

Adviser Fees

Purpose of this form

Use this form to add or amend adviser fees payable to your adviser from your account.

Important notes

- For a reduction in ongoing fees only one signature is required. Both you and your adviser must sign for all other changes.
- Before any adviser fees can be deducted from your account, your adviser and ClearView are required by law to obtain your written consent (see page 3). A consent is also required when varying the amount of an adviser fee.
- Fields marked with an asterisk (*) must be completed in order for us to action your request.

Checklist

Action	Requirements
Add an ad-hoc adviser fee	<ol style="list-style-type: none"> 1. Complete sections A, B, C1 and D 2. Send through the client consent (either complete page 3 or send an alternative ASIC compliant form)
Change/add an ongoing adviser fee	<ol style="list-style-type: none"> 1. Complete sections A, B, C2 and D 2. Send through the client consent (either complete page 3 or send an alternative ASIC compliant form)

A. Member details

*WealthFoundations account number / (e.g. CSUP / 100000)

*Given name(s)

*Surname

Contact phone number

*Date of birth

*B. Financial Adviser details

*Adviser Code

*Adviser name

*Dealer Group

*C. Fee details

When entering fees in this section please show the amount inclusive of GST.

1. Request an ad-hoc adviser service fee \$.

Note: This will not affect any existing ongoing adviser fee arrangement that is in place. Capped at \$5,500 p.a.

2. Request a new ongoing adviser service fee

Annual amount to apply to your total account balance (deducted monthly)

. % p.a OR \$. p.a.

If you have chosen a \$ amount would you like this indexed to CPI each year? Yes No

Ongoing fee arrangement:

Start date

Anniversary date

Note: This will **replace** any existing ongoing adviser service fees of the same type. Ongoing adviser fees are capped at 1.1% p.a. or \$5,500 p.a.

D. Member declarations and signature

By signing this form, I:

- direct that the amount(s) specified in section C be paid by ClearView to the dealer group who will then pay the agreed fees to my financial adviser;
- declare that, if signing under a power of attorney, I verify that, at the time of signing, I have not received notice of revocation of that power; and
- confirm that the amount specified above is to be deducted from my account and paid to my financial adviser.

Signature of member

Date

Note: If signing under a Power of attorney, please provide an original certified copy of the power of attorney

E. Financial adviser declarations and signature

By signing this form, I:

- declare that I am authorised to sign on behalf of my dealer group and acknowledge and agree that the amounts specified in section C may cease or vary if requested by the member;
- confirm that the fees relate entirely to advice and the services that I will provide to the member in relation to their account specified above; and
- declare that I am authorised to provide advice in relation to the member's account under an Australian Financial Services Licence.

Signature of Financial Adviser

Date

Sending your form

Please send the form to us via your email address on file or mail.

Mailing address:

ClearView Wealth
Reply Paid 4232
Sydney NSW 2001

Email address:

client.wealth@clearview.com.au

If you have any questions or need help please call our Service Centre on **132 977**.

Consent to deduct advice fees (optional)

Purpose of this form

Before any adviser fees can be deducted from your account, your adviser and ClearView are required by law to obtain your written consent. You can provide your consent either by signing this ClearView form or by signing a form of consent provided by your adviser. Either way we must receive a signed consent form which meets the ASIC requirements in order to deduct your adviser's fees from your account.

Important notes

- All sections must be completed for ClearView to accept this consent form.
- You can withdraw or vary your consent at any time by contacting us or your financial adviser.

Adviser phone

()

Adviser email

Date consent is provided to member

A. How long does your consent last? (Select appropriate)

- Ongoing fees: The consent will cease to have effect up to 150 days after the below anniversary date.

Anniversary date (ongoing fees only):

- Ad-hoc fees: Your consent expires once the amount is deducted from your super account.

Your financial adviser will have to ask for your consent again if advice fees are to be deducted from your super account once the consent expires. Consents for ongoing fees are also required to be updated annually.

Additional information (optional)

B. What amount of adviser fees will you pay from your account?

- Option 1: Exact fees

i. Amount and frequency of deduction

- Option 2: Reasonable estimate of fees

i. Estimate of amount and frequency of deduction

ii. An explanation of the method used to work out the estimated amount.

C. Outline of the services the adviser will provide you in relation to your WealthFoundations account for the fee you pay. Tick all that apply.

- Review of your account
- Strategic superannuation advice
- Retirement planning on your account
- Investment advice on your account
- Contribution strategy
- Insurance in superannuation strategy
- Withdrawal advice
- Other

Signature

By signing the below, you consent to the deduction of adviser fees outlined in section B from your WealthFoundations account.

Signature of member

x

Date signed

D	D	M	M	Y	Y	Y	Y
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Note: If signing under a Power of attorney, please provide an original certified copy of the power of attorney. By signing you verify that, at the time of signing, you have not received notice of revocation of that power.

Sending your form

Please send the form to us via your email address on file or mail.

Mailing address:
ClearView Wealth
Reply Paid 4232
Sydney NSW 2001

Email address:
client.wealth@clearview.com.au

If you have any questions or need help please call our Service Centre on **132 977**.