-	-
MMJ	MMJ GROUP HLDS LTD ORDINARY	Share	10%	-	-	-	-
MOB	MOBILICOM LTD ORDINARY	Share	10%	-	-	-	-
MOGL	MOGL (MANAGED FUND) TMF UNITS	ETF - Domestic	25%	1.32 %	*	*	*
MND	MONADELPHOUS GROUP ORDINARY	Share	20%	-	-	-	-
MAAT	MONASH ABLT ACT TRST TMF UNITS	ETF - Domestic	20%	*	*	*	*
MVF	MONASH IVF GROUP LTD ORDINARY	Share	20%	-	-	-	-
MNY	MONEY3 CORPORATION ORDINARY	Share	20%	-	-	-	-
MME	MONEYME LIMITED ORDINARY	Share	20%	-	-	-	-
MR1	MONTEM RESOURCES ORDINARY	Share	10%	-	-	-	-
MEC	MORPHIC EEF LTD ORDINARY	LIC - International	20%	*	*	*	*
MOZ	MOSAIC BRANDS LTD ORDINARY	Share	10%	-	-	-	-
MXO	MOTIO LTD ORDINARY	Share	10%	-	-	-	-
MTO	MOTORCYCLE HLDG ORDINARY	Share	10%	-	-	-	-
MGX	MOUNT GIBSON IRON ORDINARY	Share	20%	-	-	-	-
MRD	MOUNT RIDLEY MINES ORDINARY	Share	10%	-	-	-	-
MSL2	MSL SOLUTIONS LIMITED	Share	10%	-	-	-	-
MSL	MSL SOLUTIONS LTD. ORDINARY	Share	10%	-	-	-	-
MSM	MSM CORPORATION INTL ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
MSTR	MSTR INT SHR ACT ETF TMF UNITS	ETF - Domestic	25%	*	*	*	*
MAET	MUNRO GLOBAL GROWTH TMF UNITS	ETF - Domestic	25%	*	*	*	*
MCA	MURRAY COD AUST LTD ORDINARY	Share	10%	-	-	-	-
MRG	MURRAY RIVER GRP ORDINARY	Share	10%	-	-	-	-
MGV	MUSGRAVE MINERALS ORDINARY	Share	20%	-	-	-	-
MYD	MYDEAL.COM.AU ORDINARY	Share	10%	-	-	-	-
MYR	MYER HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
MYS	MYSTATE LIMITED ORDINARY	Share	20%	-	-	-	-
NAM	NAMOI COTTON CO-OP CO-OPERATIVE CAP.UNT	Share	10%	-	-	-	-
NC6	NANOLLOSE LIMITED ORDINARY	Share	10%	-	-	-	-
NAN	NANOSONICS LIMITED ORDINARY	Share	20%	-	-	-	-
NVU	NANOVEU LIMITED ORDINARY	Share	10%	-	-	-	-
NCCGA	NAOS EMERG OPP CBND 4.5% 9-28 6M SU	Convertible Note (Ex Date)	10%	-	-	-	-
NCC	NAOS EMERG OPP ORDINARY	LIC - Australian	20%	*	*	*	*
NAC	NAOS EX-50 ORDINARY	LIC - Australian	20%	*	*	*	*
NSC	NAOS SMLCAP COM LTD ORDINARY	LIC - Australian	20%	*	*	*	*
NABPE	NATIONAL AUST. BANK CN 3M 09-28 Q RD	FRN	20%	-	-	-	-
NABPD	NATIONAL AUST. BANK CN 3M PER Q RD T-22	Hybrid Security (Payable Date)	20%	-	-	-	-
NABPF	NATIONAL AUST. BANK CN 3M PER Q RD T-26	Hybrid Security (Payable Date)	20%	-	-	-	-
NABPH	NATIONAL AUST. BANK CN 3M PER Q RD T-27	Hybrid Security (Payable Date)	20%	-	-	-	-
NABPB	NATIONAL AUST. BANK CPS II	Hybrid Security (Payable Date)	20%	-	-	-	-
NABHA	NATIONAL AUST. BANK NAT.INC.SEC. STAPLED	FRN	20%	-	-	-	-
NAB	NATIONAL AUST. BANK ORDINARY	Share	20%	-	-	-	-
NSR	NATIONAL STORAGE STAPLED	Stapled Security	20%	-	-	-	-
NTD	NATIONAL TYRE&WHEEL ORDINARY	Share	10%	-	-	-	-
NML	NAVARRE MINERALS LTD ORDINARY	Share	10%	-	-	-	-
NGI	NAVIGATOR GLOBAL LTD ORDINARY	Share	20%	-	-	-	-
NBI	NBGLOBCORPINCOMTRUST ORDINARY UNITS	Exchange Traded Product	15%	*	*	*	*
NEA	NEARMAP LTD ORDINARY	Share	20%	-	-	-	-
NES	NELSON RESOURCES. ORDINARY	Share	10%	-	-	-	-
NMT	NEOMETALS LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
NEN	NEON CAPITAL LTD ORDINARY	Share	10%	-	-	-	-
NET	NETLINKZ LIMITED ORDINARY	Share	10%	-	-	-	-
NWL	NETWEALTH GROUP ORDINARY	Share	20%	-	-	-	-
NSB	NEUROSCIENTIFIC ORDINARY	Share	10%	-	-	-	-
NTI	NEUROTECH INTL ORDINARY	Share	10%	-	-	-	-
NCZ	NEW CENTURY RESOURCE ORDINARY	Share	20%	-	-	-	-
NEW	NEW ENERGY SOLAR ORDINARY	Share	10%	-	-	-	-
NHC	NEW HOPE CORPORATION ORDINARY	Share	20%	-	-	-	-
NSE	NEW STANDARD ENERGY ORDINARY	Share	10%	-	-	-	-
NWC	NEW WORLD RESOURCES ORDINARY	Share	10%	-	-	-	-
NZS	NEW ZEALAND COASTAL ORDINARY	Share	10%	-	-	-	-
NCM	NEWCREST MINING ORDINARY	Share	20%	-	-	-	-
NPM	NEWPEAK METALS ORDINARY	Share	10%	-	-	-	-
NWSLV	NEWS CORP.. A NON-VOTING CDI	Share	10%	-	-	-	-
NWS	NEWS CORP.. B VOTING CDI	Share	20%	-	-	-	-
NNG	NEXION GROUP ORDINARY	Share	10%	-	-	-	-
NXS	NEXT SCIENCE LIMITED ORDINARY	Share	10%	-	-	-	-
NXT	NEXTDC LIMITED ORDINARY	Share	20%	-	-	-	-
NXM	NEXUS MINERALS LTD ORDINARY	Share	10%	-	-	-	-
NGE	NGE CAPITAL LIMITED ORDINARY	Share	10%	-	-	-	-
NHF	NIB HOLDINGS LIMITED ORDINARY	Share	20%	-	-	-	-
NCK	NICK SCALI LIMITED ORDINARY	Share	20%	-	-	-	-
NIC	NICKELMINESLIMITED ORDINARY	Share	20%	-	-	-	-
NKL	NICKELXLTD ORDINARY	Share	0%	-	-	-	-
NEC	NINE ENTERTAINMENT ORDINARY	Share	20%	-	-	-	-
NTO	NITRO SOFTWARE LTD ORDINARY	Share	20%	-	-	-	-
NTU	NORTHERN MIN LTD ORDINARY	Share	20%	-	-	-	-
NST	NORTHERN STAR ORDINARY	Share	20%	-	-	-	-
NSM	NORTHSTAW ORDINARY	Share	10%	-	-	-	-
NWE	NORWEST ENERGY NL ORDINARY	Share	10%	-	-	-	-
NOR	NORWOOD SYSTEMS LTD. ORDINARY	Share	10%	-	-	-	-
NOU	NOUMI LIMITED ORDINARY	Share	10%	-	-	-	-
EYE	NOVA EYE MEDICAL LTD ORDINARY	Share	10%	-	-	-	-
NVADB	NOVA MINERALS LTD DEFERRED SETTLEMENT	Share	20%	-	-	-	-
NOV	NOVATTI GROUP LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
NVX	NOVONIX LIMITED ORDINARY	Share	20%	-	-	-	-
NOX	NOXOPHARM LIMITED ORDINARY	Share	10%	-	-	-	-
NWH	NRW HOLDINGS LIMITED ORDINARY	Share	20%	-	-	-	-
NSX	NSX LIMITED ORDINARY	Share	10%	-	-	-	-
NUC	NUCHEV LIMITED	Share	10%	-	-	-	-
NCR	NUCOAL RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
NGY	NUENERGY GAS LTD ORDINARY	Share	10%	-	-	-	-
NFNG	NUFARM FINANCE (NZ) 6.94%PERP.24NOV11	Convertible Note (Payable Date)	10%	-	-	-	-
NUF	NUFARM LIMITED ORDINARY	Share	20%	-	-	-	-
NUH	NUHEARA LIMITED ORDINARY	Share	10%	-	-	-	-
NXL	NUIX LIMITED ORDINARY	Share	20%	-	-	-	-
NUS	NUSANTARA RESOURCES ORDINARY	Share	10%	-	-	-	-
NZK	NZK SALMON LTD ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
NZM	NZME LIMITED ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
OCL	OBJECTIVE CORP ORDINARY	Share	20%	-	-	-	-
OGA	OCEAN GROWN ABALONE ORDINARY	Share	10%	-	-	-	-
OGC	OCEANAGOLD CORP. CHESS DEPOSITARY INT	Share	10%	-	-	-	-
ODM	ODIN METALS LIMITED ORDINARY	Share	10%	-	-	-	-
OFX	OFX GROUP LTD ORDINARY	Share	20%	-	-	-	-
OSH	OIL SEARCH LTD ORDINARY	Share	20%	-	-	-	-
OLI	OLIVER'S REAL FOOD ORDINARY	Share	10%	-	-	-	-
OMH	OM HOLDINGS LIMITED ORDINARY	Share	20%	-	-	-	-
OBLHA	OMNI BRIDGEWAY LTD BND 3M 12-22 E SU T	Bond	20%	-	-	-	-
OBL	OMNI BRIDGEWAY LTD ORDINARY	Share	20%	-	-	-	-
OSL	ONCOSIL MEDICAL ORDINARY	Share	10%	-	-	-	-
ONE	ONEVIEW HEALTHCARE CDI 1:1	Share	10%	-	-	-	-
OML	OOH MEDIA LIMITED ORDINARY	Share	20%	-	-	-	-
OLL	OPENLEARNING ORDINARY	Share	10%	-	-	-	-
OPY	OPENPAY GROUP ORDINARY	Share	20%	-	-	-	-
OPH	OPHIR HIGH CF ORDINARY UNITS	Exchange Traded Product	20%	*	*	*	*
OPN	OPPENEG ORDINARY	Share	10%	-	-	-	-
OPT	OPTHEA LIMITED ORDINARY	Share	20%	-	-	-	-
OPL	OPYL LIMITED ORDINARY	Share	10%	-	-	-	-
OBM	ORA BANDA MINING LTD ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
OEC	ORBITAL CORP LIMITED ORDINARY	Share	10%	-	-	-	-
ODA	ORCODA LIMITED ORDINARY	Share	20%	-	-	-	-
ORR	ORECORP LTD ORDINARY	Share	10%	-	-	-	-
ORI	ORICA LIMITED ORDINARY	Share	20%	-	-	-	-
ORGHA	ORIGIN ENERGY FRN 22-DEC-71	FRN	20%	-	-	-	-
ORG	ORIGIN ENERGY ORDINARY	Share	20%	-	-	-	-
ORE	OROCOBRE LIMITED ORDINARY	Share	20%	-	-	-	-
ORA	ORORA LIMITED ORDINARY	Share	20%	-	-	-	-
OCC	ORTHOCELL LIMITED ORDINARY	Share	10%	-	-	-	-
OSP	OSPREY MED INC CDI 2:1 USPROHIBITED	Share	10%	-	-	-	-
OSPRB	OSPREY MEDICAL RENOUNCEABLE RIGHTS ISSUE - EXP 21APR20	Right	10%	-	-	-	-
OSX	OSTEOPORE LIMITED ORDINARY	Share	10%	-	-	-	-
OEL	OTTO ENERGY LIMITED ORDINARY	Share	10%	-	-	-	-
OVT	OVATO LIMITED ORDINARY	Share	10%	-	-	-	-
OVN	OVENTUS MEDICAL LTD ORDINARY	Share	10%	-	-	-	-
OTW	OVER THE WIRE LTD ORDINARY	Share	20%	-	-	-	-
OZL	OZ MINERALS ORDINARY	Share	20%	-	-	-	-
OZM	OZAUURUM RESOURCES ORDINARY	Share	10%	-	-	-	-
OZG	OZGROWTH LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
PAK	PACIFIC AMERICAN HLD ORDINARY	Share	10%	-	-	-	-
PAC	PACIFIC GRP LTD ORDINARY	Share	20%	-	-	-	-
PNM	PACIFIC NICKEL MINES ORDINARY	Share	10%	-	-	-	-
PSQ	PACIFIC SMILES GRP ORDINARY	Share	20%	-	-	-	-
PGH	PACT GROUP HLDGS LTD ORDINARY	Share	20%	-	-	-	-
PCK	PAINCHEK LTD ORDINARY	Share	10%	-	-	-	-
PDN	PALADIN ENERGY LTD ORDINARY	Share	20%	-	-	-	-
PAL	PALLA PHARMA LTD ORDINARY	Share	10%	-	-	-	-
PCL	PANCONTINENTAL ENERG ORDINARY	Share	10%	-	-	-	-
CXXXE	Panda Hill Mining Limited UNLISTED	Share	10%	-	-	-	-
PAN	PANORAMIC RESOURCES ORDINARY	Share	20%	-	-	-	-
PNR	PANTORO LIMITED ORDINARY	Share	20%	-	-	-	-
PPY	PAPYRUS AUSTRALIA ORDINARY	Share	10%	-	-	-	-
PAR	PARADIGM BIO. ORDINARY	Share	20%	-	-	-	-
PGC	PARAGON CARE LIMITED ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
PNL	PARINGA RESOURCES ORDINARY	Share	10%	-	-	-	-
PWN	PARKWAY CORP LTD ORDINARY	Share	10%	-	-	-	-
PGG	PARTNERS GRP GLOBAL ORDINARY UNITS	Exchange Traded Product	25%	*	*	*	*
PF1	PATHFINDER RESOURCES ORDINARY	Share	10%	-	-	-	-
PAB	PATRYS LIMITED ORDINARY	Share	10%	-	-	-	-
PYG	PAYGROUP LIMITED ORDINARY	Share	10%	-	-	-	-
PUA	PEAK MINERALS LTD ORDINARY	Share	10%	-	-	-	-
PEK	PEAK RESOURCES ORDINARY	Share	10%	-	-	-	-
PG1	PEARL GLOBAL LTD ORDINARY	Share	10%	-	-	-	-
PEX	PEEL MINING LIMITED ORDINARY	Share	10%	-	-	-	-
PPC	PEET LIMITED ORDINARY	Share	20%	-	-	-	-
PPCHB	PEET LIMITED S BND 3M 10-22	Bond	10%	-	-	-	-
PPCHA	PEET LIMITED S BND 7.50% 6M 06-21	Bond	10%	-	-	-	-
PDL	PENDAL GROUP LTD ORDINARY	Share	20%	-	-	-	-
PCG	PENGANA CAPITAL ORDINARY	Share	10%	-	-	-	-
PIA	PENGANA INT EQU LTD ORDINARY	LIC - International	20%	*	*	*	*
PE1	PENGANA PRIVATE ORDINARY UNITS	Exchange Traded Product	10%	*	*	*	*
PENRC	PENINSULA ENERGY LIMITED RENOUNCEABLE RIGHTS EXP 19JUN20	Right	10%	-	-	-	-
PENRB	PENINSULA ENERGY LIMITED RENOUNCEABLE RIGHTS EXP 31DEC19	Right	10%	-	-	-	-
PEN	PENINSULA ENERGY LTD ORDINARY	Share	10%	-	-	-	-
PTL	PENTAL LTD ORDINARY	Share	10%	-	-	-	-
SGG	PENTANET ORDINARY	Share	10%	-	-	-	-
PPE	PEOPLEINFRASTRUCTURE ORDINARY	Share	20%	-	-	-	-
PNN	PEPINNINI MINERALS ORDINARY	Share	10%	-	-	-	-
PIL	PEPPERMINT INV LTD ORDINARY	Share	10%	-	-	-	-
PPM	PEPPERMONEY ORDINARY	Share	10%	-	-	-	-
PGD	PEREGRINE GOLD ORDINARY	Share	10%	-	-	-	-
PRN	PERENTI GLOBAL LTD ORDINARY	Share	20%	-	-	-	-
PCI	PERPETUAL CRED TRUST ORDINARY UNITS	Exchange Traded Product	25%	*	*	*	*
PIC	PERPETUAL EQUITY LTD ORDINARY	LIC - Australian	20%	*	*	*	*
PPT	PERPETUAL LIMITED ORDINARY	Share	20%	-	-	-	-
PRU	PERSEUS MINING LTD ORDINARY	Share	20%	-	-	-	-
PMGOLD	PERTH MINT GOLD - CALL OPTION	ETC	10%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
PWR	PETER WARREN	Share	10%	-	-	-	-
PXA	PEXAGROUP	Share	10%	-	-	-	-
PXS	PHARMAXIS LTD ORDINARY	Share	10%	-	-	-	-
PETBN	PHOSLOCK ENV TEC LTD BONUS DEFERRED	Share	10%	-	-	-	-
PET	PHOSLOCK ENV TEC LTD ORDINARY	Share	10%	-	-	-	-
PLL	PIEDMONT LITHIUM INC CDI 100:1	Share	20%	-	-	-	-
PLS	PILBARA MIN LTD ORDINARY	Share	20%	-	-	-	-
PGY	PILOT ENERGY LTD ORDINARY	Share	10%	-	-	-	-
PNI	PINNACLE INVESTMENT ORDINARY	Share	20%	-	-	-	-
PNC	PIONEER CREDIT LTD ORDINARY	Share	20%	-	-	-	-
PVS	PIVOTAL SYSTEMS CDI 1:1 USPROHIBITED	Share	10%	-	-	-	-
PAI	PLATINUM ASIA LTD ORDINARY	LIC - International	20%	*	*	*	*
PTM	PLATINUM ASSET ORDINARY	Share	20%	-	-	-	-
PMC	PLATINUM CAPITAL LTD ORDINARY	LIC - International	20%	*	*	*	*
PAXX	PLATINUM_ASIA_FUND TMF UNITS	ETF - Domestic	25%	1.10 %	0.51 %	*	*
PIXX	PLATINUM_INT_FUND TMF UNITS	ETF - Domestic	50%	1.10 %	0.08 %	*	*
PL8	PLATO INC MAX LTD. ORDINARY	LIC - Australian	20%	*	*	*	*
PLY	PLAYSIDE STUDIOS ORDINARY	Share	10%	-	-	-	-
PLT	PLENTI GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
PX1	PLEXURE GROUP ORD FOR.EXEMPT NZX	Share	10%	-	-	-	-
PAF	PM CAPITAL ASIAN OPS ORDINARY	LIC - International	20%	*	*	*	*
PGF	PM CAPITAL FUND ORDINARY	LIC - International	20%	*	*	*	*
POD	PODIUM MINERALS ORDINARY	Share	10%	-	-	-	-
3DP	POINTERRA LIMITED ORDINARY	Share	20%	-	-	-	-
PBHR	POINTSBET HOLDINGS LTD RENOUNCEABLE RIGHTS AUG 2021	Right	10%	-	-	-	-
PBH	POINTSBET HOLDINGS ORDINARY	Share	20%	-	-	-	-
PXX	POLARX LIMITED ORDINARY	Share	10%	-	-	-	-
PNV	POLYNOVO LIMITED ORDINARY	Share	20%	-	-	-	-
POS	POSEIDON NICK LTD ORDINARY	Share	20%	-	-	-	-
PPK	PPK GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
PPS	PRAEMIUM LIMITED ORDINARY	Share	20%	-	-	-	-
PDZ	PRAIRIE MINING LTD ORDINARY	Share	10%	-	-	-	-
PDI	PREDICTIVE DISC LTD ORDINARY	Share	10%	-	-	-	-
PMV	PREMIER INVESTMENTS ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
PTX	PRESCIENT LTD ORDINARY	Share	10%	-	-	-	-
PFG	PRIME FINANCIAL ORDINARY	Share	10%	-	-	-	-
PRT	PRIME MEDIA GRP LTD ORDINARY	Share	10%	-	-	-	-
PME	PRO MEDICUS LIMITED ORDINARY	Share	20%	-	-	-	-
PBP	PROBIOTEC LIMITED ORDINARY	Share	10%	-	-	-	-
PRX	PRODIGY GOLD NL ORDINARY	Share	10%	-	-	-	-
PPGDA	PRO-PAC PACKAGING DEFERRED SETTLEMENT	Share	10%	-	-	-	-
PFP	PROPEL FUNERAL ORDINARY	Share	20%	-	-	-	-
PHL	PROPELL HOLDINGS LTD ORDINARY	Share	10%	-	-	-	-
PTG	PROPTECH GROUP ORDINARY	Share	10%	-	-	-	-
PGL	PROSPA GROUP	Share	10%	-	-	-	-
POW	PROTEAN ENERGY LTD ORDINARY	Share	10%	-	-	-	-
PIQ	PROTEOMICS INT LAB ORDINARY	Share	10%	-	-	-	-
PRL	PROVINCE RESOURCES ORDINARY	Share	10%	-	-	-	-
PSI	PSC INSURANCE LTD ORDINARY	Share	20%	-	-	-	-
PTB	PTB GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
PFT	PURE FOODS TAS LTD ORDINARY	Share	10%	-	-	-	-
PO3	PURIFLOH LTD ORDINARY	Share	10%	-	-	-	-
PUR	PURSUIT MINERALS ORDINARY	Share	10%	-	-	-	-
PPH	PUSHPAY HOLDINGS LTD ORD FOR. EXEMPT NZX	Share	20%	-	-	-	-
PWH	PWR HOLDINGS LIMITED ORDINARY	Share	20%	-	-	-	-
PYC	PYC THERAPEUTICS LIMITED	Share	20%	-	-	-	-
PLX	PYROLYX AG CDI 15:1 FXMP FSE	Share	10%	-	-	-	-
QTG	Q TECHNOLOGY GRP LTD ORDINARY	Share	10%	-	-	-	-
QAN	QANTAS AIRWAYS ORDINARY	Share	20%	-	-	-	-
QIP	QANTM INTELLECTUAL ORDINARY	Share	10%	-	-	-	-
QBE	QBE INSURANCE GROUP ORDINARY	Share	20%	-	-	-	-
QEM	QEM LIMITED ORDINARY	Share	10%	-	-	-	-
QML	QMINES LIMITED ORDINARY	Share	10%	-	-	-	-
QRX	QRXPHERMA LTD ORDINARY	Share	10%	-	-	-	-
QRI	QUALITAS RE INCOME ORDINARY UNITS	Exchange Traded Product	20%	*	*	*	*
QGL	QUANTUM GRAPHITE ORDINARY	Share	10%	-	-	-	-
QUBHA	QUBE HOLDINGS LTD HY 3M 10-23 Q	FRN	20%	-	-	-	-
QUB	QUBE HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
QPM	QUEENSLAND PACIFIC ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
QFE	QUICKFEE LIMITED ORDINARY	Share	10%	-	-	-	-
QHLDA	QUICKSTEP HOLDINGS DEFERRED SETTLEMENT	Share	10%	-	-	-	-
QVE	QV EQUITIES LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
QXR	QX RESOURCES LIMITED ORDINARY	Share	10%	-	-	-	-
RAC	RACE ONCOLOGY LTD ORDINARY	Share	20%	-	-	-	-
RAD	RADIOPHARM ORDINARY	Share	10%	-	-	-	-
RAS	RAGUSA MINERALS LTD ORDINARY	Share	10%	-	-	-	-
RDN	RAIDEN RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
RZI	RAIZ INVEST LIMITED ORDINARY	Share	10%	-	-	-	-
RMS	RAMELIUS RESOURCES ORDINARY	Share	20%	-	-	-	-
RHCPA	RAMSAY HEALTH CARE CARES	Hybrid Security (Payable Date)	20%	-	-	-	-
RHC	RAMSAY HEALTH CARE ORDINARY	Share	20%	-	-	-	-
RAN	RANGE INTERNATIONAL ORDINARY	Share	10%	-	-	-	-
RAW	RAWSON OIL & GAS LTD ORDINARY	Share	10%	-	-	-	-
RBR	RBR GROUP LTD ORDINARY	Share	10%	-	-	-	-
REA	REA GROUP ORDINARY	Share	20%	-	-	-	-
RCL	READCLOUD ORDINARY	Share	10%	-	-	-	-
RDY	READYTECH HOLDINGS	Share	20%	-	-	-	-
RCE	RECCE PHARMACEUTICAL ORDINARY	Share	20%	-	-	-	-
RKN	RECKON LIMITED ORDINARY	Share	10%	-	-	-	-
RED	RED 5 LIMITED ORDINARY	Share	20%	-	-	-	-
RDT	RED DIRT METALS LTD ORDINARY	Share	10%	-	-	-	-
RHI	RED HILL IRON ORDINARY	Share	10%	-	-	-	-
RDM	RED METAL LIMITED ORDINARY	Share	10%	-	-	-	-
RMX	RED MOUNT MIN LTD ORDINARY	Share	10%	-	-	-	-
RVR	RED RIVER RESOURCES ORDINARY	Share	10%	-	-	-	-
ROG	RED SKY ENERGY. ORDINARY	Share	10%	-	-	-	-
RCP	REDBANK COPPER LTD ORDINARY	Share	10%	-	-	-	-
RBL	REDBUBBLE LIMITED ORDINARY	Share	20%	-	-	-	-
RDC	REDCAPE HOTEL GROUP STAPLED UNITS	Stapled Security	20%	-	-	-	-
RFX	REDFLOW LIMITED ORDINARY	Share	10%	-	-	-	-
RDH	REDHILL EDUCATION ORDINARY	Share	10%	-	-	-	-
RDS	REDSTONE RESOURCES ORDINARY	Share	10%	-	-	-	-
REH	REECE LIMITED ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
RCT	REEF CASINO TRUST UNITS	Listed Property Trust	10%	-	-	-	-
RF1	REGAL INVEST FUND ORDINARY UNITS	Exchange Traded Product	10%	*	*	*	*
RGS	REGENEUS LTD ORDINARY	Share	10%	-	-	-	-
REX	REGIONAL EXPRESS ORDINARY	Share	10%	-	-	-	-
REG	REGIS HEALTHCARE LTD ORDINARY	Share	20%	-	-	-	-
RRL	REGIS RESOURCES ORDINARY	Share	20%	-	-	-	-
RWC	RELIANCE WORLDWIDE ORDINARY	Share	20%	-	-	-	-
RNU	RENASCOR RES LTD ORDINARY	Share	10%	-	-	-	-
RNT	RENT.COM.AU LIMITED ORDINARY	Share	10%	-	-	-	-
RNE	RENU ENERGY LTD ORDINARY	Share	10%	-	-	-	-
REP	REP ESSENTIAL PROP UNITS STAPLED	Other	10%	-	-	-	-
RAP	RESAPP HEALTH LTD ORDINARY	Share	10%	-	-	-	-
RMC	RESIMAC GRP LTD ORDINARY	Share	20%	-	-	-	-
RMD	RESMED INC CDI 10:1	Share	20%	-	-	-	-
RSG	RESOLUTE MINING ORDINARY	Share	20%	-	-	-	-
RML	RESOLUTION MINERALS ORDINARY	Share	10%	-	-	-	-
RHT	RESONANCE HEALTH ORDINARY	Share	10%	-	-	-	-
REZ	RESOURC & EN GRP LTD ORDINARY	Share	10%	-	-	-	-
RES	RESOURCE GENERATION ORDINARY	Share	10%	-	-	-	-
RSH	RESPIRI LIMITED ORDINARY	Share	10%	-	-	-	-
RFG	RETAIL FOOD GROUP ORDINARY	Share	10%	-	-	-	-
RVS	REVASUM CDI 1:1	Share	10%	-	-	-	-
RWD	REWARD MINERALS LTD ORDINARY	Share	10%	-	-	-	-
RXM	REX MINERALS LIMITED ORDINARY	Share	10%	-	-	-	-
RNO	RHINOMED LTD ORDINARY	Share	10%	-	-	-	-
RHY	RHYTHM BIOSCIENCES ORDINARY	Share	10%	-	-	-	-
RIC	RIDLEY CORPORATION ORDINARY	Share	20%	-	-	-	-
RIE	RIEDEL RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
RCW	RIGHTCROWD ORDINARY	Share	10%	-	-	-	-
RIM	RIMFIRE PACIFIC ORDINARY	Share	10%	-	-	-	-
RIO	RIO TINTO LIMITED ORDINARY	Share	20%	-	-	-	-
RGL	RIVERSGOLD ORDINARY	Share	10%	-	-	-	-
RMY	RMA GLOBAL ORDINARY	Share	10%	-	-	-	-
RLG	ROOLIFE GROUP LTD ORDINARY	Share	10%	-	-	-	-
ROO	ROOTS SUSTAINABLE CDI 1:1	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
RGI	ROTO-GRO INTL LTD ORDINARY	Share	10%	-	-	-	-
RUL	RPMGLOBAL HLDGS LTD ORDINARY	Share	20%	-	-	-	-
RTG	RTG MINING INC. CDI 1:1	Share	10%	-	-	-	-
RTR	RUMBLE RES LIMITED ORDINARY	Share	10%	-	-	-	-
RFF	RURAL FUNDS GROUP STAPLED	Stapled Security	20%	-	-	-	-
RSM	RUSSAUSEMIGOVBNDETF ETF UNITS	ETF - Domestic	20%	0.26 %	*	*	*
RCB	RUSSAUSTCORPBONDETF ETF UNITS	ETF - Domestic	20%	0.28 %	*	*	*
RGB	RUSSAUSTGOVTBONDETF ETF UNITS	ETF - Domestic	20%	0.24 %	*	*	*
RARI	RUSSAUSTRESPINVETF ETF UNITS	ETF - Domestic	25%	0.45 %	0.05 %	*	*
RDV	RUSSELL HIGH DIV ETF ETF UNITS	ETF - Domestic	50%	0.34 %	0.06 %	*	*
RYD	RYDER CAPITAL LTD ORDINARY	Share	10%	-	-	-	-
S2R	S2 RESOURCES ORDINARY	Share	10%	-	-	-	-
SBR	SABRE RESOURCES ORDINARY	Share	10%	-	-	-	-
SGC	SACGASCO LTD ORDINARY	Share	10%	-	-	-	-
SRH	SAFEROADS HOLDINGS ORDINARY	Share	10%	-	-	-	-
SO4	SALT LAKE POTASH LTD ORDINARY	Share	20%	-	-	-	-
SFR	SANDFIRE RESOURCES ORDINARY	Share	20%	-	-	-	-
SNC	SANDON CAPITAL LTD ORDINARY	LIC - Australian	20%	*	*	*	*
SMI	SANTANA MINERALS LTD ORDINARY	Share	10%	-	-	-	-
STO	SANTOS LTD ORDINARY	Share	20%	-	-	-	-
STN	SATURN METALS ORDINARY	Share	10%	-	-	-	-
SND	SAUNDERS INTL LTD ORDINARY	Share	10%	-	-	-	-
SYARC	SAYONA MINING LIMITED RENOUNCEABLE RIGHTS EXP 31MAR20	Right	10%	-	-	-	-
SYA	SAYONA MINING LTD ORDINARY	Share	10%	-	-	-	-
SCP	SCA PROPERTY GROUP STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
SCG	SCENTRE GRP STAPLED	Stapled Security	20%	-	-	-	-
GROW	SCH REAL RETURN FUND TMF UNITS	ETF - Domestic	20%	0.98 %	*	*	*
SFC	SCHAFFER CORP. LTD. ORDINARY	Share	20%	-	-	-	-
SCL	SCHROLE GROUP LTD ORDINARY	Share	10%	-	-	-	-
SDV	SCIDEV LTD ORDINARY	Share	10%	-	-	-	-
SCT	SCOUT SECURITY LTD ORDINARY	Share	10%	-	-	-	-
SDI	SDI LIMITED ORDINARY	Share	10%	-	-	-	-
SFG	SEAFARMS GROUP LTD ORDINARY	Share	10%	-	-	-	-
SES	SECOS GROUP LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
SMX	SECURITY MATTERS ORDINARY	Share	10%	-	-	-	-
SEK	SEEK LIMITED ORDINARY	Share	20%	-	-	-	-
SHV	SELECT HARVESTS ORDINARY	Share	20%	-	-	-	-
SWF	SELFWEALTH ORDINARY	Share	10%	-	-	-	-
SEN	SENETAS CORPORATION ORDINARY	Share	10%	-	-	-	-
SXY	SENEX ENERGY LIMITED ORDINARY	Share	20%	-	-	-	-
SNS	SENSEN NETWORKS LTD ORDINARY	Share	10%	-	-	-	-
SE1	SENSERA LTD ORDINARY	Share	10%	-	-	-	-
SEQ	SEQUOIA FIN GRP LTD ORDINARY	Share	10%	-	-	-	-
SKO	SERKO ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
SRV	SERVCORP LIMITED ORDINARY	Share	20%	-	-	-	-
SSM	SERVICE STREAM ORDINARY	Share	20%	-	-	-	-
SVWPA	SEVEN GROUP HOLDINGS CONV. RED. PREF.	Hybrid Security (Payable Date)	10%	-	-	-	-
SVW	SEVEN GROUP HOLDINGS ORDINARY	Share	20%	-	-	-	-
SWM	SEVEN WEST MEDIA LTD ORDINARY	Share	20%	-	-	-	-
SZL	SEZZLE INC. CDI1:1 USPROHEXCLQIB	Share	20%	-	-	-	-
SGF	SG FLEET GROUP LTD ORDINARY	Share	20%	-	-	-	-
SSG	SHAVER SHOP GRP LTD ORDINARY	Share	10%	-	-	-	-
SFX	SHEFFIELD RES LTD ORDINARY	Share	10%	-	-	-	-
SHJ	SHINE CORPORATE ORDINARY	Share	10%	-	-	-	-
SHM	SHRIRO HOLDINGS LTD ORDINARY	Share	10%	-	-	-	-
SIG	SIGMA HEALTH LTD ORDINARY	Share	20%	-	-	-	-
SIH	SIHAYO GOLD LIMITED ORDINARY	Share	10%	-	-	-	-
SLX	SILEX SYSTEMS ORDINARY	Share	10%	-	-	-	-
SLA	SILK LASER AUSTRALIA ORDINARY	Share	20%	-	-	-	-
SIV	SILVER CHEF LIMITED ORDINARY	Share	10%	-	-	-	-
SCI	SILVER CITY MINERALS ORDINARY	Share	10%	-	-	-	-
SLR	SILVER LAKE RESOURCE ORDINARY	Share	20%	-	-	-	-
SVL	SILVER MINES LIMITED ORDINARY	Share	20%	-	-	-	-
SIS	SIMBLE SOLUTIONS ORDINARY	Share	10%	-	-	-	-
SIO	SIMONDS GRP LTD ORDINARY	Share	10%	-	-	-	-
SGM	SIMS LIMITED ORDINARY	Share	20%	-	-	-	-
SRI	SIPA RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
SI6	SIX SIGMA METALS LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
SKN	SKIN ELEMENTS LTD ORDINARY	Share	10%	-	-	-	-
SKY	SKY METALS LTD ORDINARY	Share	10%	-	-	-	-
SKT	SKY NETWORK ORDINARY	Share	10%	-	-	-	-
SKC	SKYCITY ENT GRP LTD ORDINARY	Share	20%	-	-	-	-
SKF	SKYFII LTD ORDINARY	Share	10%	-	-	-	-
SGH	SLATER & GORDON ORDINARY	Share	10%	-	-	-	-
SPZ	SMART PARKING LTD ORDINARY	Share	10%	-	-	-	-
SIQ	SMARTGRP CORPORATION ORDINARY	Share	20%	-	-	-	-
SMP	SMARTPAY HOLDINGS ORDINARY	Share	10%	-	-	-	-
SIL	SMILES INCLUSIVE ORDINARY	Share	10%	-	-	-	-
SOM	SOMNOMED LIMITED ORDINARY	Share	10%	-	-	-	-
SHL	SONIC HEALTHCARE ORDINARY	Share	20%	-	-	-	-
SOL	SOUL PATTINSON (W.H) ORDINARY	Share	20%	-	-	-	-
SHP	SOUTH HARZ POTASH ORDINARY	Share	10%	-	-	-	-
S32	SOUTH32 LIMITED ORDINARY	Share	20%	-	-	-	-
SAU	SOUTHERN GOLD ORDINARY	Share	10%	-	-	-	-
SUH	SOUTHERN HEM MIN ORDINARY	Share	10%	-	-	-	-
SOV	SOVEREIGN CLOUD HLDG ORDINARY	Share	10%	-	-	-	-
SVM	SOVEREIGN METALS ORDINARY	Share	10%	-	-	-	-
SPN	SPARC TECH LTD ORDINARY	Share	10%	-	-	-	-
SKI	SPARK INFRASTRUCTURE STAPLED SECURITIES	Stapled Security	20%	-	-	-	-
SPK	SPARK NEW ZEALAND ORDINARY	Share	20%	-	-	-	-
OZF	SPDR 200 FINANCIALS ETF UNITS	ETF - Domestic	50%	0.40 %	*	*	*
STW	SPDR 200 FUND ETF UNITS	ETF - Domestic	50%	0.13 %	*	*	*
OZR	SPDR 200 RESOURCES ETF UNITS	ETF - Domestic	25%	0.40 %	0.01 %	*	*
SFY	SPDR 50 FUND ETF UNITS	ETF - Domestic	50%	0.29 %	*	*	*
BOND	SPDR AUST BOND ETF UNITS	ETF - Domestic	25%	0.24 %	*	*	*
DJRE	SPDR DJ GLOBAL REIT ETF UNITS	ETF - Domestic	25%	0.50 %	0.03 %	*	*
WEMG	SPDR EMERGING MKTS ETF UNITS	ETF - Domestic	25%	0.65 %	0.07 %	*	*
WDIV	SPDR GLOBAL DIVIDEND ETF UNITS	ETF - Domestic	50%	0.50 %	0.13 %	*	*
GOVT	SPDR GOVT BOND ETF UNITS	ETF - Domestic	25%	0.22 %	*	*	*
QMIX	SPDR MSCI WORLD QMIX ETF UNITS	ETF - Domestic	25%	0.40 %	0.04 %	*	*
SPY	SPDR S&P 500 ETF CDI 1:1	ETF - International	50%	0.09 %	*	*	*
SLF	SPDR S&P/ASX PROP FU ETF UNITS	ETF - Domestic	25%	0.40 %	*	*	*
SSO	SPDR SMALL ORDS ETF UNITS	ETF - Domestic	25%	0.50 %	0.07 %	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
WZOZ	SPDR WORLD EX OZ ETF UNITS	ETF - Domestic	50%	0.30 %	0.02 %	*	*
WXHG	SPDR WORLD EX OZ HDG ETF UNITS	ETF - Domestic	50%	0.35 %	0.10 %	*	*
SYI	SPDRMSCIAUSELECTHDY ETF UNITS	ETF - Domestic	50%	0.35 %	0.08 %	*	*
SEC	SPHERIA EMERGING CO ORDINARY	LIC - Australian	20%	*	*	*	*
ST1	SPIRIT TECHNOLOGY ORDINARY	Share	20%	-	-	-	-
SPT	SPLITIT ORDINARY	Share	20%	-	-	-	-
SHO	SPORTSHERO LTD ORDINARY	Share	10%	-	-	-	-
SIX	SPRINTEX LTD ORDINARY	Share	10%	-	-	-	-
SRG	SRG GLOBAL LTD ORDINARY	Share	10%	-	-	-	-
SSR	SSR MINING INC. CDI 1:1	Share	10%	-	-	-	-
SBM	ST BARBARA LIMITED ORDINARY	Share	20%	-	-	-	-
SGQ	ST GEORGE MIN LTD ORDINARY	Share	10%	-	-	-	-
SMR	STANMORE RESOURCES ORDINARY	Share	20%	-	-	-	-
SPL	STARPHARMA HOLDINGS ORDINARY	Share	20%	-	-	-	-
GAS	STATE GAS LIMITED ORDINARY	Share	10%	-	-	-	-
E200	STATESTREETE200 ETF UNITS	ETF - Domestic	50%	*	*	*	*
SVY	STAVELY MINERALS LTD ORDINARY	Share	20%	-	-	-	-
SDF	STEADFAST GROUP LTD ORDINARY	Share	20%	-	-	-	-
SGI	STEALTH GLOBAL ORDINARY	Share	10%	-	-	-	-
SST	STEAMSHIPS TRADING ORDINARY	Share	20%	-	-	-	-
SXE	STH CRS ELECT ENGR ORDINARY	Share	10%	-	-	-	-
SXL	STHN CROSS MEDIA ORDINARY	Share	20%	-	-	-	-
SGP	STOCKLAND UNITS/ORD STAPLED	Stapled Security	20%	-	-	-	-
STG	STRAKER TRANSLATIONS ORDINARY	Share	10%	-	-	-	-
SOR	STRATEGIC ELEMENTS ORDINARY	PDF	10%	-	-	-	-
SER	STRATEGIC ENERGY ORDINARY	Share	10%	-	-	-	-
STK	STRICKLAND METALS ORDINARY	Share	10%	-	-	-	-
STX	STRIKE ENERGY LTD ORDINARY	Share	20%	-	-	-	-
SMN	STRUCTURAL MONITOR. CHESS DEPOSITARY INT	Share	10%	-	-	-	-
SUNPH	SUNCORP GROUP LTD	Hybrid Security (Payable Date)	20%	-	-	-	-
SUNPF	SUNCORP GROUP LTD CN 3M PER Q RD T-22	Hybrid Security (Payable Date)	20%	-	-	-	-
SUNPG	SUNCORP GROUP LTD CN 3M PER Q RD T-24	Hybrid Security (Payable Date)	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
SUNPI	SUNCORP GROUP LTD CN 3M PER Q RD T-28	Hybrid Security (Payable Date)	20%	-	-	-	-
SUNPE	SUNCORP GROUP LTD CONV PREF CPS3	Hybrid Security (Payable Date)	20%	-	-	-	-
SUN	SUNCORP GROUP LTD ORDINARY	Share	20%	-	-	-	-
SEA	SUNDANCE ENERGY ORDINARY	Share	20%	-	-	-	-
SDG	SUNLAND GROUP LTD ORDINARY	Share	20%	-	-	-	-
SRL	SUNRISE ORDINARY	Share	20%	-	-	-	-
SHN	SUNSHINE GOLD LTD ORDINARY	Share	10%	-	-	-	-
STM	SUNSTONE METALS LTD ORDINARY	Share	10%	-	-	-	-
SUL	SUPER RET REP LTD ORDINARY	Share	20%	-	-	-	-
SUP	SUPERIOR LAKE RES ORDINARY	Share	10%	-	-	-	-
SPQ	SUPERIOR RESOURCES ORDINARY	Share	10%	-	-	-	-
SLC	SUPERLOOP LIMITED ORDINARY	Share	20%	-	-	-	-
SNL	SUPPLY NETWORK ORDINARY	Share	20%	-	-	-	-
SUV	SUVO STRATEGIC ORDINARY	Share	10%	-	-	-	-
SWK	SWICK MINING ORDINARY	Share	10%	-	-	-	-
SW1	SWIFT MEDIA LIMITED ORDINARY	Share	10%	-	-	-	-
SYD	SYD AIRPORT STAPLED US PROHIBIT.	Stapled Security	20%	-	-	-	-
SYM	SYMBIO HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
SL1	SYMBOL MINING LTD ORDINARY	Share	10%	-	-	-	-
SM1	SYNLAIT MILK LTD ORD FOR. EXEMPT NZX	Share	20%	-	-	-	-
SYT	SYNTONIC LIMITED ORDINARY	Share	10%	-	-	-	-
SYR	SYRAH RESOURCES ORDINARY	Share	20%	-	-	-	-
TAHRA	TABCORP HOLDINGS LIMITED RENOUNCEABLE RIGHTS EXP 04SEP20	Right	10%	-	-	-	-
TAH	TABCORP HOLDINGS LTD ORDINARY	Share	20%	-	-	-	-
TLG	TALGA RESOURCES ORDINARY	Share	20%	-	-	-	-
TD1	TALI DIGITAL LIMITED ORDINARY	Share	10%	-	-	-	-
TLM	TALISMAN MINING ORDINARY	Share	10%	-	-	-	-
TPD	TALON PETROLEUM LTD ORDINARY	Share	10%	-	-	-	-
TWD	TAMAWOOD LIMITED ORDINARY	Share	10%	-	-	-	-
TAM	TANAMI GOLD NL ORDINARY	Share	10%	-	-	-	-
TAR	TARUGA MINERALS ORDINARY	Share	10%	-	-	-	-
TFL	TASFOODS LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
TAS	TASMAN RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
TGR	TASSAL GROUP LIMITED ORDINARY	Share	20%	-	-	-	-
TMT	TECHNOLOGY METALS ORDINARY	Share	10%	-	-	-	-
TNE	TECHNOLOGY ONE ORDINARY	Share	20%	-	-	-	-
TLX	TELIX PHARMACEUTICAL ORDINARY	Share	20%	-	-	-	-
TLS	TELSTRA CORPORATION. ORDINARY	Share	20%	-	-	-	-
TEM	TEMPEST MINERALS ORDINARY	Share	10%	-	-	-	-
TPW	TEMPLE & WEBSTER LTD ORDINARY	Share	20%	-	-	-	-
TGG	TEMPLETON GLOBAL ORDINARY	LIC - International	20%	*	*	*	*
TPP	TEMPO AUSTRALIA ORDINARY	Share	10%	-	-	-	-
TMR	TEMPUS RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
TER	TERRACOM LTD ORDINARY	Share	10%	-	-	-	-
TGH	TERRAGEN ORDINARY	Share	10%	-	-	-	-
TMX	TERRAIN MINERALS ORDINARY	Share	10%	-	-	-	-
TZN	TERRAMIN AUSTRALIA. ORDINARY	Share	10%	-	-	-	-
TNT	TESSERENT LIMITED ORDINARY	Share	20%	-	-	-	-
A2M	THE A2 MILK COMPANY ORDINARY	Share	20%	-	-	-	-
AU1	THE AGENCY GROUP AUS ORDINARY	Share	10%	-	-	-	-
FOD	THE FOOD REVOLUTION ORDINARY	Share	10%	-	-	-	-
TMH	THE MARKET HERALD ORDINARY	Share	10%	-	-	-	-
TRS	THE REJECT SHOP ORDINARY	Share	20%	-	-	-	-
SGR	THE STAR ENT GRP ORDINARY	Share	20%	-	-	-	-
TSN	THE SUST NUTRI GRP ORDINARY	Share	10%	-	-	-	-
GO2	THEGO2PEOPLE ORDINARY	Share	10%	-	-	-	-
YTMF07	THETA ASSET LTD THETA-ACBC ANZF04-20	ETF - Domestic	20%	*	*	*	*
YTMAWC	THETA ASSET LTD THETA-ACBC AWC 11-19	ETF - Domestic	10%	*	*	*	*
YTMBH1	THETA ASSET LTD THETA-ACBC BH1 03-20	ETF - Domestic	20%	*	*	*	*
YTMCWN	THETA ASSET LTD THETA-ACBC CWN 07-17	ETF - Domestic	20%	*	*	*	*
YTMMG1	THETA ASSET LTD THETA-ACBC MGR 12-17	ETF - Domestic	20%	*	*	*	*
YTMQF1	THETA ASSET LTD THETA-ACBC QAN 04-20	ETF - Domestic	20%	*	*	*	*
YTMWE1	THETA ASSET LTD THETA-ACBC WES 03-20	ETF - Domestic	20%	*	*	*	*
TNK	THINK CHILDCARE FPO STAPLED	Stapled Security	10%	-	-	-	-
TMZ	THOMSON RES LTD ORDINARY	Share	10%	-	-	-	-
TGA	THORN GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
TOP	THORNEY OPP LTD ORDINARY	LIC - Australian	20%	*	*	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
TEK	THORNEY TECH LTD ORDINARY	Share	10%	-	-	-	-
TPS	THREAT PROTECT LTD ORDINARY	Share	10%	-	-	-	-
TIE	TIETTO MINERALS ORDINARY	Share	10%	-	-	-	-
TIG	TIGERS REALM COAL ORDINARY	Share	10%	-	-	-	-
TIGR	TIGERS REALM COAL RENOUNCEABLE RIGHTS EXP 29DEC20	Right	0%	-	-	-	-
TNY	TINYBEANS GROUP LTD ORDINARY	Share	10%	-	-	-	-
TTM	TITAN MINERALS ORDINARY	Share	10%	-	-	-	-
TSL	TITANIUM SANDS LTD ORDINARY	Share	10%	-	-	-	-
TTT	TITOMIC LIMITED ORDINARY	Share	10%	-	-	-	-
TOU	TLOU ENERGY LTD ORDINARY	Share	10%	-	-	-	-
TNG	TNG LIMITED ORDINARY	Share	10%	-	-	-	-
TRT	TODD RIVER RES LTD ORDINARY	Share	10%	-	-	-	-
TI1	TOMBADOR IRON ORDINARY	Share	10%	-	-	-	-
TBA	TOMBOLA GOLD LTD ORDINARY	Share	10%	-	-	-	-
TSI	TOP SHELF ORDINARY	Share	10%	-	-	-	-
TNR	TORIAN RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
TOE	TORO ENERGY LIMITED ORDINARY	Share	10%	-	-	-	-
TRN	TORRENS MINING ORDINARY	Share	10%	-	-	-	-
TTB	TOTAL BRAIN LTD ORDINARY	Share	10%	-	-	-	-
TWR	TOWER LIMITED ORDINARY	Share	10%	-	-	-	-
TPC	TPC CONSOLIDATED LTD ORDINARY	Share	10%	-	-	-	-
TPG	TPG TELECOM LIMITED	Share	10%	-	-	-	-
TTI	TRAFFIC TECHNOLOGIES ORDINARY	Share	10%	-	-	-	-
TRJ	TRAJAN GROUP HOLDING ORDINARY	Share	10%	-	-	-	-
TKL	TRAKA RESOURCES ORDINARY	Share	10%	-	-	-	-
TTL	TRANSCENDENCE ORDINARY	Share	10%	-	-	-	-
TCLR	TRANSURBAN GROUP RTS01OCT21 FORUSDEF	Right	10%	-	-	-	-
TCL	TRANSURBAN GROUP TRIPLE STAPLED SEC.	Stapled Security	20%	-	-	-	-
TWE	TREASURY WINE ESTATE ORDINARY	Share	20%	-	-	-	-
TKM	TREK METALS LTD CHESS DEPOSITARY INT	Share	10%	-	-	-	-
TEG	TRIANGLE ENERGY LTD ORDINARY	Share	10%	-	-	-	-
TGF	TRIBECA GLOBAL ORDINARY	LIC - Australian	20%	*	*	*	*
TBR	TRIBUNE RES LTD ORDINARY	Share	20%	-	-	-	-
TMG	TRIGG MINING LTD. ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
TMGR	TRIGG MINING LTD.RENOUNCEABLE RIGHTS EXP 08OCT20	Right	10%	-	-	-	-
TON	TRITON MIN LTD ORDINARY	Share	10%	-	-	-	-
TRY	TROY RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
TUA	TUAS LIMITED	Share	10%	-	-	-	-
2BE	TUBI LIMITED ORDINARY	Share	10%	-	-	-	-
TUL	TULLA RESOURCES CDI 1:1	Share	10%	-	-	-	-
TGN	TUNGSTEN MIN NL ORDINARY	Share	10%	-	-	-	-
TSCR	TWENTY SEVEN CO. LIMITED RENOUNCEABLE RIGHTS APR-MAY2021	Right	10%	-	-	-	-
TSC	TWENTY SEVEN CO. LTD ORDINARY	Share	10%	-	-	-	-
TYX	TYRANNA RES LTD ORDINARY	Share	10%	-	-	-	-
TYR	TYRO PAYMENTS LTD	Share	20%	-	-	-	-
MONY	UBS IQ CASH ETF UNITS	ETF - Domestic	100%	0.18 %	*	*	*
UBP	UBS IQ MSCI ASIA ETF UNITS	ETF - Domestic	25%	0.45 %	*	*	*
UBA	UBS IQ MSCI AUST ETF ETF UNITS	ETF - Domestic	100%	0.17 %	*	*	*
UBE	UBS IQ MSCI EUROPE ETF UNITS	ETF - Domestic	25%	0.40 %	*	*	*
UBJ	UBS IQ MSCI JAPAN ETF UNITS	ETF - Domestic	25%	0.40 %	*	*	*
UBU	UBS IQ MSCI USA ETF UNITS	ETF - Domestic	25%	0.20 %	*	*	*
DIV	UBS IQ MSTAR AUS DIV ETF UNITS	ETF - Domestic	25%	0.30 %	0.01 %	*	*
ETF	UBS IQ MSTAR AUS ETF UNITS	ETF - Domestic	50%	0.30 %	0.01 %	*	*
UBW	UBS IQ WORLD EX AUS ETF UNITS	ETF - Domestic	50%	0.35 %	*	*	*
UCW	UCW LTD ORDINARY	Share	10%	-	-	-	-
URW	UNIBAILRODAWESTFIELD CDI 20:1	Share	20%	-	-	-	-
UNS	UNILIFE CORPORATION CDI 6:1	Share	10%	-	-	-	-
UMG	UNITED MALT GROUP LIMITED	Share	10%	-	-	-	-
UOS	UNITED OVERSEAS AUST ORDINARY	Share	20%	-	-	-	-
UWL	UNITI GROUP LTD ORDINARY	Share	20%	-	-	-	-
UBI	UNIVERSAL BIOSENSORS CDI US PROHIBITED	Share	10%	-	-	-	-
UNI	UNIVERSAL STORE ORDINARY	Share	20%	-	-	-	-
UBN	URBANISE.COM LTD ORDINARY	Share	10%	-	-	-	-
URFHC	US MASTERS RES FUND BND 7.75% 12-21 3M T	Bond	10%	-	-	-	-
URF	US MASTERS RES FUND ORDINARY UNITS	Listed Property Trust	10%	-	-	-	-
UCM	USCOM LIMITED ORDINARY	Share	10%	-	-	-	-
UUV	UUV AQUABOTIX LTD ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
VACF	VAN AUS CORP FI ETF ETF UNITS	ETF - Domestic	50%	0.26 %	0.13 %	*	*
VR8	VANADIUM RESOURCES ORDINARY	Share	10%	-	-	-	-
PLUS	VANECK AU CORP BOND ETF UNITS	ETF - Domestic	50%	0.32 %	0.05 %	*	*
FLOT	VANECK AU FLOAT RATE ETF UNITS	ETF - Domestic	25%	0.22 %	*	*	*
SUBD	VANECK AU SUBD BOND ETF UNITS	ETF - Domestic	10%	0.29 %	0.01 %	*	*
MVB	VANECK BANKS ETF UNITS	ETF - Domestic	25%	0.28 %	0.05 %	*	*
CETF	VANECK CHINA A50 ETF UNITS	ETF - Domestic	10%	0.60 %	0.83 %	*	*
CNEW	VANECK CHINA NEW ETF UNITS	ETF - Domestic	20%	0.95 %	0.14 %	*	*
GOAT	VANECK DEV XAU MOAT ETF UNITS	ETF - Domestic	25%	*	*	*	*
EBND	VANECK EM INCOME OPP TMF UNITS	ETF - Domestic	10%	0.95 %	0.85 %	*	*
EMKT	VANECK EMERGING MKT ETF UNITS	ETF - Domestic	20%	0.69 %	0.18 %	*	*
MVW	VANECK EQUAL WEIGHT ETF UNITS	ETF - Domestic	50%	0.35 %	0.03 %	*	*
GRNV	VANECK ESG AUSTRALIA ETF UNITS	ETF - Domestic	20%	0.35 %	0.04 %	*	*
ESGI	VANECK ESG INTERNATL ETF UNITS	ETF - Domestic	50%	0.55 %	0.04 %	*	*
HLTH	VANECK GLBL HLTH LDR ETF UNITS	ETF - Domestic	25%	*	*	*	*
GDX	VANECK GOLD MINERS ETF UNITS	ETF - Domestic	10%	0.53 %	0.01 %	*	*
IFRA	VANECK INFRASTRUCT ETF UNITS	ETF - Domestic	20%	0.52 %	0.14 %	*	*
REIT	VANECK INTERNAT REIT ETF UNITS	ETF - Domestic	20%	0.43 %	0.18 %	*	*
MVE	VANECK MIDCAP ETF UNITS	ETF - Domestic	25%	0.45 %	0.03 %	*	*
DVDY	VANECK MOAT INCOME ETF UNITS	ETF - Domestic	25%	*	*	*	*
MVA	VANECK PROPERTY ETF UNITS	ETF - Domestic	25%	0.35 %	0.05 %	*	*
QHAL	VANECK QUAL HEDGED ETF UNITS	ETF - Domestic	50%	0.43 %	0.11 %	*	*
MVR	VANECK RESOURCES ETF UNITS	ETF - Domestic	25%	0.35 %	0.04 %	*	*
MVS	VANECK SMALL CAP DVD ETF UNITS	ETF - Domestic	25%	0.49 %	0.04 %	*	*
MOAT	VANECK US WIDE MOAT ETF UNITS	ETF - Domestic	10%	0.49 %	0.03 %	*	*
ESPO	VANECK VIDEO GAMING ETF UNITS	ETF - Domestic	15%	*	*	*	*
QUAL	VANECK WLD XAU QUAL ETF UNITS	ETF - Domestic	50%	0.40 %	0.04 %	*	*
VETH	VANETHICCONAUSTSHETF ETF UNITS	ETF - Domestic	50%	0.16 %	*	*	*
VAN	VANGO MINING LTD ORDINARY	Share	10%	-	-	-	-
VGMF	VANGUARDMULTIFACTOR TMF UNITS	ETF - Domestic	50%	*	*	*	*
VAR	VARISCAN MINES LTD ORDINARY	Share	10%	-	-	-	-
VIF	VD INT (HEDGED) ETF ETF UNITS	ETF - Domestic	50%	0.20 %	0.06 %	*	*
VCF	VD INT CRD SEC ETF ETF UNITS	ETF - Domestic	25%	0.30 %	0.14 %	*	*
VMG	VDM GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
VR1	VECTION TECHNOLOGIES ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
VEE	VEEMLTD ORDINARY	Share	10%	-	-	-	-
VMS	VENTURE MINERALS ORDINARY	Share	10%	-	-	-	-
VMC	VENUS METALS COR LTD ORDINARY	Share	10%	-	-	-	-
VBC	VERBREC LIMITED ORDINARY	Share	10%	-	-	-	-
VRS	VERIS LTD ORDINARY	Share	10%	-	-	-	-
VAE	VGD FTSE ASIA EX JPN ETF UNITS	ETF - Domestic	20%	0.40 %	0.03 %	*	*
VEQ	VGD FTSE EUR SHARES ETF UNITS	ETF - Domestic	20%	0.35 %	*	*	*
VG8	VGI PARTNERS ASIAN ORDINARY	LIC - Australian	20%	*	*	*	*
VG1	VGI PARTNERS GLOBAL ORDINARY	LIC - International	20%	*	*	*	*
VGI	VGI PARTNERS LIMITED ORDINARY	Share	20%	-	-	-	-
VCX	VICINITY CENTRES ORD/UNIT STAPLED SEC	Stapled Security	20%	-	-	-	-
VIC	VICTORY MINES LTD ORDINARY	Share	10%	-	-	-	-
VOL	VICTORY OFFICES LTD ORDINARY	Share	10%	-	-	-	-
VLWHA	VILLA WORLD LTD. S BND 3M 04-22	Bond	20%	-	-	-	-
VMY	VIMY RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
VEN	VINTAGE ENERGY ORDINARY	Share	10%	-	-	-	-
VUK	VIRGIN MONEY UK PLC CDI 1:1FOREXEMPT LSE	Share	20%	-	-	-	-
VRT	VIRTUS HEALTH LTD ORDINARY	Share	20%	-	-	-	-
VTI	VISION TECH, INC CDI 1:1 USPROHIBITED	Share	10%	-	-	-	-
VGL	VISTA GROUP INT LTD ORD FOR. EXEMPT NZX	Share	10%	-	-	-	-
VTG	VITA GROUP LIMITED	Share	10%	-	-	-	-
VML	VITAL METALS LIMITED ORDINARY	Share	10%	-	-	-	-
VEA	VIVA ENERGY GROUP ORDINARY	Share	20%	-	-	-	-
VVA	VIVA LEISURE LIMITED ORDINARY	Share	20%	-	-	-	-
VMT	VMOTO LIMITED ORDINARY	Share	10%	-	-	-	-
VEU	VNGD ALL-WORLD EX-US CDI 1:1	ETF - International	50%	0.11 %	*	*	*
VAF	VNGD AUS FI ETF UNITS	ETF - Domestic	50%	0.20 %	0.05 %	*	*
VGB	VNGD AUS GOV BOND ETF UNITS	ETF - Domestic	50%	0.20 %	0.07 %	*	*
VHY	VNGD AUS HIGH YIELD ETF UNITS	ETF - Domestic	50%	0.25 %	0.02 %	*	*
VLC	VNGD AUS LARGE ETF UNITS	ETF - Domestic	50%	0.20 %	0.07 %	*	*
VAP	VNGD AUS PROP SEC ETF UNITS	ETF - Domestic	25%	0.23 %	0.02 %	*	*
VAS	VNGD AUS SHARES ETF UNITS	ETF - Domestic	100%	0.10 %	0.01 %	*	*
VSO	VNGD AUS SMALL ETF UNITS	ETF - Domestic	25%	0.30 %	0.14 %	*	*
VDBA	VNGD DIV BALANCE ETF UNITS	ETF - Domestic	100%	0.27 %	0.11 %	*	*
VDCO	VNGD DIV CONSERV ETF UNITS	ETF - Domestic	100%	0.27 %	0.10 %	*	*

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
VDGR	VNGD DIV GROWTH ETF UNITS	ETF - Domestic	100%	0.27 %	0.08 %	*	*
VDHG	VNGD DIV HIGH GROWTH ETF UNITS	ETF - Domestic	100%	0.27 %	0.02 %	*	*
VGE	VNGD EMERGING MKTS ETF UNITS	ETF - Domestic	25%	0.48 %	0.02 %	*	*
VEFI	VNGD ETHI GLB BOND ETF UNITS	ETF - Domestic	25%	0.26 %	0.06 %	*	*
VESG	VNGD ETHI INTL SHS ETF UNITS	ETF - Domestic	25%	0.18 %	*	*	*
VBND	VNGD GLB AGG BOND ETF UNITS	ETF - Domestic	100%	0.20 %	0.10 %	*	*
VBLD	VNGD GLB INFRA ETF UNITS	ETF - Domestic	25%	0.47 %	*	*	*
VVLU	VNGD GLOBVLU ATV ETF TMF UNITS	ETF - Domestic	25%	0.28 %	0.15 %	*	*
VGS	VNGD INTL SHARES ETF UNITS	ETF - Domestic	50%	0.18 %	*	*	*
VGAD	VNGD INTL SHARES H ETF UNITS	ETF - Domestic	50%	0.21 %	0.04 %	*	*
VISM	VNGD INTL SMALL CAP ETF UNITS	ETF - Domestic	25%	0.33 %	*	*	*
VMIN	VNGD MIN VOL ATV ETF TMF UNITS	ETF - Domestic	20%	0.28 %	0.16 %	*	*
VTS	VNGD US TOTAL MARKET CDI 1:1	ETF - International	50%	0.01 %	*	*	*
VHT	VOLPARA HEALTH TECH ORDINARY	Share	20%	-	-	-	-
VPR	VOLT POWER GROUP ORDINARY	Share	10%	-	-	-	-
VRC	VOLT RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
VOR	VORTIV LTD ORDINARY	Share	10%	-	-	-	-
VRX	VRX SILICA LTD ORDINARY	Share	10%	-	-	-	-
VUL	VULCAN ENERGY ORDINARY	Share	20%	-	-	-	-
VSL	VULCAN STEEL ORDINARY	Share	10%	-	-	-	-
VYS	VYSARN LTD ORDINARY	Share	10%	-	-	-	-
WGN	WAGNERS HLD COMPANY ORDINARY	Share	20%	-	-	-	-
WGNR	WAGNERS HOLDING COMPANY LIMITED RENOUNCEABLE RIGHTS EXP 12NOV19	Right	10%	-	-	-	-
WKT	WALKABOUT RESOURCES ORDINARY	Share	10%	-	-	-	-
WAA	WAM ACTIVE LIMITED ORDINARY	LIC - Australian	10%	*	*	*	*
WMA	WAM ALTERNATIVE ORDINARY	LIC - Australian	20%	*	*	*	*
WAM	WAM CAPITAL LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
WGB	WAM GLOBAL LIMITED ORDINARY	LIC - International	20%	*	*	*	*
WLE	WAM LEADERS LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
WMI	WAM MICROCAP LIMITED ORDINARY	LIC - Australian	20%	*	*	*	*
WAX	WAM RESEARCH LTD ORDINARY	LIC - Australian	20%	*	*	*	*
WAR	WAM STRATEGIC VALUE ORDINARY	LIC - Australian	10%	*	*	*	*
WGO	WARREGO ENERGY LTD ORDINARY	Share	20%	-	-	-	-
WPR	WAYPOINT REIT FPO/UNITS STAPLED	Stapled Security	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
WQG	WCM GLOBAL GROWTH ORDINARY	Share	10%	-	-	-	-
WLS	WCM GLOBAL LG ST LTD ORDINARY	LIC - Australian	20%	*	*	*	*
WCMQ	WCMQUALITYGLOBALGROW TMF UNITS	ETF - Domestic	25%	*	*	*	*
WCG	WEBCENTRAL LTD ORDINARY	Share	10%	-	-	-	-
WEB	WEBJET LIMITED ORDINARY	Share	20%	-	-	-	-
WBT	WEEBIT NANO LTD ORDINARY	Share	10%	-	-	-	-
WLD	WELLARD LIMITED ORDINARY	Share	10%	-	-	-	-
WFL	WELLFULLY LIMITED ORDINARY	Share	10%	-	-	-	-
WNB	WELLNESS AND BEAUTY ORDINARY	Share	10%	-	-	-	-
WNX	WELLNEX LIFE LTD ORDINARY	Share	10%	-	-	-	-
WES	WESFARMERS LIMITED ORDINARY	Share	20%	-	-	-	-
WAF	WEST AFRICAN RES LTD ORDINARY	Share	20%	-	-	-	-
WWI	WEST WITS MINING LTD ORDINARY	Share	10%	-	-	-	-
WSR	WESTAR RESOURCES ORDINARY	Share	10%	-	-	-	-
WSA	WESTERN AREAS LTD ORDINARY	Share	20%	-	-	-	-
WGX	WESTGOLD RESOURCES. ORDINARY	Share	20%	-	-	-	-
WIC	WESTOZ INV LTD ORDINARY	LIC - Australian	20%	*	*	*	*
WBCPG	WESTPAC BANKING CORP CN 3M PER Q RD T-21	Hybrid Security (Payable Date)	20%	-	-	-	-
WBCPH	WESTPAC BANKING CORP CN 3M PER Q RD T-25	Hybrid Security (Payable Date)	20%	-	-	-	-
WBCPI	WESTPAC BANKING CORP CN 3M PER Q RD T-26	Hybrid Security (Payable Date)	20%	-	-	-	-
WBCPJ	WESTPAC BANKING CORP CN 3M PER Q RD T-27	Hybrid Security (Payable Date)	20%	-	-	-	-
WBCPK	WESTPAC BANKING CORP CN 3M PER Q RD T-29	Hybrid Security (Payable Date)	20%	-	-	-	-
WBCPE	WESTPAC BANKING CORP CONV PERPTL NOTE II	Hybrid Security (Payable Date)	20%	-	-	-	-
WBC	WESTPAC BANKING CORP ORDINARY	Share	20%	-	-	-	-
WSI	WESTSTAR INDUSTRIAL ORDINARY	Share	10%	-	-	-	-
WSP	WHISPIR LIMITED ORDINARY	Share	20%	-	-	-	-
WECRA	WHITE ENERGY COMPANY LIMITED RENOUNCEABLE RIGHTS EXP 06APR20	Right	10%	-	-	-	-
WEC	WHITE ENERGY COMPANY ORDINARY	Share	10%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
YTMD01	XTB EQT DO1 4.50% MAR-22	ETF - Domestic	10%	*	*	*	*
YTMDX1	XTB EQT DX1 4.75% NOV-25	ETF - Domestic	20%	*	*	*	*
YTMDX2	XTB EQT DX2 4.25% MAY-27	ETF - Domestic	20%	*	*	*	*
YTMF10	XTB EQT F10 FLT JUN-20	ETF - Domestic	20%	*	*	*	*
YTMF11	XTB EQT F11 FLT JUL-20	ETF - Domestic	20%	*	*	*	*
YTMF13	XTB EQT F13 ANZ MAR-22	ETF - Domestic	20%	*	*	*	*
YTMF14	XTB EQT F14 BOQ NOV-21	ETF - Domestic	10%	*	*	*	*
YTMF15	XTB EQT F15 NAB FEB-23	ETF - Domestic	20%	*	*	*	*
YTMF16	XTB EQT F16 WBC OCT-22	ETF - Domestic	20%	*	*	*	*
YTMF17	XTB EQT F17 AMP MAY-21	ETF - Domestic	10%	*	*	*	*
YTMGP1	XTB EQT GP1 3.66% AUG-26	ETF - Domestic	10%	*	*	*	*
YTMLL1	XTB EQT LL1 6.00% MAY-20	ETF - Domestic	20%	*	*	*	*
YTMMG2	XTB EQT MG2 3.50% SEP-23	ETF - Domestic	10%	*	*	*	*
YTMGR	XTB EQT MGR 5.75% SEP-20	ETF - Domestic	20%	*	*	*	*
YTMNA1	XTB EQT NA1 4.00% DEC-21	ETF - Domestic	20%	*	*	*	*
YTMQF2	XTB EQT QF2 7.50% JUN-21	ETF - Domestic	20%	*	*	*	*
YTMQF3	XTB EQT QF3 7.75% MAY-22	ETF - Domestic	20%	*	*	*	*
YTMMSG1	XTB EQT SG1 8.25% NOV-20	ETF - Domestic	20%	*	*	*	*
YTMMSG2	XTB EQT SG2 4.50% NOV-22	ETF - Domestic	20%	*	*	*	*
YTMTCCL	XTB EQT TCL 4.90% DEC-21	ETF - Domestic	20%	*	*	*	*
YTMTL1	XTB EQT TL1 4.00% SEP-22	ETF - Domestic	20%	*	*	*	*
YTMTL2	XTB EQT TL2 4.00% APR-27	ETF - Domestic	20%	*	*	*	*
YTMTLS	XTB EQT TLS 7.75% JUL-20	ETF - Domestic	20%	*	*	*	*
YTMVC1	XTB EQT VC1 4.00% APR-27	ETF - Domestic	20%	*	*	*	*
YTMVCX	XTB EQT VCX 3.50% APR-24	ETF - Domestic	20%	*	*	*	*
YTMWB1	XTB EQT WB1 3.50% JUL-20	ETF - Domestic	20%	*	*	*	*
XTE	XTEK LIMITED. ORDINARY	Share	10%	-	-	-	-
YAL	YANCOAL AUST LTD ORDINARY	Share	20%	-	-	-	-
YRL	YANDAL RESOURCES ORDINARY	Share	10%	-	-	-	-
YBR	YELLOW BRICK ROAD ORDINARY	Share	10%	-	-	-	-
YOJ	YOJEE LIMITED ORDINARY	Share	10%	-	-	-	-
YFZ	YOUFOODZ ORDINARY	Share	10%	-	-	-	-
YOW	YOWIE GROUP ORDINARY	Share	10%	-	-	-	-
YPB	YPB GROUP LTD ORDINARY	Share	10%	-	-	-	-
ZEL	Z ENERGY LTD. ORDINARY	Share	20%	-	-	-	-

Australian Listed Securities

Security Code	Security Name	Security Type	Single Security Limit [±]	Management Cost	Transactional & Operational Cost	Buy Spread	Sell Spread
ZBT	ZEBIT INC. CDI1:1 USPROHEXCLQIB	Share	10%	-	-	-	-
ZLD	ZELIRA THERAPEUTICS ORDINARY	Share	10%	-	-	-	-
ZNC	ZENITH MINERALS LTD ORDINARY	Share	10%	-	-	-	-
ZER	ZETA RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
ZEU	ZEUS RESOURCES LTD ORDINARY	Share	10%	-	-	-	-
ZGL	ZICOM GROUP LIMITED ORDINARY	Share	10%	-	-	-	-
ZMM	ZIMI LTD ORDINARY	Share	10%	-	-	-	-
ZIM	ZIMPLATS HOLDING LTD ORDINARY	Share	20%	-	-	-	-
Z1P	ZIP CO LTD. ORDINARY	Share	20%	-	-	-	-
ZNO	ZOONO GROUP LTD ORDINARY	Share	20%	-	-	-	-

* this means that the fee or cost was not available as at the date of producing the Investment Booklet. You should refer to underlying product disclosure statement for the investment option for full details about fees and costs.

- this means that the fee or cost is not applicable for this investment.

[±] Aggregated holding limits apply. Please refer to 'Investment Holding Limits' in this Booklet for the aggregated holding limits that apply to Australian Listed Securities.

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
1U1.ETR	1&1 Drillisch AG	XETRA	Share	10%
MMM.NYS	3M Co	New York Stock Exchange	Share	20%
JOBS.NAS	51job Inc	NASDAQ Global Select	Share	10%
ABBN.SWX	ABB Ltd	SIX Swiss Exchange Europe	Share	20%
ABT.NYS	Abbott Laboratories	New York Stock Exchange	Share	20%
ABBV.NYS	AbbVie Inc	New York Stock Exchange	Share	20%
ABMD.NAS	ABIOMED Inc	NASDAQ Global Select	Share	10%
ACAD.NAS	ACADIA Pharmaceuticals Inc	NASDAQ Global Select	Share	10%
ACN.NYS	Accenture PLC	New York Stock Exchange	Share	20%
AC.PAR	Accor SA	Euronext Paris	Share	20%
ATVI.NAS	Activision Blizzard Inc	NASDAQ Global Select	Share	20%
ADEN.SWX	Adecco Group AG	SIX Swiss Exchange Europe	Share	20%
ADS.ETR	adidas AG	XETRA	Share	20%
ADBE.NAS	Adobe Systems Inc	NASDAQ Global Select	Share	20%
AEIS.NAS	Advanced Energy Industries Inc	NASDAQ Global Select	Share	10%
AMD.NAS	Advanced Micro Devices Inc	NASDAQ Global Select	Share	10%
ADYEN.AMS	Adyen NV	Euronext Amsterdam	Share	10%
AJRD.NYS	Aerojet Rocketdyne Holdings Inc	New York Stock Exchange	Share	10%
AMG.NYS	Affiliated Managers Group Inc	New York Stock Exchange	Share	20%
AFRM.NAS	Affirm Holdings Inc	NASDAQ Global Select	Share	10%
API.NAS	Agora Inc	NASDAQ Global Select	Share	10%
1299.HKE	AIA Group Ltd	Hong Kong Stock Exchange	Share	20%
APD.NYS	Air Products and Chemicals Inc	New York Stock Exchange	Share	20%
ABNB.NAS	Airbnb Inc	NASDAQ Global Select	Share	10%
AIR.PAR	Airbus SE	Euronext Paris	Share	20%
AKAM.NAS	Akamai Technologies Inc	NASDAQ Global Select	Share	20%
ALRM.NAS	Alarm.com Holdings Inc	NASDAQ Global Select	Share	10%
ALB.NYS	Albemarle Corp	New York Stock Exchange	Share	20%
ALC.SWX	Alcon Inc	SIX Swiss Exchange Europe	Share	10%
9988.HKE	Alibaba Group Holding Ltd	Hong Kong Stock Exchange	Share	10%
BABA.NYS	Alibaba Group Holding Ltd	New York Stock Exchange	Share	10%
ALGN.NAS	Align Technology Inc	NASDAQ Global Select	Share	10%
ALLE.NYS	Allegion PLC	New York Stock Exchange	Share	10%
ALV.ETR	Allianz SE	XETRA	Share	20%
ALSN.NYS	Allison Transmission Holdings Inc	New York Stock Exchange	Share	10%
ALLY.NYS	Ally Financial Inc	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
GOOGL.NAS	Alphabet Inc	NASDAQ Global Select	Share	20%
GOOG.NAS	Alphabet Inc Class C	NASDAQ Global Select	Share	20%
ALO.PAR	Alstom SA	Euronext Paris	Share	20%
AYX.NYS	Alteryx Inc	New York Stock Exchange	Share	10%
MO.NYS	Altria Group Inc	New York Stock Exchange	Share	20%
AMS.MAD	Amadeus IT Group SA	Madrid Stock Exchange	Share	10%
AMZN.NAS	Amazon.com Inc	NASDAQ Global Select	Share	20%
AMC.NYS	AMC Entertainment Holdings Inc	New York Stock Exchange	Share	10%
AMED.NAS	Amedisys Inc	NASDAQ Global Select	Share	10%
AEO.NYS	American Eagle Outfitters Inc	New York Stock Exchange	Share	10%
AXP.NYS	American Express Co	New York Stock Exchange	Share	20%
AWK.NYS	American Water Works Co Inc	New York Stock Exchange	Share	20%
AMP.NYS	Ameriprise Financial Inc	New York Stock Exchange	Share	20%
AME.NYS	AMETEK INC	New York Stock Exchange	Share	10%
AMGN.NAS	Amgen Inc	NASDAQ Global Select	Share	20%
APH.NYS	Amphenol Corp	New York Stock Exchange	Share	20%
ANGI.NAS	ANGI Homeservices Inc	NASDAQ Global Select	Share	10%
AAL.LSE	Anglo American PLC	London Stock Exchange	Share	20%
ABI.BRU	Anheuser-Busch InBev SA/NV	Euronext Brussels	Share	5%
ANSS.NAS	ANSYS Inc	NASDAQ Global Select	Share	20%
ANTM.NYS	Anthem Inc	New York Stock Exchange	Share	20%
AOS.NYS	AO Smith Corp	New York Stock Exchange	Share	20%
AON.NYS	Aon PLC	New York Stock Exchange	Share	20%
APO.NYS	Apollo Global Management Inc	New York Stock Exchange	Share	10%
APPH.NAS	AppHarvest Inc	NASDAQ Global Select	Share	10%
AAPL.NAS	Apple Inc	NASDAQ Global Select	Share	20%
AMAT.NAS	Applied Materials Inc	NASDAQ Global Select	Share	10%
APTV.NYS	Aptiv PLC	New York Stock Exchange	Share	20%
ADM.NYS	Archer-Daniels-Midland Co	New York Stock Exchange	Share	20%
FUV.NAS	Arcimoto Inc	NASDAQ Global Select	Share	10%
ARNC.NYS	Arconic Corp	New York Stock Exchange	Share	10%
ANET.NYS	Arista Networks Inc	New York Stock Exchange	Share	10%
ASCL.LSE	Ascential PLC	London Stock Exchange	Share	10%
AHT.LSE	Ashtead Group PLC	London Stock Exchange	Share	20%
ASML.AMS	ASML Holding NV	Euronext Amsterdam	Share	20%
AZPN.NAS	Aspen Technology Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
AIZ.NYS	Assurant Inc	New York Stock Exchange	Share	10%
AML.LSE	Aston Martin Lagonda Global Ho	London Stock Exchange	Share	10%
AZN.LSE	AstraZeneca PLC	London Stock Exchange	Share	20%
T.NYS	AT&T Inc	New York Stock Exchange	Share	20%
TEAM.NAS	Atlassian Corp PLC	NASDAQ Global Select	Share	10%
ACB.TSX	Aurora Cannabis Inc	Toronto Stock Exchange	Share	10%
ADSK.NAS	Autodesk Inc	NASDAQ Global Select	Share	20%
AGL.MTA	Autogrill SpA	Borsa Italiana	Share	5%
ATHM.NYS	Autohome Inc	New York Stock Exchange	Share	10%
ALV.NYS	Autoliv Inc	New York Stock Exchange	Share	20%
ADP.NAS	Automatic Data Processing Inc	NASDAQ Global Select	Share	20%
AVTR.NYS	Avantor Inc	New York Stock Exchange	Share	10%
AV.LSE	Aviva PLC	London Stock Exchange	Share	20%
AXGN.NAS	AxoGen Inc	NASDAQ Global Select	Share	10%
AZEK.NYS	AZEK Co Inc/The	New York Stock Exchange	Share	10%
AZM.MTA	Azimut Holding SpA	Borsa Italiana	Share	10%
BA.LSE	BAE Systems PLC	London Stock Exchange	Share	20%
BIDU.NAS	Baidu Inc	NASDAQ Global Select	Share	10%
BCSF.NYS	Bain Capital Specialty Finance Inc	New York Stock Exchange	Share	10%
BLDP.NAS	Ballard Power Systems Inc	NASDAQ Global Select	Share	10%
SAN.MAD	Banco Santander SA	Madrid Stock Exchange	Share	5%
BAND.NAS	Bandwidth Inc	NASDAQ Global Select	Share	10%
BAC.NYS	BANK OF AMERICA CORP	New York Stock Exchange	Share	10%
BMO.TSX	Bank of Montreal	Toronto Stock Exchange	Share	20%
BK.NYS	Bank of New York Mellon Corp	New York Stock Exchange	Share	20%
BNS.TSX	Bank of Nova Scotia/The	Toronto Stock Exchange	Share	20%
BZUN.NAS	Baozun Inc	NASDAQ Global Select	Share	10%
BARC.LSE	Barclays PLC	London Stock Exchange	Share	20%
BCS.NYS	Barclays PLC	New York Stock Exchange	Share	10%
ABX.TSX	Barrick Gold Corp	Toronto Stock Exchange	Share	20%
GOLD.NYS	Barrick Gold Corp	New York Stock Exchange	Share	10%
BAX.NYS	Baxter International Inc	New York Stock Exchange	Share	10%
BAYN.ETR	Bayer AG	XETRA	Share	20%
BMW.ETR	Bayerische Motoren Werke AG	XETRA	Share	20%
BDX.NYS	Becton Dickinson and Co	New York Stock Exchange	Share	20%
BBBY.NAS	Bed Bath & Beyond Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
0371.HKE	Beijing Enterprises Water Group Ltd	Hong Kong Stock Exchange	Share	10%
BNTC.NAS	Benitec Biopharma Inc	NASDAQ Global Select	Share	10%
BRK.B.NYS	Berkshire Hathaway Inc	New York Stock Exchange	Share	20%
BRK.A.NYS	Berkshire Hathaway Inc	New York Stock Exchange	Share	10%
BBY.NYS	Best Buy Co Inc	New York Stock Exchange	Share	20%
BYND.NAS	Beyond Meat Inc	NASDAQ Global Select	Share	10%
BHP.LSE	BHP Group PLC	London Stock Exchange	Share	20%
BIGC.NAS	BigCommerce Holdings Inc	NASDAQ Global Select	Share	10%
BILI.NAS	Bilibili Inc	NASDAQ Global Select	Share	10%
BILL.NYS	Bill.com Holdings Inc	New York Stock Exchange	Share	10%
BIIB.NAS	Biogen Inc	NASDAQ Global Select	Share	20%
BNGO.NAS	BioNano Genomics Inc	NASDAQ Global Select	Share	10%
BIO.NYS	Bio-Rad Laboratories Inc	New York Stock Exchange	Share	10%
BKI.NYS	Black Knight Inc	New York Stock Exchange	Share	10%
BB.NYS	BlackBerry Ltd	New York Stock Exchange	Share	10%
BLK.NYS	BlackRock Inc	New York Stock Exchange	Share	20%
BX.NYS	Blackstone Group Inc/The	New York Stock Exchange	Share	10%
BLNK.NAS	Blink Charging Company	NASDAQ Global Select	Share	10%
BA.NYS	Boeing Co/The	New York Stock Exchange	Share	20%
BOL.PAR	Bolloré SA	Euronext Paris	Share	20%
BKNG.NAS	Booking Holdings Inc	NASDAQ Global Select	Share	20%
BWA.NYS	BorgWarner Inc	New York Stock Exchange	Share	20%
SAM.NYS	Boston Beer Co Inc/The	New York Stock Exchange	Share	10%
BSX.NYS	Boston Scientific Corp	New York Stock Exchange	Share	20%
BOX.NYS	Box Inc	New York Stock Exchange	Share	10%
BYD.TSX	Boyd Group Services Inc	Toronto Stock Exchange	Share	10%
BP.LSE	BP PLC	London Stock Exchange	Share	20%
BFAM.NYS	Bright Horizons Family Solutions Inc	New York Stock Exchange	Share	10%
BMY.NYS	Bristol-Myers Squibb Co	New York Stock Exchange	Share	20%
AVGO.NAS	Broadcom Inc	NASDAQ Global Select	Share	10%
BAM.NYS	Brookfield Asset Management Inc	New York Stock Exchange	Share	20%
BRKS.NAS	Brooks Automation Inc	NASDAQ Global Select	Share	10%
BF.B.NYS	Brown-Forman Corp	New York Stock Exchange	Share	20%
BT-A.LSE	BT Group PLC	London Stock Exchange	Share	20%
BMBL.NAS	Bumble Inc	NASDAQ Global Select	Share	10%
BNZL.LSE	Bunzl PLC	London Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
BRBY.LSE	Burberry Group PLC	London Stock Exchange	Share	20%
BVI.PAR	Bureau Veritas SA	Euronext Paris	Share	20%
BURL.NYS	Burlington Stores Inc	New York Stock Exchange	Share	10%
BFLY.NYS	Butterfly Network INC.	New York Stock Exchange	Share	20%
AI.NYS	C3.ai Inc	New York Stock Exchange	Share	10%
CAE.TSX	CAE Inc	Toronto Stock Exchange	Share	10%
CWT.NYS	California Water Service Group	New York Stock Exchange	Share	10%
ELY.NYS	Callaway Golf Co	New York Stock Exchange	Share	10%
CCJ.NYS	Cameco Corp	New York Stock Exchange	Share	10%
CPB.NYS	Campbell Soup Co	New York Stock Exchange	Share	20%
CSIQ.NAS	Canadian Solar Inc	NASDAQ Global Select	Share	10%
7751.TKS	Canon Inc	Tokyo Stock Exchange	Share	20%
CGC.NAS	Canopy Growth Corp	NASDAQ Global Select	Share	10%
WEED.TSX	Canopy Growth Corp	Toronto Stock Exchange	Share	10%
CAP.PAR	Capgemini SA	Euronext Paris	Share	20%
CAH.NYS	Cardinal Health Inc	New York Stock Exchange	Share	20%
CDNA.NAS	CareDx Inc	NASDAQ Global Select	Share	10%
CCL.LSE	Carnival PLC	London Stock Exchange	Share	20%
CA.PAR	Carrefour SA	Euronext Paris	Share	20%
CARR.NYS	Carrier Global Corp	New York Stock Exchange	Share	10%
CAT.NYS	Caterpillar Inc	New York Stock Exchange	Share	20%
CBRE.NYS	CBRE Group Inc	New York Stock Exchange	Share	10%
CDW.NAS	CDW Corp/DE	NASDAQ Global Select	Share	20%
CLNX.MAD	Cellnex Telecom SA	Madrid Stock Exchange	Share	5%
CVE.TSX	CENOVUS ENERGY INC	Toronto Stock Exchange	Share	20%
CNC.NYS	Centene Corp	New York Stock Exchange	Share	20%
CRNC.NAS	Cerence Inc	NASDAQ Global Select	Share	10%
CERN.NAS	Cerner Corp	NASDAQ Global Select	Share	20%
CERT.NAS	Certara Inc	NASDAQ Global Select	Share	10%
CEVA.NAS	CEVA Inc	NASDAQ Global Select	Share	10%
CRL.NYS	Charles River Laboratories Int	New York Stock Exchange	Share	10%
CHTR.NAS	Charter Communications Inc	NASDAQ Global Select	Share	20%
CHGG.NYS	Chegg Inc	New York Stock Exchange	Share	10%
CVX.NYS	Chevron Corp	New York Stock Exchange	Share	20%
CHWY.NYS	Chewy Inc	New York Stock Exchange	Share	10%
PLCE.NAS	Children's Place Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
3311.HKE	China State Construction International Holdings Ltd	Hong Kong Stock Exchange	Share	10%
CMG.NYS	Chipotle Mexican Grill Inc	New York Stock Exchange	Share	20%
CHR.CSE	Chr Hansen Holding A/S	NASDAQ OMX Copenhagen	Share	10%
CDXC.NAS	ChromaDex Corp	NASDAQ Global Select	Share	10%
CB.NYS	Chubb Ltd	New York Stock Exchange	Share	20%
CHD.NYS	Church & Dwight Co Inc	New York Stock Exchange	Share	20%
CIX.TSX	CI Financial Corp	Toronto Stock Exchange	Share	20%
CFR.SWX	Cie Financiere Richemont SA	SIX Swiss Exchange Europe	Share	10%
CIEN.NYS	Ciena Corp	New York Stock Exchange	Share	10%
CI.NYS	Cigna Corp	New York Stock Exchange	Share	20%
CSCO.NAS	Cisco Systems Inc	NASDAQ Global Select	Share	20%
C.NYS	Citigroup Inc	New York Stock Exchange	Share	10%
1113.HKE	CK Asset Holdings Ltd	Hong Kong Stock Exchange	Share	20%
0001.HKE	CK HUTCHINSON HOLDINGS LTD	Hong Kong Stock Exchange	Share	20%
1038.HKE	CK Infrastructure Holdings Ltd	Hong Kong Stock Exchange	Share	20%
CBG.LSE	Close Brothers Group PLC	London Stock Exchange	Share	10%
NET.NYS	CloudFlare Inc	New York Stock Exchange	Share	10%
CME.NAS	CME Group Inc	NASDAQ Global Select	Share	20%
CNHI.MTA	CNH Industrial NV	Borsa Italiana	Share	10%
CNX.NYS	CNX Resources Corp	New York Stock Exchange	Share	10%
KO.NYS	Coca-Cola Co/The	New York Stock Exchange	Share	20%
CDE.NYS	Coeur Mining Inc	New York Stock Exchange	Share	10%
CTSH.NAS	Cognizant Technology Solutions Corp	NASDAQ Global Select	Share	20%
COIN.NAS	Coinbase Global Inc	NASDAQ Global Select	Share	10%
CL.NYS	Colgate-Palmolive Co	New York Stock Exchange	Share	20%
COLO-B.CSE	Coloplast A/S	NASDAQ OMX Copenhagen	Share	10%
COLM.NAS	Columbia Sportswear Co	NASDAQ Global Select	Share	10%
CMCSA.NAS	Comcast Corp	NASDAQ Global Select	Share	20%
CBK.ETR	Commerzbank AG	XETRA	Share	20%
CVLT.NAS	CommVault Systems Inc	NASDAQ Global Select	Share	10%
CPG.LSE	Compass Group PLC	London Stock Exchange	Share	20%
COMP.NYS	Compass Inc	New York Stock Exchange	Share	10%
CAG.NYS	Conagra Brands Inc	New York Stock Exchange	Share	20%
CEIX.NYS	CONSOL Energy Inc	New York Stock Exchange	Share	10%
STZ.NYS	Constellation Brands Inc	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
CSU.TSX	Constellation Software Inc	Toronto Stock Exchange	Share	20%
CON.ETR	Continental AG	XETRA	Share	20%
COO.NYS	Cooper Cos Inc/The	New York Stock Exchange	Share	20%
CPRT.NAS	Copart Inc	NASDAQ Global Select	Share	10%
CLB.NYS	Core Laboratories NV	New York Stock Exchange	Share	10%
CTVA.NYS	Corteva Inc	New York Stock Exchange	Share	10%
CSGP.NAS	CoStar Group Inc	NASDAQ Global Select	Share	20%
COST.NAS	Costco Wholesale Corp	NASDAQ Global Select	Share	20%
COUP.NAS	Coupa Software Inc	NASDAQ Global Select	Share	10%
ACA.PAR	Credit Agricole SA	Euronext Paris	Share	20%
CSGN.SWX	Credit Suisse Group AG	SIX Swiss Exchange Europe	Share	20%
CRH.LSE	CRH PLC	London Stock Exchange	Share	10%
CRSP.NAS	CRISPR Therapeutics AG	NASDAQ Global Select	Share	10%
CRTO.NAS	Criteo SA	NASDAQ Global Select	Share	10%
CROX.NAS	Crocs Inc	NASDAQ Global Select	Share	10%
CRON.NAS	Cronos Group Inc	NASDAQ Global Select	Share	10%
CRWD.NAS	CrowdStrike Holdings Inc	NASDAQ Global Select	Share	10%
CSX.NAS	CSX Corp	NASDAQ Global Select	Share	20%
CMI.NYS	Cummins Inc	New York Stock Exchange	Share	20%
CW.NYS	Curtiss-Wright Corp	New York Stock Exchange	Share	10%
CVS.NYS	CVS Health Corp	New York Stock Exchange	Share	20%
4751.TKS	CyberAgent Inc	Tokyo Stock Exchange	Share	10%
DAI.ETR	Daimler AG	XETRA	Share	20%
DHR.NYS	Danaher Corp	New York Stock Exchange	Share	20%
BN.PAR	Danone SA	Euronext Paris	Share	20%
DQ.NYS	Daqo New Energy Corp	New York Stock Exchange	Share	10%
DSY.PAR	Dassault Systemes	Euronext Paris	Share	20%
DDOG.NAS	Datadog Inc	NASDAQ Global Select	Share	10%
D05.SGX	DBS Group Holdings Ltd	Singapore Exchange	Share	20%
DE.NYS	Deere & Co	New York Stock Exchange	Share	20%
DM.NYS	Desktop Metal Inc	New York Stock Exchange	Share	10%
DBK.ETR	Deutsche Bank AG	XETRA	Share	20%
DB.NYS	Deutsche Bank AG	New York Stock Exchange	Share	10%
DB1.ETR	Deutsche Boerse AG	XETRA	Share	20%
PBB.ETR	Deutsche Pfandbriefbank AG	XETRA	Share	10%
DVN.NYS	Devon Energy Corp	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
DXCM.NAS	DexCom Inc	NASDAQ Global Select	Share	10%
DGE.LSE	Diageo PLC	London Stock Exchange	Share	20%
APPS.NAS	Digital Turbine Inc	NASDAQ Global Select	Share	10%
DFS.NYS	Discover Financial Services	New York Stock Exchange	Share	20%
DISH.NAS	DISH Network Corp	NASDAQ Global Select	Share	20%
DOCU.NAS	DocuSign Inc	NASDAQ Global Select	Share	10%
DLB.NYS	Dolby Laboratories Inc	New York Stock Exchange	Share	10%
DG.NYS	Dollar General Corp	New York Stock Exchange	Share	20%
DLTR.NAS	Dollar Tree Inc	NASDAQ Global Select	Share	20%
DOL.TSX	Dollarama Inc	Toronto Stock Exchange	Share	20%
DOM.LSE	Domino's Pizza Group PLC	London Stock Exchange	Share	10%
DPZ.NYS	Domino's Pizza Inc	New York Stock Exchange	Share	20%
DHI.NYS	DR Horton Inc	New York Stock Exchange	Share	20%
DKNG.NAS	DraftKings Inc	NASDAQ Global Select	Share	10%
DBX.NAS	Dropbox Inc	NASDAQ Global Select	Share	10%
DSV.CSE	DSV A/S	NASDAQ OMX Copenhagen	Share	10%
DD.NYS	DuPont de Nemours Inc	New York Stock Exchange	Share	20%
DT.NYS	Dynatrace Inc	New York Stock Exchange	Share	10%
EBAY.NAS	eBay Inc	NASDAQ Global Select	Share	20%
SATS.NAS	EchoStar Corp	NASDAQ Global Select	Share	10%
ECL.NYS	Ecolab Inc	New York Stock Exchange	Share	20%
EDIT.NAS	Editas Medicine Inc	NASDAQ Global Select	Share	10%
EW.NYS	Edwards Lifesciences Corp	New York Stock Exchange	Share	20%
EHTH.NAS	eHealth Inc	NASDAQ Global Select	Share	10%
FGR.PAR	Eiffage SA	Euronext Paris	Share	20%
ESTC.NYS	Elastic NV	New York Stock Exchange	Share	10%
EA.NAS	Electronic Arts Inc	NASDAQ Global Select	Share	20%
LLY.NYS	Eli Lilly & Co	New York Stock Exchange	Share	20%
EBS.NYS	Emergent BioSolutions Inc	New York Stock Exchange	Share	10%
ENB.TSX	Enbridge Inc	Toronto Stock Exchange	Share	20%
EHC.NYS	Encompass Health Corp	New York Stock Exchange	Share	10%
EDR.NYS	Endeavor Group Holdings Inc	New York Stock Exchange	Share	10%
ENR.NYS	Energizer Holdings Inc	New York Stock Exchange	Share	10%
ENGI.PAR	Engie SA	Euronext Paris	Share	20%
ENPH.NAS	Enphase Energy Inc	NASDAQ Global Select	Share	10%
EFX.NYS	Equifax Inc	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
EQN.LSE	Equiniti Group PLC	London Stock Exchange	Share	10%
ESNT.NYS	Essent Group Ltd	New York Stock Exchange	Share	10%
EL.PAR	EssilorLuxottica	Euronext Paris	Share	20%
EL.NYS	Estee Lauder Cos Inc	New York Stock Exchange	Share	20%
ETSY.NAS	Etsy Inc	NASDAQ Global Select	Share	10%
ERF.PAR	Eurofins Scientific SE	Euronext Paris	Share	10%
EEFT.NAS	Euronet Worldwide Inc	NASDAQ Global Select	Share	10%
EVR.NYS	Evercore Inc	New York Stock Exchange	Share	10%
RE.NYS	Everest Re Group Ltd	New York Stock Exchange	Share	20%
ES.NYS	Eversource Energy	New York Stock Exchange	Share	20%
EXEL.NAS	Exelixis Inc	NASDAQ Global Select	Share	10%
EXC.NAS	Exelon Corp	NASDAQ Global Select	Share	10%
EXO.MTA	EXOR Holding NV	Borsa Italiana	Share	10%
EXPI.NAS	eXp World Holdings Inc	NASDAQ Global Select	Share	10%
EXPE.NAS	Expedia Group Inc	NASDAQ Global Select	Share	20%
EXP.N.LSE	Experian PLC	London Stock Exchange	Share	20%
XOM.NYS	Exxon Mobil Corp	New York Stock Exchange	Share	20%
FFIV.NAS	F5 Networks Inc	NASDAQ Global Select	Share	20%
FFH.TSX	Fairfax Financial Holdings Ltd	Toronto Stock Exchange	Share	20%
6954.TKS	FANUC Corp	Tokyo Stock Exchange	Share	20%
FTCH.NYS	Farfetch Ltd	New York Stock Exchange	Share	10%
9983.TKS	Fast Retailing Co Ltd	Tokyo Stock Exchange	Share	20%
FSLY.NYS	Fastly Inc	New York Stock Exchange	Share	10%
FATE.NAS	Fate Therapeutics Inc	NASDAQ Global Select	Share	10%
EO.PAR	Faurecia	Euronext Paris	Share	5%
FHI.NYS	Federated Investors Inc	New York Stock Exchange	Share	10%
FDX.NYS	FedEx Corp	New York Stock Exchange	Share	20%
RACE.NYS	Ferrari NV	New York Stock Exchange	Share	10%
RACE.MTA	Ferrari NV	Borsa Italiana	Share	10%
FER.D.MAD	FERROVIAL S.A.Rights	Madrid Stock Exchange	Right	10%
FER.MAD	Ferrovial SA	Madrid Stock Exchange	Share	10%
FEVR.LSE	Fevertree Drinks PLC	London Stock Exchange	Share	10%
FIS.NYS	Fidelity National Information Services Inc	New York Stock Exchange	Share	20%
FBK.MTA	FincoBank Banca Finco SpA	Borsa Italiana	Share	5%
FSR.NYS	Fisker Inc	New York Stock Exchange	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
FIVE.NAS	Five Below Inc	NASDAQ Global Select	Share	10%
FLEX.NAS	Flex Ltd	NASDAQ Global Select	Share	20%
FND.NYS	Floor & Decor Holdings Inc	New York Stock Exchange	Share	10%
FLOW.AMS	Flow Traders	Euronext Amsterdam	Share	10%
FHZN.SWX	Flughafen Zurich AG	SIX Swiss Exchange Europe	Share	10%
FLTR.LSE	Flutter Entertainment PLC	London Stock Exchange	Share	10%
FMC.NYS	FMC Corp	New York Stock Exchange	Share	20%
F.NYS	Ford Motor Co	New York Stock Exchange	Share	20%
FTNT.NAS	Fortinet Inc	NASDAQ Global Select	Share	20%
FTV.NYS	Fortive Corp	New York Stock Exchange	Share	20%
FOXA.NAS	Fox Corp	NASDAQ Global Select	Share	20%
FOX.NAS	Fox Corp B	NASDAQ Global Select	Share	20%
FOXF.NAS	Fox Factory Holding Corp	NASDAQ Global Select	Share	10%
FNV.TSX	Franco-Nevada Corp	Toronto Stock Exchange	Share	20%
FNV.NYS	Franco-Nevada Corp	New York Stock Exchange	Share	10%
FRA.ETR	Fraport AG Frankfurt Airport Services Worldwide	XETRA	Share	20%
FCX.NYS	Freeport-McMoRan Inc	New York Stock Exchange	Share	20%
FREQ.NAS	Frequency Therapeutics Inc	NASDAQ Global Select	Share	10%
FRPT.NAS	Freshpet Inc	NASDAQ Global Select	Share	10%
FLGT.NAS	Fulgent Genetics Inc	NASDAQ Global Select	Share	10%
FUTU.NAS	Futu Holdings Ltd	NASDAQ Global Select	Share	10%
0027.HKE	Galaxy Entertainment Group Ltd	Hong Kong Stock Exchange	Share	20%
GME.NYS	GameStop Corp	New York Stock Exchange	Share	10%
GPS.NYS	Gap Inc	New York Stock Exchange	Share	20%
GRMN.NAS	Garmin Ltd	NASDAQ Global Select	Share	10%
GTX.NAS	Garrett Motion Inc	NASDAQ Global Select	Share	10%
IT.NYS	Gartner Inc	New York Stock Exchange	Share	20%
G1A.ETR	GEA Group AG	XETRA	Share	20%
GNRC.NYS	Generac Holdings Inc	New York Stock Exchange	Share	10%
GD.NYS	General Dynamics Corp	New York Stock Exchange	Share	20%
GE.NYS	General Electric Co	New York Stock Exchange	Share	20%
GM.NYS	General Motors Co	New York Stock Exchange	Share	20%
GMAB.CSE	Genmab A/S	NASDAQ OMX Copenhagen	Share	10%
GNTX.NAS	Gentex Corp	NASDAQ Global Select	Share	10%
G13.SGX	Genting Singapore PLC	Singapore Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
GXI.ETR	Gerresheimer AG	XETRA	Share	10%
GSWNEO.TSX	GETSWIFT TECHS LTD NEO EXCHANGE DUMMY CODE	Toronto Stock Exchange	Share	20%
GILD.NAS	Gilead Sciences Inc	NASDAQ Global Select	Share	20%
GIVN.SWX	Givaudan SA	SIX Swiss Exchange Europe	Share	10%
GKOS.NYS	Glaukos Corp	New York Stock Exchange	Share	10%
GSK.NYS	GlaxoSmithKline PLC	New York Stock Exchange	Share	10%
GSK.LSE	GlaxoSmithKline PLC	London Stock Exchange	Share	20%
GLEN.LSE	Glencore PLC	London Stock Exchange	Share	20%
GPN.NYS	Global Payments Inc	New York Stock Exchange	Share	20%
GMED.NYS	Globus Medical Inc	New York Stock Exchange	Share	10%
GN.CSE	GN Store Nord A/S	NASDAQ OMX Copenhagen	Share	10%
GDDY.NYS	GoDaddy Inc	New York Stock Exchange	Share	10%
GS.NYS	Goldman Sachs Group Inc/The	New York Stock Exchange	Share	20%
GDRX.NAS	GoodRx Holdings Inc	NASDAQ Global Select	Share	10%
EAF.NYS	GrafTech International Ltd	New York Stock Exchange	Share	10%
GPR.TSX	Great Panther Mining Limited	Toronto Stock Exchange	Share	10%
GDOT.NYS	Green Dot Corp	New York Stock Exchange	Share	10%
GBX.NYS	Greenbrier Cos Inc/The	New York Stock Exchange	Share	10%
GH.NAS	Guardant Health Inc	NASDAQ Global Select	Share	10%
GWRE.NYS	Guidewire Software Inc	New York Stock Exchange	Share	10%
HRB.NYS	H&R Block Inc	New York Stock Exchange	Share	10%
HAE.NYS	Haemonetics Corp	New York Stock Exchange	Share	10%
HBI.NYS	Hanesbrands Inc	New York Stock Exchange	Share	20%
HOG.NYS	Harley-Davidson Inc	New York Stock Exchange	Share	20%
HIG.NYS	Hartford Financial Services Gr	New York Stock Exchange	Share	10%
HAS.NAS	Hasbro Inc	NASDAQ Global Select	Share	10%
HCA.NYS	HCA Healthcare Inc	New York Stock Exchange	Share	20%
HQY.NAS	HealthEquity Inc	NASDAQ Global Select	Share	10%
HL.NYS	Hecla Mining Co	New York Stock Exchange	Share	10%
HEI.NYS	HEICO Corp	New York Stock Exchange	Share	10%
HEI.ETR	HeidelbergCement AG	XETRA	Share	20%
HEIA.AMS	Heineken NV	Euronext Amsterdam	Share	20%
HFG.ETR	HelloFresh SE	XETRA	Share	10%
RMS.PAR	Hermes International	Euronext Paris	Share	20%
HSY.NYS	Hershey Foods Corp	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
HPE.NYS	Hewlett Packard Enterprise Co	New York Stock Exchange	Share	20%
HXL.NYS	Hexcel Corp	New York Stock Exchange	Share	10%
HLT.NYS	Hilton Worldwide Holdings Inc	New York Stock Exchange	Share	20%
HOLN.PAR	HOLCIM LIMITED	Euronext Paris	Share	20%
HOLX.NAS	Hologic Inc	NASDAQ Global Select	Share	20%
HD.NYS	Home Depot Inc/The	New York Stock Exchange	Share	20%
HON.NAS	Honeywell International Inc	NASDAQ Global Select	Share	20%
0388.HKE	Hong Kong Exchanges & Clearing	Hong Kong Stock Exchange	Share	20%
HWM.NYS	Howmet Aerospace Inc	New York Stock Exchange	Share	10%
HPQ.NYS	HP Inc	New York Stock Exchange	Share	20%
HSBA.LSE	HSBC Holdings PLC	London Stock Exchange	Share	20%
HUBS.NYS	HubSpot Inc	New York Stock Exchange	Share	10%
HUM.NYS	Humana Inc	New York Stock Exchange	Share	20%
HII.NYS	Huntington Ingalls Industries Inc	New York Stock Exchange	Share	10%
H.NYS	Hyatt Hotels Corp	New York Stock Exchange	Share	10%
ICLR.NAS	ICON PLC	NASDAQ Global Select	Share	5%
IEX.NYS	IDEX Corp	New York Stock Exchange	Share	10%
IDXX.NAS	IDEXX Laboratories Inc	NASDAQ Global Select	Share	20%
INFO.NYS	IHS Markit Ltd	New York Stock Exchange	Share	10%
IIVI.NAS	II-VI Inc	NASDAQ Global Select	Share	10%
ITW.NYS	Illinois Tool Works Inc	New York Stock Exchange	Share	10%
ILMN.NAS	Illumina Inc	NASDAQ Global Select	Share	20%
NARI.NAS	Inari Medical Inc	NASDAQ Global Select	Share	10%
ITX.MAD	Industria de Diseno Textil SA	Madrid Stock Exchange	Share	10%
IFX.ETR	Infineon Technologies AG	XETRA	Share	20%
INGA.AMS	ING Groep NV	Euronext Amsterdam	Share	20%
ING.NYS	ING Groep NV	New York Stock Exchange	Share	10%
NGVT.NYS	Ingevity Corp	New York Stock Exchange	Share	10%
INGR.NYS	Ingredion Inc	New York Stock Exchange	Share	20%
INGN.NAS	Inogen Inc	NASDAQ Global Select	Share	10%
INO.NAS	INOVIO PHARMACEUTICALS INC	NASDAQ Global Select	Share	10%
INTC.NAS	Intel Corp	NASDAQ Global Select	Share	20%
NTLA.NAS	Intellia Therapeutics Inc	NASDAQ Global Select	Share	10%
ICE.NYS	Intercontinental Exchange Inc	New York Stock Exchange	Share	20%
IHG.LSE	InterContinental Hotels Group PLC	London Stock Exchange	Share	20%
IBM.NYS	International Business Machines Corp	New York Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
IFF.NYS	International Flavors & Fragrances Inc	New York Stock Exchange	Share	20%
ITRK.LSE	Intertek Group PLC	London Stock Exchange	Share	20%
INTU.NAS	Intuit Inc	NASDAQ Global Select	Share	20%
ISRG.NAS	Intuitive Surgical Inc	NASDAQ Global Select	Share	20%
PPA.ARC	Invesco Aerospace & Defense ETF	New York Stock Exchange ARCA	Share	10%
PBW.ARC	Invesco WilderHill Clean Energy ETF	New York Stock Exchange ARCA	Share	10%
NVTA.NYS	Invitae Corp	New York Stock Exchange	Share	10%
IQV.NYS	IQVIA Holdings Inc	New York Stock Exchange	Share	20%
IRBT.NAS	iRobot Corp	NASDAQ Global Select	Share	10%
SOXX.NAS	iShares PHLX Semiconductor ETF	NASDAQ Global Select	Share	10%
8001.TKS	ITOCHU Corp	Tokyo Stock Exchange	Share	20%
ITV.LSE	ITV PLC	London Stock Exchange	Share	20%
JKHY.NAS	Jack Henry & Associates Inc	NASDAQ Global Select	Share	10%
JAMF.NAS	Jamf Holding Corp	NASDAQ Global Select	Share	10%
JD.LSE	JD Sports Fashion PLC	London Stock Exchange	Share	10%
JD.NAS	JD.com Inc	NASDAQ Global Select	Share	10%
JNJ.NYS	Johnson & Johnson	New York Stock Exchange	Share	20%
JCI.NYS	Johnson Controls International PLC	New York Stock Exchange	Share	20%
JLL.NYS	Jones Lang LaSalle Inc	New York Stock Exchange	Share	20%
JPM.NYS	JPMorgan Chase & Co	New York Stock Exchange	Share	20%
BAER.SWX	Julius Baer Group Ltd	SIX Swiss Exchange Europe	Share	20%
JNPR.NYS	Juniper Networks Inc	New York Stock Exchange	Share	20%
JET.LSE	JUST EAT TAKEAWAY.COM N.V.	London Stock Exchange	Share	20%
TKWY.AMS	Just Eat Takeaway.com NV	Euronext Amsterdam	Share	10%
KSU.NYS	Kansas City Southern	New York Stock Exchange	Share	20%
KPTI.NAS	Karyopharm Therapeutics Inc	NASDAQ Global Select	Share	10%
KBC.BRU	KBC Groep NV	Euronext Brussels	Share	10%
BEKE.NYS	KE Holdings Inc	New York Stock Exchange	Share	10%
KER.PAR	Kering SA	Euronext Paris	Share	20%
6861.TKS	Keyence Corp	Tokyo Stock Exchange	Share	20%
KEYS.NYS	Keysight Technologies Inc	New York Stock Exchange	Share	10%
KWS.LSE	Keywords Studios PLC	London Stock Exchange	Share	10%
KC.NAS	Kingsoft Cloud Holdings Ltd	NASDAQ Global Select	Share	10%
KGX.ETR	KION Group AG	XETRA	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
2503.TKS	Kirin Holdings Co Ltd	Tokyo Stock Exchange	Share	20%
KL.NYS	Kirkland Lake Gold Ltd	New York Stock Exchange	Share	10%
KLAC.NAS	KLA Corp	NASDAQ Global Select	Share	20%
9766.TKS	Konami Holdings Corp	Tokyo Stock Exchange	Share	20%
KNEBV.HEL	Kone OYJ	NASDAQ OMX Helsinki	Share	10%
DSM.AMS	Koninklijke DSM NV	Euronext Amsterdam	Share	20%
PHIA.AMS	KONINKLIJKE PHILIPS NV (ROYAL PHILIPS)	Euronext Amsterdam	Share	20%
4922.TKS	Kose Corp	Tokyo Stock Exchange	Share	20%
KHC.NAS	Kraft Heinz Co/The	NASDAQ Global Select	Share	20%
KTOS.NAS	Kratos Defense & Security Solutions Inc	NASDAQ Global Select	Share	10%
1024.HKE	Kuaishou Technology	Hong Kong Stock Exchange	Share	10%
KLIC.NAS	Kulicke & Soffa Industries Inc	NASDAQ Global Select	Share	10%
LHX.NYS	L3Harris Technologies Inc	New York Stock Exchange	Share	20%
LH.NYS	Laboratory Corp of America Holdings	New York Stock Exchange	Share	20%
LRCX.NAS	Lam Research Corp	NASDAQ Global Select	Share	20%
LAUR.NAS	Laureate Education Inc	NASDAQ Global Select	Share	10%
LAZ.NYS	Lazard Ltd	New York Stock Exchange	Share	10%
LEA.NYS	Lear Corp	New York Stock Exchange	Share	20%
LGEN.LSE	Legal & General Group PLC	London Stock Exchange	Share	20%
LMND.NYS	Lemonade Inc	New York Stock Exchange	Share	10%
LEN.NYS	Lennar Corp	New York Stock Exchange	Share	20%
0992.HKE	Lenovo Group Ltd	Hong Kong Stock Exchange	Share	10%
LHCG.NAS	LHC Group Inc	NASDAQ Global Select	Share	10%
LILA.NAS	Liberty Latin America Ltd	NASDAQ Global Select	Share	10%
LLNW.NAS	Limelight Networks Inc	NASDAQ Global Select	Share	10%
LIN.ETR	Linde PLC	XETRA	Share	20%
LIN.NYS	Linde PLC	New York Stock Exchange	Share	20%
LAC.NYS	Lithium Americas Corp	New York Stock Exchange	Share	10%
LAC.TSX	Lithium Americas Corp	Toronto Stock Exchange	Share	10%
LIVN.NAS	LivaNova PLC	NASDAQ Global Select	Share	10%
LYV.NYS	Live Nation Entertainment Inc	New York Stock Exchange	Share	10%
LLOY.LSE	Lloyds Banking Group PLC	London Stock Exchange	Share	20%
LMT.NYS	Lockheed Martin Corp	New York Stock Exchange	Share	20%
LOGI.NAS	Logitech International SA	NASDAQ Global Select	Share	10%
LSEG.LSE	London Stock Exchange Group PLC	London Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
LONN.SWX	Lonza Group AG	SIX Swiss Exchange Europe	Share	10%
OR.PAR	L'Oreal SA	Euronext Paris	Share	20%
LOW.NYS	Lowe's Cos Inc	New York Stock Exchange	Share	20%
LULU.NAS	Lululemon Athletica Inc	NASDAQ Global Select	Share	20%
LUMN.NYS	LUMEN TECHNOLOGIES INC	New York Stock Exchange	Share	20%
LITE.NAS	Lumentum Holdings Inc	NASDAQ Global Select	Share	10%
MC.PAR	LVMH Moet Hennessy Louis Vuitton SE	Euronext Paris	Share	20%
LYB.NYS	LyondellBasell Industries NV	New York Stock Exchange	Share	10%
MIC.NYS	Macquarie Infrastructure Corp	New York Stock Exchange	Share	10%
MARA.NAS	Marathon Digital Holdings Inc	NASDAQ Global Select	Share	10%
MKL.NYS	Markel Corp	New York Stock Exchange	Share	20%
MKTX.NAS	MarketAxess Holdings Inc	NASDAQ Global Select	Share	10%
MAR.NAS	Marriott International Inc	NASDAQ Global Select	Share	20%
MMC.NYS	Marsh & McLennan Cos Inc	New York Stock Exchange	Share	20%
MAS.NYS	Masco Corp	New York Stock Exchange	Share	20%
MASI.NAS	Masimo Corp	NASDAQ Global Select	Share	10%
MA.NYS	MasterCard Inc	New York Stock Exchange	Share	20%
MTCH.NAS	Match Group Inc	NASDAQ Global Select	Share	10%
MCD.NYS	McDonald's Corp	New York Stock Exchange	Share	20%
MCK.NYS	Mckesson Corp	New York Stock Exchange	Share	20%
MED.NYS	Medifast Inc	New York Stock Exchange	Share	10%
MDT.NYS	Medtronic PLC	New York Stock Exchange	Share	20%
3690.HKE	Meituan	Hong Kong Stock Exchange	Share	10%
0200.HKE	Melco International Development Ltd	Hong Kong Stock Exchange	Share	10%
MELI.NAS	MercadoLibre Inc	NASDAQ Global Select	Share	20%
MRK.NYS	Merck & Co Inc	New York Stock Exchange	Share	20%
MRCY.NAS	Mercury Systems Inc	NASDAQ Global Select	Share	10%
FB.NAS	Meta Platforms Inc.	NASDAQ Global Select	Share	20%
MET.NYS	MetLife Inc	New York Stock Exchange	Share	20%
B4B.ETR	METRO AG	XETRA	Share	10%
2282.HKE	MGM China Holdings Ltd	Hong Kong Stock Exchange	Share	10%
MGM.NYS	MGM Resorts International	New York Stock Exchange	Share	20%
MCHP.NAS	Microchip Technology Inc	NASDAQ Global Select	Share	20%
MU.NAS	Micron Technology Inc	NASDAQ Global Select	Share	20%
MSFT.NAS	Microsoft Corp	NASDAQ Global Select	Share	20%
MSTR.NAS	MicroStrategy Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
MIME.NAS	Mimecast Ltd	NASDAQ Global Select	Share	10%
6479.TKS	MINEBEA MITSUMI Inc	Tokyo Stock Exchange	Share	20%
8306.TKS	Mitsubishi UFJ Financial Group Inc	Tokyo Stock Exchange	Share	10%
MRNA.NAS	Moderna Inc	NASDAQ Global Select	Share	10%
MOH.NYS	Molina Healthcare Inc	New York Stock Exchange	Share	10%
MOMO.NAS	Momo Inc	NASDAQ Global Select	Share	10%
MONC.MTA	Moncler SpA	Borsa Italiana	Share	5%
MDLZ.NAS	Mondelez International Inc	NASDAQ Global Select	Share	20%
MPWR.NAS	Monolithic Power Systems Inc	NASDAQ Global Select	Share	10%
MNST.NAS	Monster Beverage Corp	NASDAQ Global Select	Share	20%
MCO.NYS	Moody's Corp	New York Stock Exchange	Share	20%
MS.NYS	Morgan Stanley	New York Stock Exchange	Share	20%
MOWI.OSL	MOWI ASA	Oslo Bors	Share	10%
MSA.NYS	MSA Safety Inc	New York Stock Exchange	Share	10%
MSCI.NYS	MSCI Inc	New York Stock Exchange	Share	10%
0066.HKE	MTR Corp Ltd	Hong Kong Stock Exchange	Share	20%
MTX.ETR	MTU Aero Engines AG	XETRA	Share	10%
6981.TKS	Murata Manufacturing Co Ltd	Tokyo Stock Exchange	Share	20%
NDAQ.NAS	Nasdaq Inc	NASDAQ Global Select	Share	20%
FIZZ.NAS	National Beverage Corp	NASDAQ Global Select	Share	10%
NG.LSE	National Grid PLC	London Stock Exchange	Share	20%
NOV.NYS	National Oilwell Varco Inc	New York Stock Exchange	Share	20%
NESN.SWX	Nestle SA	SIX Swiss Exchange Europe	Share	20%
NTAP.NAS	NetApp Inc	NASDAQ Global Select	Share	20%
NTES.NAS	NetEase Inc	NASDAQ Global Select	Share	10%
NFLX.NAS	Netflix Inc	NASDAQ Global Select	Share	20%
EDU.NYS	New Oriental Education & Technology Group Inc	New York Stock Exchange	Share	10%
NEWR.NYS	New Relic Inc	New York Stock Exchange	Share	10%
NWL.NAS	Newell Brands Inc	NASDAQ Global Select	Share	20%
NEM.NYS	Newmont Mining Corp	New York Stock Exchange	Share	20%
3659.TKS	Nexon Co Ltd	Tokyo Stock Exchange	Share	20%
NEE.NYS	NextEra Energy Inc	New York Stock Exchange	Share	20%
6594.TKS	Nidec Corp	Tokyo Stock Exchange	Share	20%
NKE.NYS	NIKE Inc	New York Stock Exchange	Share	20%
NKLA.NAS	Nikola Corp	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
7974.TKS	Nintendo Co Ltd	Tokyo Stock Exchange	Share	20%
NIO.NYS	NIO Inc	New York Stock Exchange	Share	10%
NIU.NAS	Niu Technologies	NASDAQ Global Select	Share	10%
NOK.NYS	Nokia Oyj	New York Stock Exchange	Share	10%
NOKIA.HEL	NOKIA OYJ	NASDAQ OMX Helsinki	Share	10%
NDSN.NAS	Nordson Corp	NASDAQ Global Select	Share	10%
NOC.NYS	Northrop Grumman Corp	New York Stock Exchange	Share	20%
NLOK.NAS	NortonLifeLock Inc	NASDAQ Global Select	Share	20%
NCLH.NYS	Norwegian Cruise Line Holdings Ltd	New York Stock Exchange	Share	10%
NOVN.SWX	Novartis AG	SIX Swiss Exchange Europe	Share	20%
NVAX.NAS	Novavax Inc	NASDAQ Global Select	Share	10%
NVO.NYS	Novo Nordisk A/S	New York Stock Exchange	Share	20%
NOVO-B.CSE	Novo Nordisk A/S	NASDAQ OMX Copenhagen	Share	10%
NZYM-B.CSE	Novozymes A/S	NASDAQ OMX Copenhagen	Share	10%
DNOW.NYS	NOW Inc	New York Stock Exchange	Share	10%
NUE.NYS	Nucor Corp	New York Stock Exchange	Share	20%
NVDA.NAS	NVIDIA Corp	NASDAQ Global Select	Share	20%
NXPI.NAS	NXP Semiconductors NV	NASDAQ Global Select	Share	20%
OCDO.LSE	Ocado Group PLC	London Stock Exchange	Share	10%
OXY.NYS	Occidental Petroleum Corp	New York Stock Exchange	Share	20%
OCI.AMS	OCI NV	Euronext Amsterdam	Share	20%
OKTA.NAS	Okta Inc	NASDAQ Global Select	Share	10%
ODFL.NAS	Old Dominion Freight Line Inc	NASDAQ Global Select	Share	10%
OLLI.NAS	Ollie's Bargain Outlet Holding	NASDAQ Global Select	Share	10%
OMC.NYS	Omnicom Group Inc	New York Stock Exchange	Share	20%
OPEN.NAS	OPENDOOR TECHNOLOGIES INC	NASDAQ Global Select	Share	20%
ORCL.NYS	Oracle Corp	New York Stock Exchange	Share	20%
ORP.PAR	Orpea	Euronext Paris	Share	10%
ORSTED.CSE	Orsted AS	NASDAQ OMX Copenhagen	Share	5%
OTIS.NYS	Otis Worldwide Corp	New York Stock Exchange	Share	10%
OM.NAS	Outset Medical Inc	NASDAQ Global Select	Share	10%
SRVR.ARC	Pacer Funds Trust-Pacer Benchmark Data & Infrastructure Real Estate Sctr Etf	New York Stock Exchange ARCA	Share	10%
PACB.NAS	Pacific Biosciences of Califor	NASDAQ Global Select	Share	10%
PAGS.NYS	Pagseguro Digital Ltd	New York Stock Exchange	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
PLTR.NYS	Palantir Technologies Inc	New York Stock Exchange	Share	10%
PANW.NYS	Palo Alto Networks Inc	New York Stock Exchange	Share	20%
PGHN.SWX	Partners Group Holding AG	SIX Swiss Exchange Europe	Share	20%
PAYX.NAS	Paychex Inc	NASDAQ Global Select	Share	20%
PAYC.NYS	Paycom Software Inc	New York Stock Exchange	Share	10%
PYPL.NAS	PayPal Holdings Inc	NASDAQ Global Select	Share	20%
BTU.NYS	Peabody Energy Corp	New York Stock Exchange	Share	10%
PEGA.NAS	Pegasystems Inc	NASDAQ Global Select	Share	10%
PTON.NAS	Peloton Interactive Inc	NASDAQ Global Select	Share	10%
PRE.LSE	PENSANA PLC	London Stock Exchange	Share	20%
PEP.NAS	PepsiCo Inc	NASDAQ Global Select	Share	20%
PKI.NYS	PerkinElmer Inc	New York Stock Exchange	Share	10%
RI.PAR	Pernod Ricard SA	Euronext Paris	Share	20%
PETS.NAS	PetMed Express Inc	NASDAQ Global Select	Share	10%
PFE.NYS	Pfizer Inc	New York Stock Exchange	Share	20%
PM.NYS	Philip Morris International Inc	New York Stock Exchange	Share	20%
PSX.NYS	Phillips 66	New York Stock Exchange	Share	20%
PDD.NAS	Pinduoduo Inc	NASDAQ Global Select	Share	10%
1833.HKE	Ping An Healthcare and Technol	Hong Kong Stock Exchange	Share	10%
PINS.NYS	Pinterest Inc	New York Stock Exchange	Share	10%
PLNT.NYS	Planet Fitness Inc	New York Stock Exchange	Share	10%
PTEC.LSE	Playtech Plc	London Stock Exchange	Share	10%
PLUG.NAS	Plug Power Inc	NASDAQ Global Select	Share	10%
PAH3.ETR	Porsche Automobil Holding SE	XETRA	Share	10%
PG.NYS	Procter & Gamble Co/The	New York Stock Exchange	Share	20%
PGR.NYS	Progressive Corp/The	New York Stock Exchange	Share	20%
PRX.AMS	Prosus NV	Euronext Amsterdam	Share	10%
PRU.NYS	Prudential Financial Inc	New York Stock Exchange	Share	20%
PRU.LSE	Prudential PLC	London Stock Exchange	Share	20%
PTC.NAS	PTC Inc	NASDAQ Global Select	Share	10%
PUB.PAR	Publicis Groupe SA	Euronext Paris	Share	20%
PUM.ETR	Puma SE	XETRA	Share	10%
QGEN.NYS	QIAGEN NV	New York Stock Exchange	Share	10%
QRVO.NAS	Qorvo Inc	NASDAQ Global Select	Share	20%
QCOM.NAS	QUALCOMM Inc	NASDAQ Global Select	Share	20%
QLYS.NAS	Qualys Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
QTRX.NAS	Quanterix Corp	NASDAQ Global Select	Share	10%
RTX.NYS	Raytheon Technologies Corp	New York Stock Exchange	Share	10%
REAL.NAS	RealReal Inc/The	NASDAQ Global Select	Share	10%
RKT.LSE	Reckitt Benckiser Group plc	London Stock Exchange	Share	20%
6098.TKS	Recruit Holdings Co Ltd	Tokyo Stock Exchange	Share	20%
RDFN.NAS	Redfin Corp	NASDAQ Global Select	Share	10%
REGN.NAS	Regeneron Pharmaceuticals Inc	NASDAQ Global Select	Share	20%
RLF.SWX	Relief Therapeutics Holding AG	SIX Swiss Exchange Europe	Share	10%
REN.AMS	RELX PLC	Euronext Amsterdam	Share	20%
RGEN.NAS	Repligen Corp	NASDAQ Global Select	Share	10%
REZI.NYS	Resideo Technologies Inc	New York Stock Exchange	Share	10%
RMD.NYS	ResMed Inc	New York Stock Exchange	Share	20%
RMV.LSE	Rightmove PLC	London Stock Exchange	Share	10%
RIO.LSE	Rio Tinto PLC	London Stock Exchange	Share	20%
RIOT.NAS	Riot Blockchain Inc	NASDAQ Global Select	Share	10%
RBLX.NYS	ROBLOX Corp	New York Stock Exchange	Share	10%
ROG.SWX	Roche Holding AG	SIX Swiss Exchange Europe	Share	20%
RKT.NYS	Rocket Cos Inc	New York Stock Exchange	Share	10%
ROK.NYS	Rockwell Automation Inc	New York Stock Exchange	Share	20%
ROKU.NAS	Roku Inc	NASDAQ Global Select	Share	10%
ROL.NYS	Rollins Inc	New York Stock Exchange	Share	10%
ROP.NYS	Roper Technologies Inc	New York Stock Exchange	Share	20%
ROST.NAS	Ross Stores Inc	NASDAQ Global Select	Share	20%
RY.TSX	Royal Bank of Canada	Toronto Stock Exchange	Share	20%
RDSA.LSE	Royal Dutch Shell PLC	London Stock Exchange	Share	20%
RDSB.LSE	Royal Dutch Shell PLC	London Stock Exchange	Share	20%
RGLD.NAS	Royal Gold Inc	NASDAQ Global Select	Share	10%
SPGI.NYS	S&P Global Inc	New York Stock Exchange	Share	20%
SABR.NAS	Sabre Corp	NASDAQ Global Select	Share	20%
SAF.PAR	Safran SA	Euronext Paris	Share	20%
SGE.LSE	Sage Group PLC/The	London Stock Exchange	Share	20%
CRM.NYS	salesforce.com Inc	New York Stock Exchange	Share	20%
SBH.NYS	Sally Beauty Holdings Inc	New York Stock Exchange	Share	10%
SAFM.NAS	Sanderson Farms Inc	NASDAQ Global Select	Share	10%
1928.HKE	Sands China Ltd	Hong Kong Stock Exchange	Share	10%
SAN.PAR	Sanofi	Euronext Paris	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
4536.TKS	Santen Pharmaceutical Co Ltd	Tokyo Stock Exchange	Share	20%
SAP.ETR	SAP SE	XETRA	Share	20%
SCHP.SWX	Schindler Holding AG	SIX Swiss Exchange Europe	Share	10%
SLB.NYS	Schlumberger Ltd	New York Stock Exchange	Share	20%
SU.PAR	Schneider Electric SE	Euronext Paris	Share	20%
SDGR.NAS	Schrodinger Inc/United States	NASDAQ Global Select	Share	10%
SGMS.NAS	Scientific Games Corp	NASDAQ Global Select	Share	10%
SMG.NYS	Scotts Miracle-Gro Co/The	New York Stock Exchange	Share	10%
SE.NYS	Sea Ltd	New York Stock Exchange	Share	20%
STX.NAS	Seagate Technology PLC	NASDAQ Global Select	Share	20%
SGEN.NAS	Seagen Inc	NASDAQ Global Select	Share	10%
SEE.NYS	Sealed Air Corp	New York Stock Exchange	Share	20%
NOW.NYS	ServiceNow Inc	New York Stock Exchange	Share	10%
SGSN.SWX	SGS SA	SIX Swiss Exchange Europe	Share	20%
SHEN.NAS	Shenandoah Telecommunications Co	NASDAQ Global Select	Share	10%
2313.HKE	Shenzhen International Group H	Hong Kong Stock Exchange	Share	10%
4911.TKS	Shiseido Co Ltd	Tokyo Stock Exchange	Share	20%
SHOP.NYS	Shopify Inc	New York Stock Exchange	Share	20%
SIE.ETR	Siemens AG	XETRA	Share	20%
ENR.ETR	Siemens Energy AG	XETRA	Share	10%
SGRE.MAD	Siemens Gamesa Renewable Energy SA	Madrid Stock Exchange	Share	10%
SBNY.NAS	Signature Bank	NASDAQ Global Select	Share	20%
SIKA.SWX	Sika AG	SIX Swiss Exchange Europe	Share	20%
SLAB.NAS	Silicon Laboratories Inc	NASDAQ Global Select	Share	10%
SI.NYS	Silvergate Capital Corp	New York Stock Exchange	Share	10%
C6L.SGX	Singapore Airlines Ltd	Singapore Exchange	Share	20%
SITM.NAS	SiTime Corp	NASDAQ Global Select	Share	10%
SKLZ.NYS	Skillz Inc	New York Stock Exchange	Share	10%
SWKS.NAS	Skyworks Solutions Inc	NASDAQ Global Select	Share	20%
WORK.NYS	Slack Technologies Inc	New York Stock Exchange	Share	10%
SNAP.NYS	SNAP INC - A	New York Stock Exchange	Share	10%
SNA.NYS	Snap-on Inc	New York Stock Exchange	Share	10%
SNOW.NYS	Snowflake Inc	New York Stock Exchange	Share	10%
GLE.PAR	Societe Generale SA	Euronext Paris	Share	20%
SOFI.NAS	SoFi Technologies Inc	NASDAQ Global Select	Share	10%
9984.TKS	SOFTBANK GROUP CORP	Tokyo Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
SEDG.NAS	SolarEdge Technologies Inc	NASDAQ Global Select	Share	10%
6758.TKS	Sony Corp	Tokyo Stock Exchange	Share	20%
S32.LSE	South32 Ltd	London Stock Exchange	Share	10%
LUV.NYS	Southwest Airlines Co	New York Stock Exchange	Share	20%
SPR.NYS	Spirit AeroSystems Holdings Inc	New York Stock Exchange	Share	10%
SAVE.NYS	Spirit Airlines Inc	New York Stock Exchange	Share	10%
SPLK.NAS	Splunk Inc	NASDAQ Global Select	Share	20%
SPOT.NYS	Spotify Technology SA	New York Stock Exchange	Share	10%
SQ.NYS	Square Inc	New York Stock Exchange	Share	10%
SSNC.NAS	SS&C Technologies Holdings Inc	NASDAQ Global Select	Share	10%
STJ.LSE	St James's Place PLC	London Stock Exchange	Share	20%
STMP.NAS	Stamps.com Inc	NASDAQ Global Select	Share	10%
STAN.LSE	Standard Chartered PLC	London Stock Exchange	Share	10%
SBUX.NAS	Starbucks Corp	NASDAQ Global Select	Share	20%
3092.TKS	Start Today Co Ltd	Tokyo Stock Exchange	Share	20%
STLA.NYS	Stellantis NV	New York Stock Exchange	Share	10%
STLA.PAR	Stellantis NV	Euronext Paris	Share	10%
SRCL.NAS	Stericycle Inc	NASDAQ Global Select	Share	20%
STE.NYS	STERIS PLC	New York Stock Exchange	Share	10%
SFIX.NAS	Stitch Fix Inc	NASDAQ Global Select	Share	10%
STM.PAR	STMicroelectronics NV	Euronext Paris	Share	20%
STNE.NAS	StoneCo Ltd	NASDAQ Global Select	Share	10%
SYK.NYS	Stryker Corp	New York Stock Exchange	Share	20%
4005.TKS	Sumitomo Chemical Co Ltd	Tokyo Stock Exchange	Share	20%
8316.TKS	Sumitomo Mitsui Financial Group Inc	Tokyo Stock Exchange	Share	10%
8309.TKS	Sumitomo Mitsui Trust Holdings	Tokyo Stock Exchange	Share	20%
2382.HKE	Sunny Optical Technology Group	Hong Kong Stock Exchange	Share	10%
SIVB.NAS	SVB Financial Group	NASDAQ Global Select	Share	10%
SY1.ETR	Symrise AG	XETRA	Share	20%
SYF.NYS	Synchrony Financial	New York Stock Exchange	Share	20%
SNX.NYS	SYNNEX Corp	New York Stock Exchange	Share	10%
SNPS.NAS	Synopsys Inc	NASDAQ Global Select	Share	20%
SYF.NYS	Sysco Corp	New York Stock Exchange	Share	20%
4502.TKS	Takeda Pharmaceutical Co Ltd	Tokyo Stock Exchange	Share	10%
TTWO.NAS	Take-Two Interactive Software Inc	NASDAQ Global Select	Share	10%
TAL.NYS	TAL Education Group - ADR	New York Stock Exchange	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ¹⁴
TPR.NYS	Tapestry Inc	New York Stock Exchange	Share	10%
TGT.NYS	Target Corp	New York Stock Exchange	Share	20%
TEL.NYS	TE Connectivity Ltd	New York Stock Exchange	Share	20%
TMV.ETR	TeamViewer AG	XETRA	Share	10%
FTI.NYS	TechnipFMC PLC	New York Stock Exchange	Share	20%
0669.HKE	Techtron Industries Co Ltd	Hong Kong Stock Exchange	Share	20%
TDOC.NYS	Teladoc Health Inc	New York Stock Exchange	Share	10%
TFX.NYS	Teleflex Inc	New York Stock Exchange	Share	10%
TEF.MAD	Telefonica SA	Madrid Stock Exchange	Share	5%
TLS.NAS	Telos Corporation	NASDAQ Global Select	Share	10%
TEMN.SWX	Temenos AG	SIX Swiss Exchange Europe	Share	10%
0700.HKE	Tencent Holdings Ltd	Hong Kong Stock Exchange	Share	10%
TME.NYS	Tencent Music Entertainment Gr	New York Stock Exchange	Share	10%
TEN.NYS	Tenneco Inc	New York Stock Exchange	Share	10%
TDC.NYS	Teradata Corp	New York Stock Exchange	Share	10%
TER.NAS	Teradyne Inc	NASDAQ Global Select	Share	10%
TSCO.LSE	Tesco PLC	London Stock Exchange	Share	20%
TSLA.NAS	Tesla Inc	NASDAQ Global Select	Share	20%
TTEK.NAS	Tetra Tech Inc	NASDAQ Global Select	Share	5%
TPL.NYS	Texas Pacific Land Corp	New York Stock Exchange	Share	10%
HO.PAR	Thales SA	Euronext Paris	Share	20%
SCHW.NYS	The Charles Schwab Corp	New York Stock Exchange	Share	20%
SHW.NYS	The Sherwin-Williams Company	New York Stock Exchange	Share	20%
TMO.NYS	Thermo Fisher Scientific Inc	New York Stock Exchange	Share	20%
TRI.NYS	Thomson Reuters Corp	New York Stock Exchange	Share	10%
THO.NYS	Thor Industries Inc	New York Stock Exchange	Share	10%
TGA.LSE	Thungela Resources Ltd.	London Stock Exchange	Share	20%
TLRY.NAS	Tilray Inc	NASDAQ Global Select	Share	10%
TJX.NYS	TJX Companies Inc	New York Stock Exchange	Share	20%
TMUS.NAS	T-Mobile US Inc	NASDAQ Global Select	Share	20%
TTC.NYS	Toro Co/The	New York Stock Exchange	Share	10%
TD.TSX	Toronto-Dominion Bank/The	Toronto Stock Exchange	Share	20%
TTE.PAR	TOTAL SA	Euronext Paris	Share	20%
7203.TKS	Toyota Motor Corp	Tokyo Stock Exchange	Share	20%
TTD.NAS	Trade Desk Inc/The	NASDAQ Global Select	Share	10%
TW.NAS	Tradeweb Markets Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
TRU.NYS	TransUnion	New York Stock Exchange	Share	10%
TRV.NYS	Travelers Cos Inc/The	New York Stock Exchange	Share	20%
TREX.NYS	Trex Co Inc	New York Stock Exchange	Share	10%
TRMB.NAS	Trimble Inc	NASDAQ Global Select	Share	20%
TCOM.NAS	Trip.com Group Ltd	NASDAQ Global Select	Share	10%
TRIP.NAS	TripAdvisor Inc	NASDAQ Global Select	Share	20%
TUP.NYS	Tupperware Brands Corp	New York Stock Exchange	Share	10%
TWLO.NYS	Twilio Inc	New York Stock Exchange	Share	10%
TWST.NAS	Twist Bioscience Corp	NASDAQ Global Select	Share	10%
TWTR.NYS	Twitter Inc	New York Stock Exchange	Share	10%
TYL.NYS	Tyler Technologies Inc	New York Stock Exchange	Share	10%
TSN.NYS	Tyson Foods Inc	New York Stock Exchange	Share	20%
UBER.NYS	Uber Technologies Inc	New York Stock Exchange	Share	10%
UI.NYS	Ubiquiti Inc	New York Stock Exchange	Share	10%
UBI.PAR	Ubisoft Entertainment SA	Euronext Paris	Share	10%
UBSG.SWX	UBS Group AG	SIX Swiss Exchange Europe	Share	20%
ULTA.NAS	Ulta Salon Cosmetics & Fragrances	NASDAQ Global Select	Share	20%
UMI.BRU	Umicore SA	Euronext Brussels	Share	10%
UA.NYS	Under Armour Inc	New York Stock Exchange	Share	20%
8113.TKS	Unicharm Corp	Tokyo Stock Exchange	Share	20%
UCG.MTA	UniCredit SpA	Borsa Italiana	Share	10%
ULVR.LSE	Unilever PLC	London Stock Exchange	Share	20%
UNP.NYS	Union Pacific Corp	New York Stock Exchange	Share	20%
UN01.ETR	Uniper SE	XETRA	Share	10%
UAL.NAS	United Continental Holdings Inc	NASDAQ Global Select	Share	20%
UPS.NYS	United Parcel Service Inc	New York Stock Exchange	Share	20%
URI.NYS	United Rentals Inc	New York Stock Exchange	Share	20%
UNH.NYS	UnitedHealth Group Inc	New York Stock Exchange	Share	20%
U.NYS	Unity Software Inc	New York Stock Exchange	Share	10%
OLED.NAS	Universal Display Corp	NASDAQ Global Select	Share	10%
UPST.NAS	Upstart Holdings Inc	NASDAQ Global Select	Share	10%
USB.NYS	US Bancorp	New York Stock Exchange	Share	20%
JETS.ARC	US Global Jets ETF	New York Stock Exchange ARCA	Share	10%
MTN.NYS	Vail Resorts Inc	New York Stock Exchange	Share	10%
FR.PAR	Valeo SA	Euronext Paris	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
VLO.NYS	Valero Energy Corp	New York Stock Exchange	Share	20%
VAW.ARC	Vanguard Materials ETF	New York Stock Exchange ARCA	Share	10%
VEEV.NYS	Veeva Systems Inc	New York Stock Exchange	Share	10%
VIE.PAR	Veolia Environnement SA	Euronext Paris	Share	20%
VRNT.NAS	Verint Systems Inc	NASDAQ Global Select	Share	10%
VRSN.NAS	VeriSign Inc	NASDAQ Global Select	Share	20%
VRSK.NAS	Verisk Analytics Inc	NASDAQ Global Select	Share	20%
VZ.NYS	Verizon Communications Inc	New York Stock Exchange	Share	20%
VWS.CSE	Vestas Wind Systems A/S	NASDAQ OMX Copenhagen	Share	10%
VFC.NYS	VF Corp	New York Stock Exchange	Share	20%
VIAC.NAS	ViacomCBS Inc	NASDAQ Global Select	Share	10%
VTRS.NAS	Viatis Inc	NASDAQ Global Select	Share	10%
VIAV.NAS	Viavi Solutions Inc	NASDAQ Global Select	Share	10%
DG.PAR	VINCI SA	Euronext Paris	Share	10%
SPCE.NYS	Virgin Galactic Holdings Inc	New York Stock Exchange	Share	10%
VMUK.LSE	VIRGIN MONEY UK PLC	London Stock Exchange	Share	10%
V.NYS	Visa Inc. Class A	New York Stock Exchange	Share	20%
VIV.PAR	Vivendi SA	Euronext Paris	Share	20%
VMW.NYS	VMware Inc	New York Stock Exchange	Share	20%
VOD.LSE	Vodafone Group PLC	London Stock Exchange	Share	20%
VOW.ETR	Volkswagen AG	XETRA	Share	20%
VNA.ETR	Vonovia SE	XETRA	Share	10%
VMC.NYS	Vulcan Materials Co	New York Stock Exchange	Share	20%
WAB.NYS	Wabtec Corp	New York Stock Exchange	Share	20%
WBA.NAS	Walgreens Boots Alliance Inc	NASDAQ Global Select	Share	20%
WMT.NYS	Walmart Inc	New York Stock Exchange	Share	20%
DIS.NYS	Walt Disney Co/The	New York Stock Exchange	Share	20%
WMG.NAS	Warner Music Group Corp	NASDAQ Global Select	Share	10%
HCC.NYS	Warrior Met Coal Inc	New York Stock Exchange	Share	10%
WCN.TSX	Waste Connections Inc	Toronto Stock Exchange	Share	10%
WM.NYS	Waste Management Inc	New York Stock Exchange	Share	20%
WAT.NYS	Waters Corp	New York Stock Exchange	Share	20%
W.NYS	Wayfair Inc	New York Stock Exchange	Share	10%
WB.NAS	Weibo Corp	NASDAQ Global Select	Share	10%
WEIR.LSE	Weir Group PLC/The	London Stock Exchange	Share	20%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
WFC.NYS	Wells Fargo & Co	New York Stock Exchange	Share	20%
WST.NYS	West Pharmaceutical Services Inc	New York Stock Exchange	Share	10%
WDC.NAS	Western Digital Corp	NASDAQ Global Select	Share	20%
WU.NYS	Western Union Co/The	New York Stock Exchange	Share	20%
WEX.NYS	WEX Inc	New York Stock Exchange	Share	10%
0288.HKE	WH Group Ltd	Hong Kong Stock Exchange	Share	20%
SMWH.LSE	WH Smith PLC	London Stock Exchange	Share	10%
WPM.NYS	Wheaton Precious Metals Corp	New York Stock Exchange	Share	10%
WTB.LSE	Whitbread PLC	London Stock Exchange	Share	20%
WDH.CSE	William Demant Holding A/S	NASDAQ OMX Copenhagen	Share	10%
WMB.NYS	Williams Cos Inc/The	New York Stock Exchange	Share	20%
F34.SGX	Wilmar International Ltd	Singapore Exchange	Share	20%
WWD.NAS	Woodward Inc	NASDAQ Global Select	Share	10%
WDAY.NAS	Workday Inc	NASDAQ Global Select	Share	10%
WSP.TSX	WSP Global Inc	Toronto Stock Exchange	Share	10%
2269.HKE	Wuxi Biologics Cayman Inc	Hong Kong Stock Exchange	Share	10%
GWW.NYS	WW Grainger Inc	New York Stock Exchange	Share	20%
WYNN.NAS	Wynn Resorts Ltd	NASDAQ Global Select	Share	20%
1810.HKE	Xiaomi Corp	Hong Kong Stock Exchange	Share	10%
XLNX.NAS	Xilinx Inc	NASDAQ Global Select	Share	20%
XPEV.NYS	XPeng Inc	New York Stock Exchange	Share	10%
XPO.NYS	XPO Logistics Inc	New York Stock Exchange	Share	10%
XYL.NYS	Xylem Inc/NY	New York Stock Exchange	Share	20%
YRI.TSX	Yamana Gold Inc	Toronto Stock Exchange	Share	10%
9923.HKE	Yeahka Ltd	Hong Kong Stock Exchange	Share	10%
YETI.NYS	YETI Holdings Inc	New York Stock Exchange	Share	10%
YPSN.SWX	Ypsomed Holding AG	SIX Swiss Exchange Europe	Share	10%
YUMC.NYS	Yum China Holdings Inc	New York Stock Exchange	Share	20%
YUM.NYS	Yum! Brands Inc	New York Stock Exchange	Share	20%
ZBRA.NAS	Zebra Technologies Corp	NASDAQ Global Select	Share	10%
ZEN.NYS	Zendesk Inc	New York Stock Exchange	Share	10%
Z.NAS	Zillow Group Inc	NASDAQ Global Select	Share	20%
ZG.NAS	Zillow Group Inc - CL A	NASDAQ Global Select	Share	10%
ZBH.NYS	Zimmer Biomet Holdings Inc	New York Stock Exchange	Share	20%
ZTS.NYS	Zoetis Inc	New York Stock Exchange	Share	20%
ZM.NAS	Zoom Video Communications Inc	NASDAQ Global Select	Share	10%

International Listed Securities

Security Code	Security Name	Exchange	Security Type	Single Security Limit ^H
ZI.NAS	ZoomInfo Technologies Inc	NASDAQ Global Select	Share	10%
ZO1.ETR	zooplus AG	XETRA	Share	10%
ZS.NAS	Zscaler Inc	NASDAQ Global Select	Share	10%
ZURN.SWX	Zurich Insurance Group AG	SIX Swiss Exchange Europe	Share	20%
ZNGA.NAS	Zynga Inc	NASDAQ Global Select	Share	10%

^H Aggregated holding limits apply. Please refer to 'Investment Holding Limits' in this Booklet for the aggregated holding limits that apply to International Listed Securities.

Term Deposits

Code	Term Deposit Name
ANZ-365	Term Deposit 1 year (365 days) Australia and New Zealand Bank
QUD-365	Term Deposit 1 year (365 days) Qudos Bank
ANZ-90	Term Deposit 3 Month (90 day) Australia and New Zealand Bank
QUD-90	Term Deposit 3 months (90 days) Qudos Bank
ANZ-180	Term Deposit 6 Months (180 days) Australia and New Zealand Bank
QUD-180	Term Deposit 6 months (180 days) Qudos Bank